

QPR for FY 2023-24 for Provincial Institution of KwaZulu-Natal: Provincial Treasury of location KwaZulu Natal as of (Tuesday, August 1, 2023 10:03:17 AM)

Frequency	Programme	Sub Programme	Indicator	Indicator Type	Output	Data Type	MTSF Priority	Provincial Priority	Cluster	Audited outcome FY 2022 - 23	Quarter - 1				Annual Performance						
											Target Q1	Actual Output Q1	Reason for Deviation Q1	Corrective Action Q1	Annual Target	Aggregate Output	Reason for Deviation	Corrective Action			
Annually	Programme 1: Administration	Sub-programme 1.1: Financial Management (Office of the CFO)	No material audit findings.	Non-Standardized	Not Applicable	Text	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance and Administration cluster												
			Sub-programme 1.2: Human Resource Management	Percentage of SMS posts filled by females.	Non-Standardized	Not Applicable	Percentage	Priority 6: Social Cohesion and Safer Communities	Growing the Economy	Governance and Administration cluster											
				Percentage of employees with disabilities.	Non-Standardized	Not Applicable	Percentage	Priority 6: Social Cohesion and Safer Communities	Growing the Economy	Governance and Administration cluster											
	Programme 2: Sustainable Resource Management	Sub-programme 2.2.1: Economic Analysis	Percentage of youth enrolled in youth development programs.	Non-Standardized	Not Applicable	Percentage	Priority 6: Social Cohesion and Safer Communities	Growing the Economy	Governance and Administration cluster												
			Number of Socio-economic report produced (SERO)	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance and Administration cluster												
			Number of Value for money assessment report produced.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance and Administration cluster												
			Number of Research report produced.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance and Administration cluster												
			Number of ECE Plan produced.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance and Administration cluster												
		Sub-programme 2.3: Public Finance	Number of departments trained on IDMS.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance and Administration cluster												
			Final provincial budget produced.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance and Administration cluster												
			Adjustments provincial budget produced.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance and Administration cluster												
		Sub-programme 2.4: Public Private Partnerships (PPP)	Research project conducted on provincial own revenue sources.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance and Administration cluster												
			Research project conducted on provincial allocation.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance and Administration cluster												
	Programme 4: Internal Audit	Sub-programme 4.3: Risk Management	PPP Service Delivery Solutions Proposal produced.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance, State Capacity and Institutional Development cluster												
			Provincial internal control framework developed.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance, State Capacity and Institutional Development cluster												
	Programme 5: Municipal Finance Management	Sub-programme 5.2: Municipal Budget	Number of Tabled Budgets evaluated.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance and Administration cluster												
			Number of Approved Budgets evaluated.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance and Administration cluster												
Sub-programme 5.3: Municipal Accounting & Reporting		Number of Municipal financial statements reviews conducted.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance and Administration cluster													
		Number of financial management support projects implemented.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance and Administration cluster													
		Number of specialised projects implemented.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance and Administration cluster													
Sub-programme 5.4: Municipal Support Programme	Number of Revenue and Debt management projects implemented.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance and Administration cluster														
Quarterly	Programme 1: Administration	Sub-programme 1.1: Financial Management (Office of the CFO)	Percentage of supplier's valid invoices paid within 30 days.	Non-Standardized	Average	Percentage	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance and Administration cluster		100	99.9	It was discovered that the invoice was left by out mistake on the payment made on the 29 March 2023 (it was misfiled within the batch), all other invoices on the payment voucher were paid.	Officials were sensetised to be extra cautious when compiling, checking, capturing and authorizing payment voucher to ensure that all invoices are paid on time.	100	99.9					
			Percentage of procurement awarded to targeted groups.	Non-Standardized	Average	Percentage	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance and Administration cluster		80	68	Estimated procurement budget for Q1 was R22 Million however the actual orders issued is R15 Million, hence there was variance.	Going forward SCM will strengthen the monitoring of procurement plan and demand plan to ensure that anticipated target are met.	80	68					
	Programme 2: Sustainable Resource Management	Sub-programme 1.2: Human Resource Management	Number of Gender Based Violence and Femicide empowerment initiatives implemented.	Non-Standardized	Not Applicable	Number	Priority 6: Social Cohesion and Safer Communities	Growing the Economy	Governance and Administration cluster		1	2	Induction happened to fall in this quarter and since issues of GBV and harassment are a standing item on the agenda two initiatives were delivered in this QR, one during induction and one to the entire Department.	none	4	2					
			Sub-programme 2.2.1: Economic Analysis	Number of quarterly monitoring reports produced on capital expenditure.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance and Administration cluster		1	1			4	1				
				Number of monitoring reports produced on departments using IDMS.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance and Administration cluster		1	1			4	1				
	Sub-programme 2.3: Public Finance	Number of early warning system reports produced.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance and Administration cluster		5	5			18	5						
		Sub-programme 2.4: Public Private Partnerships (PPP)	Number of public sector institutions supported on PPPs.	Non-Standardized	Average	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance, State Capacity and Institutional Development cluster		5	5			5	5					
			Number of training sessions conducted.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance, State Capacity and Institutional Development cluster		1	1			2	1					
	Programme 3: Financial Governance	Sub-programme 3.3: Financial Information Management Systems (FIMS)	Number of compliance reports produced.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance, State Capacity and Institutional Development cluster		0	0			2	0					
			Percentage availability of Financial transversal systems.	Non-Standardized	Average	Percentage	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance, State Capacity and Institutional Development cluster		97	99	There is a constant monitoring of systems uptime, as well as the review of log files that assist to improves the uptime	none	97	99					
				Mean time to resolve calls for transversal systems.	Non-Standardized	Not Applicable	Text	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance, State Capacity and Institutional Development cluster		8 Hours	16:33:46	This could not be achieved mainly due to calls that were logged by the complaining departments, that were not BACS specific. The system recorded these call logged that did not relate to BACS system that is monitored by PT. These calls were network related of the complaining departments.	Going forward, only the Syscons of the depts. will log only BACS calls on the system. Any call that are not BACS related will be removed, as they are the responsibility of the IT of the relevant departments.	8 Hours	-				

Sub-programme 3.4: Supply Chain Management	Number of SCM training sessions conducted.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance, State Capacity and Institutional Development cluster	1	24	One (1) training session was conducted as per the directorate plan to the Department of Transport. In addition, 23 (twenty three) institutions requested trainings mostly on Bid Committee due to the change in preferential Regulation 2022 which affected the scoring during tender process. Refer to Annexure for additional training conducted to institutions. KZN SCM Circular 09 of 2022/23 outlined that the institutions are required to develop individual policies in line with the 2022 Preferential Procurement Regulations. Institutions therefore had to be trained in order to make sure that they are aligned to new regulations.	None	4	24		
	Number of SCM policies reviewed in line with SCM legislation.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance, State Capacity and Institutional Development cluster	4	11	Four (4) policy reviews were conducted as per the directorate plan to Umfolozi Local Municipality, Nkandla Local Municipality, Okhahlamba Local Municipality and Impendle Local Municipality. In addition 7 (seven) policies were reviewed. Refer to Annexure for additional policy reviews conducted. There was a change in regulations which required institutions to amend their preferential procurement policies. According to KZN SCM Circular 09 of 2022/23 it is outlined that the institutions has to develop individual policies which emanated in changes of the Preferential Procurement Regulations 2022. Therefore institutions had to send their policies for review. It must be noted that the Unit reported on institutions that submitted policies for review in Q4 (2022/2023).	None	16	11		
	Number of contract management training sessions conducted.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance, State Capacity and Institutional Development cluster	1	1			4	1		
	Number of reports produced on provincial procurement spend on the targeted groups.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance, State Capacity and Institutional Development cluster	1	1			4	1		
	Number of financial Management support initiatives provided to departments.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance, State Capacity and Institutional Development cluster	3	8	Overachieved on the basis of the additional requests for support received from departments. The following two interventions were planned for Office of the Premier: AFS preparation support and Asset Management considering the limited capacity at the department with an Acting CFO and Acting Finance Director. Asset Management support was planned for Health considering the audit qualification matter. In addition to the planned support requests were received from Social Development and Public Works for technical guidance and AFS preparation support request received from COGTA, Human Settlements and Sport, Arts and Culture.	none	8	8		
Sub-programme 3.6: Accounting Practices (Financial Reporting)	Number of financial Management support initiatives provided to Public Entities.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance, State Capacity and Institutional Development cluster	2	6	The unit had planned to provide 2 FMS to the PE during this quarter, however, this target was overachieved due to additional requests for support received from Public Entities. Planned support was for Ezemvelo and Traditional Levies Trust account due to capacity constraints. In addition to the planned support, requests were received for AFS review to be performed for Housing Fund and Technical guidance requested by Dube Trade Port, Sharks Board and Agri-Business Development Agency.	none	5	6		
	Number of consolidated Instruction Note 34 reports produced.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance, State Capacity and Institutional Development cluster	3	3			12	3		
	Number of Training sessions facilitated.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance, State Capacity and Institutional Development cluster	1	2	The unit had planned to facilitate 1 training session across Departments with a minimum of 30 delegates per session. Due to the large number of requests received (87, instead of 60) the unit then had to split the groups into 2 to accommodate the increased requests. The one session was conducted in April, and the other session in June 2023	none	6	2		

Programme 4: Internal Audit	Sub-programme 4.2: Assurance Services	Number of Internal Audit Reviews conducted.	Non-Standardized	Not Applicable	Number	Priority 6: Social Cohesion and Safer Communities	Growing the Economy	Governance and Administration cluster		30	35	More than planned full scope audit assignment were finalised due to additional special audit assignments that were requested from Assurance Services and these concluded in Q1.	none	95	95								
		Number of follow-up Audit reviews conducted.	Non-Standardized	Not Applicable	Number	Priority 6: Social Cohesion and Safer Communities	Growing the Economy	Governance and Administration cluster		15	13	Two Departments requested the follow up reports to be deferred as there were projects that were requested to be prioritized. DOT – Special project requested by the Premier (SCM and Expenditure Management) and DHS- requested that the IT follow up be deferred as the Department was still busy implementing action plans. More full scope audit were finalized to make up for this.	The follow up will be finalized in the upcoming quarter and for DHS it will be Q3 as requested by the Department.	48	13								
		Number of oversight reports issued to MEC's of provincial departments.	Non-Standardized	Not Applicable	Number	Priority 6: Social Cohesion and Safer Communities	Growing the Economy	Governance and Administration cluster		14	14			56	14								
	Sub-programme 4.3: Risk Management	Number of risk assessments conducted in municipalities.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Job Creation	Not Applicable		4	5	The unit had planned to conduct 4 risk assessment reviews for quarter 1 for (Mandeni ICT, uMvoti ICT, Ndwedwe strategic and Endumeni ICT. There was an additional review for Ndwedwe ICT that was conducted online.		16	5								
		Number of reviews conducted on internal audit functions at selected municipalities.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance, State Capacity and Institutional Development cluster		0	0			6	0								
Programme 5: Municipal Finance Management	Sub-programme 5.2: Municipal Budget	Number of departments monitored on compliance with the minimum risk management standards.	Non-Standardized	Average	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance, State Capacity and Institutional Development cluster		0	0			13	0								
		Number of Section 71(7) Quarterly Budget Performance Reports produced.	Non-Standardized	Not Applicable	Number	Priority 1: A Capable, Ethical and Developmental State	Growing the Economy	Governance and Administration cluster		1	1			4	1								
Total :		50																					
Feedback																							
Quarter - 1						Quarter - 2						Quarter - 3						Audited Annual					
Username Q1	Role Q1	Feedback Q1	Dated Q1	Username Q2	Role Q2	Feedback Q2	Dated Q2	Username Q3	Role Q3	Username	Role	Feedback	Dated										
Carol Lyn Coetzee	Accounting Officer	Approval Certificate: Q1- KINDLY FIND ATTACHED	26/07/2023																				
Linda Phillip Lakaje	Department Coordinator	Dear Dr N Mkhize	12/07/2023																				
Linda Phillip Lakaje	Department Coordinator	Dear HOD	26/07/2023																				
Lulama Sthembela Ndazi	OTP Coordinator	Thank you for the report and please note the following:	20/07/2023																				