

**QPR for FY 2018/19 for Provincial Institution of KwaZulu-Natal: Provincial Treasury of location KwaZulu-Natal as of (Tuesday, February 05, 2019 1:37:21 AM)**

Reporting Period	Key Outcome	Sub-Programme	Indicator	Indicator Type	Status	Description	Cluster	Quarter - 2			Quarter - 3									
								Target Q2	Preliminary Q2	Validated Q2	Reason for Deviation Q2	Corrective Action Q2	Target Q3	Preliminary Q3	Validated Q3	Reason for Deviation Q3	Corrective Action Q3			
Annually	PROGRAMME 1: ADMINISTRATION	1.1 Financial Management (Office of the CFO)	Annual MTRT zoning on FSA: Financial Management	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster													
			1.2 Human Resource Management	Number of Annual Work Place Skills Plan developed.	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster												
	PROGRAMME 2: Sustainable Resource Management	2.2.1 Economic Analysis	Number of Socioeconomic Review and Outlook Reports (SROC) produced	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster													
			Timeliness Economic Analysis input to Estimate of Provincial Revenue and Expenditure (EPRE)	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster													
		2.2.2 Infrastructure Management	Number of Assessment Report on the User Asset Management Plans (U-AMPs) compiled	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster													
			Number of assessment reports on the Infrastructure Programme Management Plan (IPMP) completed	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster													
			Number of Estimate for Capital Expenditure (ECE) developed and published annually	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster													
		2.3 Public Finance: Provincial Budget Management	Timeliness Infrastructure input for Estimates of Provincial Revenue and Expenditure (EPRE)	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster													
			Number of chapters in MTEC report to be completed	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster													
			Timeliness Input into the Adjustment Estimates of Provincial Revenue and Expenditure (AEPRE)	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster													
		2.4 Public Finance: Provincial Debt Revenue	Timeliness tabling Estimates of Provincial Revenue and Expenditure (EPRE)	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster													
			Number of Provincial Revenue Forums conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster													
	2.5 Public Finance: Specialized Advisory Support Programme	Timeliness Revenue input into Overview of Provincial Revenue and Expenditure (OPRE) and Estimates of Provincial Revenue and Expenditure (EPRE)	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster														
		Number of MTEC reports completed	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster														
	2.6 Public Finance: Provincial Debt Revenue	Timeliness Input into the revision and maintenance of Division Of Revenue Act (DORA)	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster														
		Number of Tax Information Seminars conducted annually	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster														
	PROGRAMME 3: FINANCIAL GOVERNANCE	3.2 Asset and Liabilities Management	Annual Pre-audit review conducted on Departments preparing AFS on MCS basis	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster													
			Annual Pre-audit review conducted on Public Entities	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster													
		3.6 Accounting Services (Financial Reporting)	Financial Management Monitoring Support to Municipalities	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster													
			Number of trainings conducted to Provincial Departments and Public Entities	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster													
Timeliness submission of Audited AFS for Revenue Fund to Legislature			Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster														
3.7 Accounting Services (Financial Reporting)		Timeliness tabling of consolidated AFS for Provincial Departments to Legislature	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster														
		Timeliness tabling of consolidated AFS for Public Entities to Legislature	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster														
PROGRAMME 4: INTERNAL AUDIT	4.2 Assurance Services	Number of annual MEC Audit & Risk Committee report on clients' areas of risk management, governance and internal control	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster														
		Number of approved 18 Annual operational plans and a rolling three year strategic plan	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster														
PROGRAMME 5: MUNICIPAL FINANCE MANAGEMENT	5.2 Municipal Budget	Number of budget workshops conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster														
		Number of municipal approved budgets to be evaluated 1& 2	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster														
		Number of municipal tabled budgets to be evaluated 1& 2	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster														
		Number of Section 72 municipal reports evaluated 1& 2	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster														
	5.4 Municipal Support Programme	Number of officials on the grant roll over process	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster														
Quarterly	PROGRAMME 1: ADMINISTRATION	1.1 Financial Management (Office of the CFO)	Number of Asset Counts performed	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	N/A	N/A	N/A					1	1				
			Number of budget submission and planning documents compiled (EPRE, APPRA, AM, PPs, AEPRE & JC3)	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	3	3	3					2	2				
			Number of legislative financial reports produced (JYMe12, JYMe4 and AFS)	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	4	5	5	ad hoc quarterly interim financial statement as per National Treasury instruction no 8 of 2017, dated 13/02/2018					4	5	Ad hoc quarterly interim financial statement as per National Treasury instruction no 8 of 2017, dated 13/02/2018	Target will be revised in the new APP to ensure alignment	
			Number of supply chain management compliance reports produced	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	3	3	3					3	3				

		Percentage of supplier's valid invoices paid within 30 days.	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	100%	98.00%	98.00%	2% of invoices were paid after 30 days, after resolving queries which were identified on invoices.		100%	99%	1% of invoices that were paid after 30 days relate to invoices with queries and those queries were resolved after the due date. Thus they were paid after 30 days per se.	The correct invoices will continue to be paid within 30 days as prescribed and follow-ups will continue to be done to fast track the incorrect invoices.
1.2 Human Resource Management		Number of HR policies to be reviewed.	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	2 policies	2 policies	2 policies			2 policies	5 Policies	New Nationality issued directives required the review and amendment of policies. Some policies that were reviewed in Q1/2, like TAP was approved in Q1/3	
		Number of HR seminars to be conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	2 seminars	2 seminars	1 seminar			2 seminars	2 seminars		
		Number of monitoring reports produced to ensure implementation of HRP.	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1 x Implementation monitoring report	1 x Implementation monitoring report	1 x HRP implementation monitoring report			1 x Implementation monitoring report	1 x HRP implementation monitoring report		
1.3 Information Technology Management		Number of monitoring reports to ensure implementation of Work Skills Plan (WSP)	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1 x Implementation monitoring report	1 x Implementation monitoring report	1 x Implementation monitoring report			1 x Implementation monitoring report	1 x Implementation monitoring report		
		Mean (Average) time to resolve (MTTR)-Treasury	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	4 hr MTTR	1hr 50min	1hr 50min	Most calls were dealt by first line support with very few escalations resulting in faster response times		4 hr MTTR	1hr 52min	Most calls were dealt by first line support with very few escalations resulting in faster response times	
		Number of compliance reports completed	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	2	2	2			2	2		
		Number of information sessions conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	1	2			1	1		
		Number of initiatives implemented	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	1	1			N/A	N/A		
	Number of policy reviews completed	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	1	1			1	2	Policy review process encompassed reviewing Managed Service Account policy as well. This was because the IT Security Policy exposed some discrepancies in the Managed Service Account Policy.		
	Percentage network uptime	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	99%	100%	100%	There was no system downtime		99%	94%	This was due to generator failure during load shedding	A meeting was held in December with Auxiliary Services as they are responsible for the uptime of the generator. Auxiliary Services has requested the Original Equipment Manufacturer to come through and resolve the issue with the generator. This has since been resolved.	
PROGRAMME 3: Sustainable Resource Management	2.2.1 Economic Analysis	Number of District Socioeconomic Reports produced	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	3	3	1			3	3		
		Number of Provincial Department Economic Reports produced	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	1	1			1	1		
		Number of quality research for regional policy analysis	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	1	1			1	1		
	2.2 Infrastructure Management	Number of progress reports on infrastructure budgets and delivery plans using IRM data submitted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	1	1			1	1		
		Number of reports on infrastructure funding mechanisms and opportunities to support the provincial fiscal framework produced	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	1	1			1	1		
		Number of reports on the implementation of ID45 in KZN produced	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	1	1			1	1		
	2.3 Public Finance/ Provincial Budget Management	Number of reports on the infrastructure site visits conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	1	1			1	1		
		Number of quarterly performance reports for provincial departments	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	2	1	1	The reason for deviation was that general target of 2 includes a report (Unaudited close-out) that was completed in Q1. As such, Q1 output has now been amended to 2 reports to reflect that a preliminary and unaudited reports were prepared in Q1.		1	1		
	2.3 Public Finance/ Provincial Own Revenue	Number of section 32 report (Monthly provincial FPM report) submitted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	3	3	3			3	3		
		Number of revenue quarterly performance reports produced	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	2	2	2			1	1		
Number of reports on institutionalising Framework for the monitoring of provincial public entities		Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	1	1			1	1			
PROGRAMME 3: FINANCIAL CONTROLS	3.1 Asset and Liability Management	Number of monthly bank reconciliations per department to be reconciled	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	42 bank reconciliations	42 reconciled bank reconciliations	42 reconciled bank reconciliations			42 bank reconciliations	42 bank reconciliations		
		Number of quarterly assessment reports to depts. on status of bank related suspense accounts compiled	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	14 assessment reports	14 assessment reports	14 assessment reports			14 assessment reports	14 assessment reports		
		Number of quarterly reports on withdrawals from municipal bank accounts	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1 report on withdrawal from municipal bank accounts	1 report on withdrawal from municipal bank accounts	1 report on withdrawal from municipal bank accounts			1 report on withdrawal from municipal bank accounts	1 report on withdrawal from municipal bank accounts		
	Number of risk analysis reports per dept. to ensure compliance to tax legislation	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	14 Risk Analysis reports	14 Risk Analysis reports	14 Risk Analysis reports			14 Risk Analysis reports	14 Risk Analysis reports			
3.2 Supporting and Inter-related Financial Systems	Mean (Average) time to resolve IT calls on transversal systems	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	8-16 hr MTTR	00:43:27	00:43:27	Performance indicator of 8-16 Hrs MTTR is a bit high, as indicated in the previous quarter, the performance measure needs to be revised to a realistic target of at least 4-8hrs MTTR.	To engage Performance Unit to revise the Directorate performance indicator	8-16 hr MTTR	00:56:21	From the last quarter the current output is low due non-availability of smartcards which were delivered towards the end of December 2018 and expected to be issued in January 2019. Performance indicator of 8-16 Hrs MTTR is a bit high, as indicated in the previous quarter, the performance measure needs to be revised to a realistic target of at least 4-8hrs MTTR.	To engage Performance Unit to revise the Directorate performance indicator. However there will be monthly meetings going forward to discuss the statistics with respective units.	
3.3 Provincial Supply Chain Management	Number of contract management analysis conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	30	34	41	There was a shortfall of 22 contract management reviews in the previous quarter, and the surplus of 4 in the current quarter which will reduce the shortfall of the previous quarter.		30	45	The unit identified the need to conduct extra reviews on top of the routine reviews in order to prepare the municipalities for AG based on the audit outcome.		

	Number of policies reviewed	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	1	1			1	3		There was a special requests from the municipalities and departments to review SCM policy.	
	Number of SCM compliance assessments conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	21	30	26	There was an investigation into a complaint by a supplier from Department of Health regarding an alleged shortfall on payment which prompted overachievement.		21	19		The scope was limited due to December holidays.	
	Number of SCM training sessions conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	3	14	14	There were special requests from institutions for training especially for BEC committees, which prompted the overachievement.		3	4		There were special requests from the municipalities for training in Bids and committees.	
3.5 Public Private Partnerships (PPP)	Number of contract management reports on closed deals	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	3	3	3			3	3			
	Number of PPP Seminars/ Workshops conducted annually	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	1	1			0	N/A			
	Number of reports for Prov. Treasury management and NT PPP unit submitted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	3	3	3			3	3			
5.6 Accounting Services (Financial Reporting)	Financial Management Monitoring Support to Municipalities	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	Progress reports on intensive on-site financial management support to 6 municipalities within 10 days of every quarter end	Progress reports on intensive on-site financial management support to 9 municipalities within 10 days of every quarter end	Progress reports on intensive on-site financial management support to 8 municipalities within 10 days of every quarter end	the overachievement was due to additional funding being provided by Municipal Finance additional municipalities could be supported		Progress reports on intensive on-site financial management support to 6 municipalities within 10 days of every quarter end	Progress reports on intensive on-site financial management support to 8 municipalities within 10 days of every quarter end		Specific support requests from the Clients.	
	Financial Management Monitoring Support to Municipalities	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	Monitor implementation of MSCOA by Municipalities and provide progress reports within 10 days of every quarter end	Monitor implementation of MSCOA by Municipalities and provide progress reports within 10 days of every quarter end	Monitor implementation of MSCOA by Municipalities and provide progress reports within 10 days of every quarter end		Monitor implementation of MSCOA by Municipalities and provide progress reports within 10 days of every quarter end	Monitor implementation of MSCOA by Municipalities and provide progress reports within 10 days of every quarter end				
	Number of progress reports on Financial management support based on identified needs/ audit findings	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	3 Progress reports on Financial management support based on identified needs/ audit findings for Departments	5 Progress reports on Financial management support based on identified needs/audit findings for Departments	5 Progress reports on Financial management support based on identified needs/audit findings for Departments	the overachievement was due to the reallocation of the in-service trainees from Department of Health, we were able to support 2 additional departments.		3 Progress reports on Financial management support based on identified needs/ audit findings for Departments	6 Progress reports on Financial management support based on identified needs/audit findings for Departments		Additional assistance further requested by client departments and the Unit made use of Incentiv resources.	
3.7 Norms and Standards	Number of institutions assessed for compliance with financial norms and standards	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	14 departments assessed	14 departments assessed	14 departments assessed			14 departments assessed	14 departments assessed			
	Number of institutions assessed for compliance with financial norms and standards	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	2 public entities assessed	2 public entities assessed	2 public entities assessed			2 public entities assessed	2 public entities assessed			
	Number of policies reviewed for institutions	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	2 instruction notes reviewed for departments	2 instruction notes reviewed for departments	2 instruction notes reviewed for departments			2 instruction notes reviewed for departments	2 instruction notes reviewed for departments			
	Number of policies reviewed for institutions	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	2 standard operating procedures reviewed for departments	2 standard operating procedures reviewed for departments	2 standard operating procedures reviewed for departments			2 standard operating procedures reviewed for departments	2 standard operating procedures reviewed for departments			
	Number of policies reviewed for institutions	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	5 policies reviewed for an entity	5 policies reviewed for an entity	5 policies reviewed for an entity			5 policies reviewed for an entity	5 policies reviewed for an entity			
PROGRAMME 4: INTERNAL AUDIT	4.2 Assurance Services	Number of Audit Committee reports issued to Accounting Offices	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	14	14	12		0	0			
		Number of meetings held between clients and the Cluster Audit & Risk Committee	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	14	13	13	AD report for DOT was not completed due to quality issues. Will be completed in quarter 3	Matter escalated to DDB for her intervention with regards to performance management	14	14		
		Number of risk based audit conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	40	40	36			41	39	Delays in the appointment of service providers	Implementation of revised panel rules
	Number of training and development programmes attended	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	2	2	2			2	1			
	4.3 Risk Management	Number of Business Continuity Review reports issued to clients.	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	10	10	10			10	10		
Number of fraud prevention plans review reports issued to clients.		Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	10	0	0	Late start to the project as it took long time for assigned resources to design the assessment program.	work has commenced and reports to be produced in Q3	10	0	Late appointment of service providers to assist with the project.	The process to finalise the appointment of service providers finalised and all reports will be delivered in Q4	
Number of Occupational Health and Safety Review reports issued to clients.		Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	10	10	10			10	10			
Number of risk assessments conducted for clients		Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	10	10	10			20	20			
Number of risk assessments conducted for municipalities.		Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	5	9	9	More requests than anticipated resulted in more risk assessments that is why there is an overachievement.		5	5			
Number of risk forums held.		Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	2	2	in addition to department, the unit also had 1 risk forum for municipalities		1	1			
Number of risk maturity review reports issued to clients.		Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	10	10	10	in terms of the year to date, the unit is behind by 5 reports which are being reviewed and will be reported in Q3	To be reported in quarter 3	10	10			
Number of risk/governance-related training/awareness provided to clients.	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	5	8	8	more training was provided to agriculture as they wanted to be assisted with e-learning		5	7	More training requests than planned were received from municipalities.	Training requests will be streamlined and aligned better.		
4.4 Forensic Services	Number of follow ups on completed investigations	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	15	15	15			15	15			
	Number of Forensic Audits reports issued	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	8	10	10	There was a number of reports that were reviewed during the year and returned to service providers for review. These reports were subsequently issued during Quarter 2 2018-2019 and that created an increase in the number of reports issued.		8	12	A number of reports were reviewed and issued from the backlog of reports		
	Number of updated register of forensic investigations – MEC report	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1	1	1			1	1			

PROGRAMME 1: MUNICIPAL FINANCE MANAGEMENT	5.2 Municipal Budget	Number of Municipal Finance Circulars Issued	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	2 circulars	3 Circular	3 Circular	The target was exceeded as more than 2 Circulars were prepared and sent to municipalities during the quarter under review. Preparation of Circulars is purely based on the need to communicate important information and provide guidance to municipalities in order for them to comply with the MFMA and MBR.	1 circular	3 Circular	The target was exceeded as more than 2 Circulars were prepared and sent to municipalities during the quarter under review. Preparation of Circulars is purely based on the need to communicate important information and provide guidance to municipalities in order for them to comply with the MFMA and MBR.	
		Number of reports on the compliance with the applicable MFMA reporting requirements	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	3 report	5 Reports	3 Reports	Target is subject to the level of non-compliance with the MFMA by delegated municipalities, which dictates the number of non-compliance reports issued. Thus, the target was exceeded as more than 1 report was prepared and sent to municipalities during the quarter under review.	0	0	No corrective action required as the indicator is reactive in nature	
		Number of Section 71(6) reports (Monthly municipal FYM reports) within the prescribed time frame 1& 2	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	3 reports	3 Reports	3 reports		3 reports	3 reports		
		Number of Section 71(7) Quarterly budget performance reports 1&2 completed	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1 report	1 Report	1 report		1 report	1 report		
	1.4 Municipal Support Progression	Number of CFO Forums conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1 forum	1 forum	1		1 forum	1		
		Number of MSP projects implemented at target municipalities	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	2 projects	16	16	The MSP initially planned to conduct 2 projects during the quarter. However, municipal support is driven by the needs of the municipalities delegated to EZN Provincial Treasury. Consequently, the number of projects had to be increased to assist other municipalities where similar support was required urgently. Delays in implementing the projects or deferral thereof could have impacted the municipality negatively. Therefore, the projects were initiated immediately. This resulted in an over-achievement of the initial target.	3 projects	13	The MSP initially planned to conduct 2 projects during the quarter. However, municipal support is driven by the needs of the municipalities delegated to EZN Provincial Treasury. Consequently, the number of projects had to be increased to assist other municipalities where similar support was required urgently. Delays in implementing the projects or deferral thereof could have impacted the municipality negatively. Therefore, the projects were initiated immediately. This resulted in an over-achievement of the initial target.	
		Number of municipal support steering committee meetings conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster	1 meeting	1 meeting	1		1 meeting	1		
<b>Total</b>														
<b>Feedback</b>														
<b>Quarter - 1</b>														
<b>Quarter - 2</b>														
<b>Quarter - 3</b>														
<b>Quarter - 4</b>														
Username Q1	Role Q1	Feedback Q1	Defect Q1	Username Q2	Role Q2	Feedback Q2	Defect Q2	Username Q3	Role Q3	Feedback Q3	Defect Q3	Username Q4	Role Q4	Feedback Q4
				Lulama Sthenbela Ndzal	Department Coordinator									
				Iliso Ndaba	OTP Coordinator									
				Iliso Ndaba	Department Coordinator									
				Santana Moodley	Head of Department									
					Department Coordinator	Dear Lulama,	14/01/2019							
					OTP Coordinator	Please receive report with comments	17/01/2019							
					Department Coordinator	Dear M <sup>r</sup> Moodley,	28/01/2019							
					Head of Department	Approval Certificate: GS- Dear DL,	31/01/2019							
Lulama Sthenbela Ndzal	OTP Coordinator	Please receive report with comments	23/01/2019											
Santana Moodley	Head of Department	Approval Certificate: GS- Good afternoon,	31/01/2019											
Iliso Ndaba	Department Coordinator	Dear Lulama,	16/01/2019											
Iliso Ndaba	Department Coordinator	Dear M <sup>r</sup> Moodley,	31/01/2019											
Tsombeto Mario Muvwal	National Oversight	Report issued	17/01/2019											