

QPR for FY 2021-22 for Provincial Institution of KwaZulu-Natal: Provincial Treasury of location KwaZulu Natal as of (Tuesday, February 1, 2022 11:23:23 AM)

Frequency	Programme	Sub Programme	Indicator	Indicator Type	Output	MTSF Priority	Cluster	Audited outcome FY 2020 - 21	Quarter - 3				Annual Performance				
									Target Q3	Actual Output Q3	Reason for Deviation Q3	Corrective Action Q3	Annual Target	Aggregate Output			
Annually	Programme 1: Administration	Sub-programme 1.1: Financial Management (Office of the CFO)	No material audit findings in the areas of financial management matters of the department.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster							Unqualified Audit Opinion.	Unqualified Audit Opinion.		
		Sub-programme 1.2: Human Resource Management	No material audit finding in the departments Human Resource Management areas.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								No material audit finding in the departments Human Resource Management areas.	No material audit finding in the departments Human Resource Management areas.	
			Number of Human Resources Plan (HRP) developed.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								1		
			Number of Annual Work Place Skills Plan (WSP) developed.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								1		
			% of women employed at SMS level.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								50		
			% of employees with disabilities, employed in the Department.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								2		
			% of unemployed youth employed against youth development programs in the Department.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								5		
		Sub-programme 1.3: Information Technology Management	No material audit finding in the areas of Information Technology within the department.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster									No material IT audit findings.	No material IT audit findings.
			Number of business processes automated to enable the department to meet its Strategic Objectives.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster									4	
			Sub-programme 2.3: Public Finance	Number of MTEC reports on budget and expenditure management for realistic and credible budgets	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster									15
		Inputs into DORA on provincial allocations.		Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster									1	1
		Increase provincial own revenue by reducing staff debt balance in the Department of Education.		Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster									R30 million increase	
		Programme 5: Municipal Finance Management	Sub-programme 5.2: Municipal Budget	Number of Delegated Municipal Tabled Budgets evaluated with feedback provided.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								51	51
				Number of Delegated Municipal Approved Budgets evaluated with feedback provided.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								51	51
			Sub-programme 5.3: Municipal Accounting & Reporting	Number of financial statements reviews conducted at targeted municipalities.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								7	9
Sub-programme 5.5: Municipal Revenue & Debt Management	Number of Revenue and Debt projects implemented at targeted municipalities.		Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								1	2		
			Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster											
Quarterly	Programme 1: Administration	Sub-programme 1.1: Financial Management (Office of the CFO)	Produce Main & Adjustment budget submissions for the department.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			Produce Final Adjustment Budget submission.	Produce Final Adjustment Budget submission.			Budget submission documents produced			
			Percentage of supplier's valid invoices paid within 30 days.	Non-Standardized	Average	An efficient, effective and development-oriented public service	Governance and Administration cluster			100	100				100	99,333333333333333333333333333333	
			Report on Government procurement spending in Women, Youth and People with disability.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			1	1				4	3	
		Sub-programme 1.2: Human Resource Management	Number of empowerment initiatives (seminars/articles) relating to Gender Based violence issues	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			1	2	The 16 days of activism against GBV fell in Q3 so more information than normal is distributed during this quarter.			4	7	
		Programme 2: Sustainable Resource Management	Sub-programme 2.2.1: Economic Analysis	Number of Socio-economic review & outlook reports of the province produced to evaluate and monitor economic trends and performance supporting policy formulation.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			0	0				10	5

Programme 3: Financial Governance

	Number of Value for money assessment reports for efficient resources allocation produced to evaluate and monitor departmental impact performance and policy formulation	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	0	"1. Sourcing of data and other relevant information from DOT and DOE took longer than anticipated. 2. Capacity constraints as only two Economic Specialists are capable of conducting research. "	"1. Following discussions and guidance from M & E, two Department analysis project will be submitted in Q 4. 2. A good relationship with officials from both DOE & DOT has been established. Thus there is progress in getting assistance about the provision of the	6	2	
Sub-programme 2.2.2: Infrastructure Management	Number of reports on IDMS Oversight Monitoring and Performance Assessment at Provincial Departments.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1			4	3	
Sub-programme 2.3: Public Finance	Number of early warning system reports to address variances requiring remedial action	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		5	5			18	15	
Sub-programme 2.4: Public Private Partnership (PPP)	1. Number of Institutions capacitated in the Implementation of the PPP Processes.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	2	0	0			2	1	
Sub-programme 3.2: Asset and Liabilities Management	Number of Compliance reports on the implementation of the PPPFA Regulations inclusive of targets per targeted group in PPP Projects	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1			4	3	
	Number of risk analysis reports compiled per department to minimise non-compliance with payroll tax legislation	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		14	14			56	42	
Sub-programme 3.3: Supporting and Interlinked Financial Systems	Number of assessment reports on status of bank related suspense accounts issued to departments to minimise audit queries	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		14	14			56	42	
	% of Financial transversal systems uptime.	Non-Standardized	Average	An efficient, effective and development-oriented public service	Governance and Administration cluster		97	99	Overachieve due to contact monitoring of back up systems.		97	99,666666666666666666666666666666666667	
	Number of departments with Implemented Invoice Management System in the province.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1			3	1	
	Number of departments with implemented e-Leave Management System in the province. (ERP)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster				Training of Treasury officials and commence with pilot of system at 1 department.	No Training of Treasury officials and commence with pilot of system at 1 department.	Approval by National Treasury was granted on 07 December 2021 and had conditions that requires procurement processes to be followed for infrastructure and use the internal IT resources for implementation.	1 department	
Sub-programme 3.4: Provincial Supply Chain Management	Number of SCM routine compliance assessments conducted.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		36	43	There were requests from institutions requesting PT to provide advices		144	127	
	Number of reports produced on the Implementation of the Annual Procurement Plans by public sector institutions.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		2	2			10	8	
	Number of SCM prescripts reviewed.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	5	The previous quarter was short by one review and it has been covered in this quarter and there has been a request to review uMdoni SCM policy		12	10	
	Number of reports produced on the status of Bid Appeals Tribunal and Municipal Bid Appeals Tribunal.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1			4	3	
	Number of reports produced on Pre-order/ Pre-award compliance assessment including COVID-19 conducted.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	0	This task/ SCM Circular 02A of 2020/2021 has been temporarily withdrawn		4	2	
	Number of Contract Management Reviews at Departments, Municipalities and Public Entities conducted.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		21	21			83	62	
	Number of reports produced on the status of the Implementation of Operational Open tender system.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		0	0			2	0	
	Number of reports produced on the distribution of spend and commodities to the targeted groups and bids advertised inclusive of targets per targeted group in terms of the Procurement Plan.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	0	Data that is to provide this report is only available on the 10th of each month from BAS which is after the closing date	Report to be submitted in the next quarter.	4	2	
Sub-programme 3.6: Accounting Services (Financial Reporting)	Number of public sector institutions (departments and public entities) supported in financial management to improve audit opinions.	Non-Standardized	Min	An efficient, effective and development-oriented public service	Governance and Administration cluster		5	6	Additional requests were received from the public entities for technical and AFS support resulting in the target being exceeded	Monitor overachievement trend and plan accordingly next year.	5	6	
	Number of consolidated Instruction Note 34 reports produced to National Treasury (NT) on compliance to Payment of valid suppliers invoices within 30 days	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	3			12	9	
	Number of Irregular expenditure condonation requests assessed in compliance with the Irregular Expenditure Framework	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		4	10	More than one request was received from Departments and public entities, this resulted in more condonation requests being assessed. In addition, condonation submissions that were not previously approved were re-assessed taking into account additional information provided by the relevant institution.	Monitor overachievement trend and plan accordingly next year.	18	59	
Sub-programme 3.7: Norms and Standards	Number of departments assessed to minimise non-compliance with legislation.	Non-Standardized	Average	An efficient, effective and development-oriented public service	Governance and Administration cluster		14	14			14	14	
	Number of public entities assessed to minimise non-compliance with legislation	Non-Standardized	Average	An efficient, effective and development-oriented public service	Governance and Administration cluster		8	8			8	8	

Programme 4: Internal Audit	Sub-programme 4.2: Assurance Services	Number of Internal Audit Reviews conducted	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		20	21	Carry over project from the previous quarter finalised in quarter 3		104	73	
		Number of follow-up reviews conducted on the implementation of recommendations on resolved audit findings.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		20	7	Capacity constraints within PIAs resulted in follow up audits not being completed on time. Delays in filling vacant posts, and resignations at Internal Audit Specialist and IAT levels during quarter 3 impacted the completion of projects by the end of the quarter.	Approval to appoint resources from firms approved by HOD. Resources to commence in January to catch up on delayed projects	52	44	
		Number of audits conducted on the mainstreaming of vulnerable groups.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		5	2	Three audits could not be completed on time due to delays in opening meetings and obtaining information from client departments. This was due partially to COVID cases in some departments	Audits will be finalised in Q4. Escalation letters sent to HOD's to request intervention.	10	0	
		Number of reports to the Oversight Structures to strengthen oversight to enforce accountability by public sector Institutions produced.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		14	14			58	42	
	Sub-programme 4.3: Risk Management	Number of reports on Risk Management reviews conducted for departments.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		12	12			42	33	
		Number of risk assessment reviews conducted for municipalities.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		5	5			20	21	
		Number of ethics and corruption risk assessment reviews conducted for departments	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		0	0			14	7	
		Number of Internal Control (IC) assessment reports produced.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		7	0	Targets achieved in the previous quarter		14	14	
		Number of audit committee technical support provided to municipalities.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		2	2			8	6	
		Number of Risk management and internal control training provided to clients (departments and municipalities).	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		10	11	There were more clients requesting trainings		35	30	
	Programme 5: Municipal Finance Management	Sub-programme 5.3: Municipal Accounting & Reporting	Number of financial management support projects implemented at targeted municipalities.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	6	The initial target was 3 projects for the quarter. However, the support offered is driven by the needs of the municipalities delegated to the KZN Provincial Treasury. Consequently, the number of projects had to be increased to assist other municipalities where similar support was required urgently. Delays in implementing the eprojects or deferral thereof could have impacted the municipality negatively. Therefore, the projects were initiated immediately. This resulted in an over achievement of the initial target.		6	9
			Number of MSP projects implemented at targeted municipalities	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	8	The initial target was 3 projects for the quarter. However, the support offered is driven by the needs of the municipalities delegated to the KZN Provincial Treasury. Consequently, the number of projects had to be increased to assist other municipalities where similar support was required urgently. Delays in implementing the eprojects or deferral thereof could have impacted the municipality negatively. Therefore, the projects were initiated immediately. This resulted in an over-achievement of the initial target.		10	24
		Sub-programme 5.4: Municipal Support Programme	Number of reports produced on the implementation of MSCOA by municipalities.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1			4	3
	Total :												71	

Feedback												
Quarter - 1				Quarter - 2			Quarter - 3		Quarter - 4			Audited Annual
Username Q1	Role Q1	Feedback Q1	Dated Q1	Username Q2	Role Q2	Feedback Q2	Feedback Q3	Dated Q3	Username Q4	Role Q4	Dated	Username
				Linda Phillip Lakaje	Department Coordinator	herewith the 2nd Quarter						
				Lulama Sthembela Ndazi	OTP Coordinator	Please receive report with						
				Linda Phillip Lakaje	Department Coordinator	kindly receive the quarter 2						
				Neil Shezi	Accounting Officer	Approval Certificate: Q2-						
						kindly receive the		12/01/2022				
						Please receive report with		18/01/2022				
						Please receive report with		18/01/2022				
						kindly receive the 3rd		27/01/2022				
						Approval Certificate: Q3-		27/01/2022				
Linda Phillip Lakaje	Department Coordinator	kindly find the attached indicators and targets for checking and removal of not need icons	18/06/2021									
Linda Phillip Lakaje	Department Coordinator	kindly receive the EQPR	29/07/2021									
Lulama Sthembela Ndazi	OTP Coordinator	Please receive report with comments and kindly provide corrective action where target was exceeded or	21/07/2021									
Lulama Sthembela Ndazi	OTP Coordinator	Please receive report with comments and kindly provide corrective action where target was exceeded or	21/07/2021									
Santanu Moodley	Accounting Officer	Approval Certificate: Q3- Dear DG,	30/07/2021									