

QPR for FY 2022-23 for Provincial Institution of KwaZulu-Natal: Provincial Treasury of location KwaZulu Natal as of (Wednesday, November 2, 2022 10:48:18 AM)

Frequency	Programme	Sub Programme	Indicator	Indicator Type	Output	MTSF Priority	Cluster	Audited outcome FY 2021 - 22	Quarter - 2				Annual Performance				
									Target Q2	Actual Output Q2	Reason for Deviation Q2	Corrective Action Q2	Annual Target	Aggregate Output	Reason for Deviation	Corrective Action	
Annually	Programme 1: Administration	Sub-programme 1.1: Financial Management (Office of the CFO)	No material audit findings in the areas of financial management matters of the department.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	Unqualified Audit Opinion.					Unqualified Audit Opinion				
			Timeous submission of the Main budget of the department.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					Main budget submitted by Jan 2023.					
			Timeous submission of the Adjustment Budget of the Department	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					Adjustment budget submitted by November 2022					
		Sub-programme 1.2: Human Resource Management	No material audit findings in the areas of Human Resources management matters of the department.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					Unqualified Audit Opinion	No material audit findings in the areas of Human Resources management matters of the department.				
			Number of Human Resources Plan developed (HRP)	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster					Develop 1 annual plan	N/a				
			Number of Annual Work Place Skills Plan (WSP) developed.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					Develop 1 annual plan	N/A				
			% of SMS posts filled with females.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					Appoint 50% of women at SMS.	N/A				
			% of employees with disabilities, employed in the Department.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					Appoint 2% employee with a disability.	N/A				
			% of unemployed youth employed against youth development programs in the Department.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					Appoint 5% graduates on youth development programs.	N/A				
	Sub-programme 1.3: Information Technology Management	No material audit finding in the areas of Information Technology within the department.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		No material IT audit findings.					Unqualified Audit Opinion	No material audit finding in the areas of Information Technology matters within the department.			
		Number of business processes automated.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					5	0					
	Programme 2: Sustainable Resource Management	Infrastructure Management and Economic Analysis	Number of value for money assessment reports produced for efficient resources allocation	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster						2				
			Public Private Partnership (PPP)	Number of Proposals produced to Government entities on a PPP Service Delivery Solutions	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster						1			
		Sub-programme 2.3: Public Finance	Number of MTEC reports produced on budget and expenditure management for realistic and credible budgets.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster							15	14	The number of departments have changed from 15 to 14 due to the amalgamation of the Department of Arts and Culture and the Department of Sport and Recreation.	Correctly reflected in the revised 2022/23 Annual Performance Plan.
Number of inputs submitted on DoRA.			Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster							1	1			
Programme 5: Municipal Finance Management	Sub-programme 5.2: Municipal Budget	Number of evaluation reports produced on tabled budgets of Delegated Municipalities	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster							51				
		Number of evaluation reports produced on approved budgets of Delegated Municipalities	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster							51	50	Due to political instability at the Mhahanyake District Municipality and spending court cases. Council could not be convened to approve the budget.	The district municipality will be assessed once approved by council notwithstanding the above a full assessment of a temporal budget has been undertaken for the district municipality.	
	Sub-programme 5.3: Municipal Accounting & Reporting	Number of financial statements reviews conducted at targeted municipalities	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster							7	3	Post audit support offered to municipalities that were supported in 2021/22, continued into the 2022/23 provincial financial year.	none	
	Sub-programme 5.5: Municipal Revenue & Debt Management	Number of Revenue and Debt projects implemented at targeted municipalities	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster							1	1	Further, the deployment of MRO Advisors commenced in the latter part of the 2021/22 financial year and support continued in 2022/23.	n/a	
Quarterly	Programme 1: Administration	Sub-programme 1.1: Financial Management (Office of the CFO)	Percentage of suppliers valid invoices paid within 30 days	Standardized	Not Applicable	An efficient, competitive and responsive economic infrastructure network	The Economic Sectors, Employment and Infrastructure Development cluster		100	99.9	The relevant Responsibility Manager confirmed that an official who was attending to this invoice, accidentally placed it on her desk and it got mixed up with other documents and could not see it to send it for payment processing.	The R/M explained to the official the significance of acting quickly on the invoices. Furthermore, controls around the recording of incoming invoices and the tracking therefore will be strengthened to ensure that this does not happen again in their unit.	100	99			
			Number of reports on Government procurement spending in Women, Youth, Persons with disabilities and Military Veterans produced.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1				4	2		
		Sub-programme 1.2: Human Resource Management	Number of empowerment initiatives relating to Gender Based violence femicide issues implemented.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1				4	2		
	Programme 2: Sustainable Resource Management	Infrastructure Management and Economic Analysis	Number of Socio-economic review & outlook reports produced	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		2	2				9	3		
			Number of Capacity building reports produced to departments in the areas of Infrastructure Planning and Delivery.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1				4	2		
			Number of Oversight Monitoring and Performance Assessment conducted at the Provincial Departments	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1				4	2		
		Public Private Partnership (PPP)	Number of reports produced on departments, municipalities and public entities capacitated on PPP.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1				2	1		
			Number of compliance reports produced on the implementation of the PPPFA Regulations in the PPP Projects.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1				4	2		
	Sub-programme 2.3: Public Finance	Number of early warning system reports produced to address variances requiring remedial action.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		5	5				18	10			

Programme 3: Financial Governance	Sub-programme 3.2: Asset and Liabilities Management	Number of risk analysis reports compiled per department to minimise non-compliance with payroll tax legislation.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		14	13	This is mainly due to the merger of Department of Sports and Arts and Culture, the number of departments is now 13 instead of 14.	This will be amended on the revised APP.	56	27			
		Number of assessment reports on status of bank related suspense accounts issued to department to minimise audit queries.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		14	13	This is mainly due to the merger of Department of Sports and Arts and Culture, the number of departments is now 13 instead of 14.	This will be amended on the revised APP.	56	27			
	Sub-programme 3.4: Provincial Supply Chain Management	Number of SCM prescripts/policies Reviewed in line with the PPPFA 2(1)d.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		4	5	There is a high demand of policy reviews and guidance through circulars. The PPPFA Constitutional court judgement has an impact as it prompted a lot of circular to be issued and less policies to be.	n/a	16	9			
		Number of reports produced on the status of Bid Appeals Tribunal and Municipal Bid Appeals Tribunal.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1			4	2			
		Number of Contract Management training sessions conducted at Departments, Municipalities and Public Entities.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	0	Training was scheduled for 27 September 2022 but had to be postponed due to the Public Service Week which required all Senior Managers to attend. (Evidence attached)	The shortfall will be covered in the following quarter	3	0			
		Number of reports produced on the distribution of procurement spend to targeted groups.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1			4	2			
		Number of reports produced on the Implementation of the Annual Procurement Plans by public sector institutions.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	3			10	6			
		Number of SCM training conducted on the new procurement regulations.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	3	There is high demand of training and capacity building from clients.	n/a	3	-			
	Sub-programme 3.6: Accounting Services (Financial Reporting)	Number of consolidated Instruction Note 34 reports to National Treasury (NT) on compliance to Payment of valid Suppliers invoices within 30 days.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	3			12	6			
		Percentage of Irregular expenditure condonation requests assessed in compliance with the Irregular Expenditure Framework within 3 months of receipt of the submission and supporting evidence.	Non-Standardized	Average	An efficient, effective and development-oriented public service	Governance and Administration cluster		100	93%	Capacity constraints, due to resignation of key official within the unit that has been project managing the condonation process.		100	50			
		Number of Training sessions facilitated.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	4	Over-achieved based on requests received from departments	n/a	5	6			
		Number of departments supported in financial management on agreed specific focus areas.	Non-Standardized	Average	An efficient, effective and development-oriented public service	Governance and Administration cluster		2	6	Over-achieved due to increased number of requests received from the departments.	n/a	2	7,5			
		Number of public entities supported in financial management on agreed specific focus areas.	Non-Standardized	Average	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	5	Over-achieved due to increased number of requests received from the public entities	n/a	1	5			
		Number of public sector institutions (departments and public entities) supported in asset management on agreed specific focus areas.	Non-Standardized	Average	An efficient, effective and development-oriented public service	Governance and Administration cluster		2	4	Over-achieved due to increased number of requests received from the departments.	n/a	2	3			
	Sub-programme 3.7: Norms and Standards	Number of departments assessed to minimise non-compliance with legislation.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		14	13	This is mainly due to the merger of Department of Sports and Arts and Culture, the number of departments is now 13 instead of 14.	This will be amended on the new APP	14	-			
		Number of public entities assessed to minimise non-compliance with legislation.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		8	8			8	-			
	Sub-programme 3.3 Financial Information Management Systems (FIMS)	% of Financial transversal systems uptime.	Non-Standardized	Max	An efficient, effective and development-oriented public service	Governance and Administration cluster		97	100	Maintenance of UPS to avoid load shedding.		97	100			
		Number of departments with implemented invoice management system.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		Review and approve the Business Case.	Nil	Awaiting finalisation of the nomination of Change Control Board member to approve the business case.	To expedite the finalisation of the nomination of Change Control Board member to approve the business case.	Review System's Implementation Governance	-	-		
	Programme 4: Internal Audit	Sub-programme 4.2: Assurance Services	1. Number of Internal Audit Reviews conducted.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		25	20	"PIAS targets were revised in May 2022 to factor in disaster management audit reviews. Resources were pooled to conduct these audits and certain projects were therefore suspended"	"Amendments were discussed with Accounting Officers and approved by the Audit & Risk Committee"	115	57		
			Number of follow-up reviews conducted on the implementation of recommendations on resolved audit findings.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		12	3	"PIAS targets were revised in May 2022 to factor in disaster management audit reviews. Resources were pooled to conduct these audits and certain projects were therefore suspended"	"Amendments were discussed with Accounting Officers and approved by the Audit & Risk Committee"	45	18		
Number of audits conducted on the mainstreaming of vulnerable groups.			Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		0	0			4	2			
Number of MEC and Cabinet reports submitted to strengthen oversight and enforce accountability by public sector institutions.			Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		14	14			56	28			
Sub-programme 4.3: Risk Management		Number of risk assessment reviews conducted for municipalities.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		2	7	More Municipalities requested to have their risk assessments brought forward sooner than planned.		15	12			
		Percentage implementation of the provincial risk management and combined assurance frameworks.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		15	0	Planned target not achieved, due to high vacancy rate within the Unit	To make use of service providers to assist with the project.	60	35			

		Number of audit committee and internal audit technical support provided to municipalities.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		2 audit committee support 1 internal audit support	4 Audit Committee technical support 2 Internal Audit technical support	The Unit is catching up from Q1. The Unit is catching up from Q1.		8 audit committee technical support 5 internal audit technical support		
		Number of ethics, fraud and corruption risk assessment reviews conducted for departments and municipalities.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		6 departments 2 municipalities	6 departments 2 municipalities			13 departments 10 municipalities		
Programme 5: Municipal Finance Management	Sub-programme 5.2: Municipal Budget	Number of Section 71(7) Quarterly Budget Performance Reports produced as early warning system.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	1			4	2	
	Sub-programme 5.3: Municipal Accounting & Reporting	Number of financial management support projects implemented at targeted municipalities	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	2	Financial Management Support projects implemented at targeted municipalities are still in progress. Hence, the number of closed projects were fewer than the planned outputs.	The projects are currently in progress and the desired outputs will be achieved by quarter four of the 2022/23 financial year.	6	10	
	Sub-programme 5.4: Municipal Support Programme	Number of MSP projects implemented at targeted municipalities to assist with the improvement of financial management capability in local government	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	4	Post audit support offered to municipalities that were supported in 2021/22, continued into the 2022/23 provincial financial year which resulted in exceeding the target for quarter 2.	The delays in prior year AGSA audits which resulted in post audit support continuing in the current year is expected to normalise in 2022/23. No further corrective is required.	10	19	
Total														

Feedback

Quarter - 1				Quarter - 2			Quarter - 3			Quarter - 4	Audited Annual	
Username Q1	Role Q1	Feedback Q1	Dated Q1	Username Q2	Role Q2	Feedback Q2	Username Q3	Role Q3	Feedback Q3	Dated	Username	Feedback
				Linda Phillip Lakaje	Department Coordinator	Kindly receive the attached Provincial Treasury Quarter 2 QPR.						
				Lulama Sthembela Ndazi	OTP Coordinator	Please receive report with some comments and note the following: 1. only capture annual outputs when they are due or when they have been achieved. Capturing "0" gives impression that target hasn't been achieved which will in turn require that the Department provides reason for deviation. Please refer to the APP for annual targets that are due in each quarter. Please update report accordingly before submitting to HOD with "0" outputs for annual targets that are due in Q4. 2. Financial Governance Sub-programme 3.6: Accounting Services (Financial Reporting)-please provide reasons for deviation and corrective action statements.						
				Linda Phillip Lakaje	Department Coordinator	Dear Hod Kindly received the attached quarter 2 QPR, for your approval. kind regards Linda Lakaje						
				Linda Phillip Lakaje	Department Coordinator	Dear Hod Kindly received the attached quarter 2 QPR, for your approval. kind regards Linda Lakaje						
				Carol Lyn Coetzee	Accounting Officer	Approval Certificate: Q2- Approved Quarter 2						
Carol Lyn Coetzee	Accounting Officer	Approval Certificate: Q1- Kindly find attach	29/07/2022									
Linda Phillip Lakaje	Department Coordinator	Kindly receive the first quarter QPR	13/07/2022									
Linda Phillip Lakaje	Department Coordinator	Hi Carol Coetzee	28/07/2022									
Lulama Sthembela Ndazi	OTP Coordinator	Please receive report with comments.	20/07/2022									