

Number of funds received completed	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	1	2	Power review process implemented reducing Managed Service Account policy as well. This was because the IT Security Policy did not align with discrepancies in the Managed Service Account Policy.	1	1			4	1	2						
Percentage network uptime	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	20%	24%	94%	The net was due to generator failure during load shedding	A meeting was held in December where it was decided that the team was responsible for the optimisation of the generator. Auxiliary Services have been engaged with the Equipment Manufacturer to come through and resolve the issue with the generator. This has since been resolved	97%	97%	SITA experience power shutdown	Power equipment to be serviced by SITA in April 2019	99%							
PROGRAMME 2: Sustainable Resource Management																					
2.1.1 Economic Analysis	Number of District Socioeconomic Reports produced	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	3	3	3		0	0		0	9	0						
Number of Provincial Department Economic Reports produced	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	1	1		1	1		4	4	3							
Number of quality reports for regional policy analysis	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	3	1	3		1	1		4	4	3							
2.1.2 Infrastructure Management	Number of progress reports on infrastructure projects and delivery plans using IBM data submitted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	1	1		1	1		4	4	3						
Number of reports on infrastructure backlog and capitalise on its support to the provincial financial management unit	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	2	1	1		1	1		4	4	3							
Number of reports on the implementation of ISMS in KZN	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	1	1		1	1		4	4	3							
Number of reports on the infrastructure site visits conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	1	3		1	1		4	4	3							
2.2 Public Finance, Prudential Budget Management	Number of quarterly performance reports for provincial departments	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	3	3		0	0		4								
Number of section 37 reports submitted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	3	3	3		3	3		12	12	8							
2.3 Public Finance, Prudential Debt Management	Number of revenue quarterly performance reports produced	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	2	3		0	0		4								
2.3.1 Public Finance Specialised Advisory Support Services	Number of reports on institutionalising its research for the monitoring of provincial public entities	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	1	1		0	0		2								
Number of monthly bank reconciliation statements to be reconciled	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	43	43	43	43 recorded bank reconciliations	43	43	43 recorded bank reconciliations	43 recorded bank reconciliations	43								
Number of quarterly assessment reports by departmental heads on their network compliance status	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	16	16	16	16 assessment reports	16	16	16 assessment reports	16 assessment reports	16								
Number of quarterly reports on withdrawals from municipal bank accounts	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	1	1	1 report on withdrawal from municipal bank accounts	1	1	1 report on withdrawals from municipal bank accounts	1 report on withdrawals from municipal bank accounts	1								
Number of risk analysis reports per dept. to ensure compliance to tax legislation	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	14	14	Risk Analysis reports	14 Risk Analysis reports	14	14	Risk Analysis reports	14 Risk Analysis reports	14								
3.3 Supporting and Inter-Linked Financial Systems	Mean (Average) time to resolve IT calls on interrelated systems	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	2-16 hr MTR	09:58:23	00:58:23	From the last quarter the current target is 4 hours due to availability of staff. However, the target was reduced towards the end of December 2018 and expected to improve to 2 hours by March 2019. Performance indicator of 8-10 hrs MTR is set high, as reflected in the system. This means that the performance measure needs to be revised to a realistic target of at least 4-8hrs MTR.	10 engage Performance Unit to revise the Directorate's target for the month. There will be monthly meetings going forward to discuss the findings with respective units.	8-16 hr MTR	09:59:43	Target was met and due to correct call logging by the call centre	further improvement with regards to call logging is encouraged while waiting for the appointment of IT cell supervisor.	8-16 hr MTR						
3.4 Prudential Supply Chain Management	Number of contract management analysis conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	20	45	41	The unit identified the need to conduct extra reviews on log of those modifications in order to prepare the municipalities for AGM based on the exact outcome .	30	30			150	151	100					
Number of policies reviewed	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	3	3	There was a special request from the municipalities and constituents to review SCM policy.	1	3			4	8	5						
Number of SCM compliance assessments conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	21	29	39	The scope was limited due to Delimited timelines	21	26			34	90	64						
Number of SCM training sessions conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	3	6	8	There were special requests from the municipalities for training in Bids and committee .	3	10			12	18	28						
3.5 Public Private Partnership (PPP)	Number of contract management reports on closed deals	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	3	1		3	3			12	12	9					
Number of RRF (Financial) Disbursements on closed contracts	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	11	N/A	N/A		1	2											
Number of reports for KZN Treasury Management Unit (TPU) sent and submitted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	3	3														
3.6 Accounting Services (Financial Reporting)	Financial Management Monitoring Support to Municipalities	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	Progress reports on interlinked financial management support to 8 municipalities within 10 days of every quarter	Progress reports on interlinked financial management support to 8 municipalities within 10 days of every quarter	Specific support requests from the Clients.	0	Progress reports on interlinked financial management support to 12 municipalities within 10 days of every quarter	Specific support requests from the municipalities		Progress reports on interlinked financial management support to 12 municipalities within 10 days of every quarter								
Financial Management Monitoring Support to Municipalities	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	Maintain implementation of MSCOA by Municipalities and provide progress reports within 10 days of every quarter	Maintain implementation of MSCOA by Municipalities and provide progress reports within 10 days of every quarter	More support requests from the municipalities	0	Maintain implementation of MSCOA by Municipalities and provide progress reports within 10 days of every quarter	More support requests from the municipalities		Maintain implementation of MSCOA by Municipalities and provide progress reports within 10 days of every quarter									
Number of progress reports on Financial Management Support based on internal audit audit findings	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	3 Progress reports on financial management support based on internal audit audit findings for departments	3 Progress reports on financial management support based on internal audit audit findings for departments	Additional resources required to support based on internal audit audit findings for departments	3	Progress reports on financial management support based on internal audit audit findings for departments	More support requests required for financial management support based on internal audit audit findings for departments		3 Progress reports on financial management support based on internal audit audit findings for departments									
Number of internal audit audit findings and recommendations	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	14 departments assessed	14 departments assessed			14 departments assessed	14 departments assessed			14 departments assessed								
Number of audit audit findings for compliance with regulations and procedures	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	2 public entities assessed	2 public entities assessed			2 public entities assessed	2 public entities assessed			2 public entities assessed								
Number of policies reviewed for iterations	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	2 instruction notes reviewed for departments	2 instruction notes reviewed for departments			2 instruction notes reviewed for departments	2 instruction notes reviewed for departments			2 instruction notes reviewed for departments								
Number of policies reviewed for iterations	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	3 standard operating procedures reviewed for departments	3 standard operating procedures reviewed for departments			3 standard operating procedures reviewed for departments	3 standard operating procedures reviewed for departments			3 standard operating procedures reviewed for departments								
Number of policies reviewed for iterations	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	5 policies reviewed for an entity	5 policies reviewed for an entity			5 policies reviewed for an entity	5 policies reviewed for an entity			5 policies reviewed for 8 entities								
PROGRAMME 4: INTERNAL AUDIT	4.1 Assurance Services																				
Number of audit audit reports issued by Accounting Office	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	0	0	0		14	14			28								
Number of meetings held between Audit and Finance	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	24	24	34		14	35			56	54	39						
Number of risk based audit conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	42	39	34	Delays in the appointment of service providers	47	28			Late issuing of orders for the Office of the CFO resulted in projects being held up	Way forward was discussed with AO	148	120	93				

4.3 Risk Management	Number of training and development programmes offered	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	2	2	2			2	2			1	5	5			
	Number of Business Continuity review reports issued to clients	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	10	10	10			5	5			30	50	25			
	Number of fraud prevention plan review reports issued to clients	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	10	6	6			5	0			30	5	0			
	Number of Occupational Health and Safety review reports issued to clients	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	10	10	10			5	5			30	30	25			
	Number of risk assessments conducted for clients	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	20	20	20			10	10			50	50	45			
	Number of risk assessments conducted by employees	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	5	5	5			5	5			20	25	20			
	Number of risk forums held	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	1	1			1	1			4	5	4			
	Number of risk maturity review reports issued to clients	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	10	10	10			5	10			30	30	20			
	Number of risk governance related training awareness provided to clients	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	5	7	9			5	5			20	27	24			
	Number of follow ups on completed investigations	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	15	15	15			15	15			60	60	45			
4.4 Forensic Function	Number of Forensic Audit reports issued	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	4	12	12			A number of reports were reviewed and issued from the backlog of reports	0	11			32	58	47		
	Number of updated register of forensic investigations – IFC Report	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	1	1			1	1			4	4	3			
	Number of Municipal Finance Circulars issued	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	2 circulars	3 Circulars	3 Circulars			3 Circulars	3 Circulars			5 Municipal Finance circulars					
	Number of reports on the compliance with the applicable MIMAA reporting requirements	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	0	0	0			1 report	7 reports			1 report on the compliance with the applicable MIMAA reporting requirements					
	Number of Section 7(6) reports (Monthly municipal MIFM) reports within the prescribed time frame	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	3 reports	3 reports	3 reports			3 reports	3 reports			12 Section 7(6) reports					
	Number of Section 7(7) Quarterly budget performance reports 3.6.2 completed	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1 report	1 report	1 report			1 report	1 report			4 Section 7(7) Quarterly budget performance reports					
	Number of CFO forums conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1 forum	1	1 forum			1 forum	1 forum			4 quarterly CFO forums					
	Number of MSP projects implemented at larger Municipalities	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	3 projects	13	13 projects			3 projects	13 projects			10 projects to be implemented					
	Number of municipal support steering committee meetings conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1 meeting	1	1 meeting			1 meeting	1 meeting			4 quarterly meetings for steering committee					
	Total																			
Financial Risk																				
Quarterly Performance																				
Quarter - 1																				
Role	Role	Head/Unit	Head/Unit	Role	Role	Role	Role	Role	Role	Role	Role	Role	Role	Role	Role	Role	Role	Role		
Lufama Shimbire N (Dez)	OIP Coordinator	Phase 4 Project Manager (OIP)	22/03/2023	Silene Shimbire N (Dez)	Department Coordinator	1st Qtr	OIP Coordinator	1st Qtr	OIP Coordinator	1st Qtr	OIP Coordinator	1st Qtr	OIP Coordinator	1st Qtr	OIP Coordinator	1st Qtr	OIP Coordinator	1st Qtr		
Santeku Moodley	Head of Department	Approval Certificate (OIP) - Financial	31/03/2023	Silene Shimbire N (Dez)	OIP Coordinator	Report submitted														
efio Afonso	Department Coordinator	Desir Afonso	16/03/2023	Silene Shimbire N (Dez)	Department Coordinator	Report submitted														
efio Afonso	Department Coordinator	Desir Afonso	31/03/2023	Silene Shimbire N (Dez)	Department Coordinator	Good meeting														
efio Afonso	Network Oversight	Report submitted	17/03/2023	Silene Shimbire N (Dez)	Head of Department	Approve/Certify: OIP- Desir Dr. Afonso														