

QPR for FY 2019/20 for Provincial Institution of KwaZulu-Natal: Provincial Treasury of location KwaZulu Natal as of (Tuesday, February 04, 2020 10:43:14 AM)

Frequency	Programme	Sub-Programme	Indicator	Indicator Type	Output	Outcome	Cluster	Actual outcome FY 2018/19	Quarter - 2				Quarter - 3				Annual Performance							
									Target Q2	Preliminary Q2	Validated Q2	Reason for Deviation Q2	Corrective Action Q2	Target Q3	Preliminary Q3	Reason for Deviation Q3	Corrective Action Q3	Annual Target	Preliminary					
Annually	Programme 1: Provincial Treasury Management	3.3.1 Public Finance: Provincial Budget Management	1. Number of chapters in MTEC report to be completed	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster											13	13					
			2. Timeliness of Provincial Revenue and Expenditure (EPRE)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster												March 2019 (1)	0				
			3. Timeliness of Adjusted Estimates of Provincial Revenue and Expenditure (AEPRE)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster													November 2019 (1)	November 2019 (1)			
		3.3.2 Provincial Own Revenue	1. Timeliness of input into Overview of Provincial Revenue and Expenditure (OPRE) and Estimates of Provincial Revenue and Expenditure (EPRE)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster													March 2019 (1)	0			
			2. Number of MTEC reports completed	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster													November 2019 (1)	1			
		Sub-programme 3.3.1: Economic Analysis	1. Number of Provincial Department Economic Reports produced	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster													2 report completed 1 day before MTEC	1			
			2. Socioeconomic Review and Outlook Report (SEROR) produced	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster													1	1			
			3. Timeliness of Economic Analysis input to Estimate of Provincial Revenue and Expenditure (EPRE)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster													1	0			
		Sub-programme 3.3.2: Infrastructure Management	1. Consolidated assessment report on the User Asset Management Plans (U-AMPs) submitted by provincial departments	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster														February 2020 (1)			
			2. Consolidated assessment report on the Infrastructure Programme Management Plan (IPMP) submitted by provincial departments	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster														1	2		
			3. Estimate for Capital Expenditure (ECE) developed for publishing annually	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster														1	1		
		Sub-programme 3.3: Asset and Liabilities Management	Number of Tax Information Seminars conducted annually	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster														1	0		
			Sub-programme 3.4: Accounting Services (Financial Reporting)	1. Timeliness of submission of unaudited Consolidated AFS for Provincial Departments to the Auditor General (AG) for audit	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster													1 session	0		
				2. Timeliness of submission of unaudited consolidated AFS for Public Entities to the Auditor General for audit	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster													Submission of Unaudited Consolidated AFS to the AG for FY2018/19 by 30 September 2019	Submitted unaudited Consolidated AFS for FY2018/19 by 01 October 2019		
				3. Timeliness of submission of unaudited AFS for Revenue Fund to the Auditor General for audit	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster													Submission of Unaudited Revenue Fund AFS to the AG for FY2018/19 by 30 September 2019	Submitted unaudited AFS for FY2018/19 by 01 October 2019		
			4. Number of financial management workshops conducted for officials for Provincial Departments and Public Entities	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster														1 training workshop by 31 March 2020	2 training workshops conducted for Expenditure Management and Inter-Governmental Debt on the 5 June 2019 and 11 June 2019 respectively 3 financial management training workshops conducted to 2 departments and EDTEA's Public Entities		
			5. Annual pre-audit AFS review conducted on all Provincial Departments	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster														Pre-audit reviews of AFS at 14 Provincial Departments preparing AFS on the MCS basis	Pre-audit review of AFS at 14 Departments preparing AFS on the MCS basis		
			7. Annual pre-audit AFS review conducted on Public Entities	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster														Pre-audit review of AFS at 7 Public Entities	Pre-audit review of AFS at 8 Public Entities		
		Programme 5: Municipal Finance Management	Sub-programme 5.2: Municipal Budget	2. Number of municipal approved budgets to be evaluated	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster													51 municipal approved budgets evaluated	51 municipal approved budgets evaluated		
				3. Number of municipal tabbed budgets to be evaluated	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster												33 municipal tabbed budgets evaluated	51 municipal tabbed budgets evaluated			
3. Number of budget workshops conducted	Non-Standardized			Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster												1 budget related workshop	3 budget related workshop					
4. Number of Section 72 municipal reports evaluated	Non-Standardized			Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster													51 Section 72 municipal reports evaluated					
Sub-programme 5.3: Municipal Accounting & Reporting	Number of Annual Financial Statement Reviews performed.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster														Pre-audit assessment report in 4 municipalities by 31 August 2019	8 municipalities 8 municipalities				
	Sub-programme 5.4: Municipal Support Programme	1. Number of circulars on the grant roll over process	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster													1 circular by 31 August 2019 on the grant roll over process	1 circular				
Quarterly	Programme 1: Administration	Sub-programme 1.3: Financial Management (PPE of the CFO)	1. Number of budget submission and planning documents compiled (EPRE, APPKA, AR, PP, AEPRE & ACF)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	3	3	3									2	1	The review comments from DPME are still outstanding therefore the final APP is still outstanding.	The review comments are expected to be received towards the end of January 2020 from DPME.		
			2. Number of legislative financial reports produced. (FYNA12, QPRs and AFS)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	4	4	4										4	4			
			3. Number of supply chain management compliance reports produced	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	3	3	3											3	3		
			4. Number of Asset Counts performed	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	N/A	N/A	N/A												3	3	
																			1	1				

		5. Percentage of supplier's valid invoices paid within 30 days.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		100%	99%	99%	1% of invoices were paid after 30 days, after resolving queries which were identified on invoices.		100%	100%			100%	
Sub-programme 3.2: Human Resource Management		1. Number of HR seminars to be conducted	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		2 seminars	2 seminars				2 seminars	2 seminars			6 seminars	
		2. Number of HR policies to be reviewed	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		2 policies	2 policies	2 policies			2 policies	2 policies			6 policies	
		3. Number of Human Resources Plan developed (HRP)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A	N/A			N/A	N/A			1 X Annual HR Plan & Annual HRPR	
		4. Number of monitoring reports produced to ensure implementation of the HRP	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1 X Implementation monitoring report	1 X Implementation monitoring reports	1 X Implementation monitoring reports			1 X Implementation monitoring report	1 X Implementation monitoring report			4 quarterly HRP implementation monitoring reports	
		5. Number of Annual Work Place Skills Plan developed	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A	N/A			N/A	N/A			1 X Annual WSP	
		6. Number of monitoring reports to ensure implementation of Work Skills Plan (WSP)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1 X Implementation monitoring report	1 X Implementation monitoring reports	1 X Implementation monitoring reports			1 X Implementation monitoring report	1 X Implementation monitoring report			4 quarterly WSP implementation monitoring reports	
Sub-programme 3.3: Information Technology Management		1. Number of Initiatives implemented	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A	N/A			N/A	N/A			1	
		2. Number of policy reviews completed	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1	1			1	1			4	
		3. Number of compliance reports completed	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		2	2	2			2	2			8	
		4. Number of information sessions conducted	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1	1			N/A	N/A			2	
		5. Percentage IT calls resolved	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		95%	100%	100%	In this quarter all calls reported were resolved because of sufficient capacity and few escalations	There are no corrective actions as this is an overachievement.	95%	100%	A change in workflow, had improved the resolution rate calls.	N/A	95%	
		6. Percentage network uptime	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		99%	100%	100%	In this quarter no power outages were experienced.	There are no corrective actions as this is an overachievement.	99%	95%	This was due to loadshedding experienced during the period.	N/A	99%	
Programme 2: Sustainable Resource Management	2.3.2 Public Finance/Provincial Budget Management	1. Number of section 32 report (Monthly provincial FM report) submitted	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	3	3			3	3			12	3
		2. Number of quarterly performance reports for provincial departments produced	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1	1			1	1			4	1
	2.3.3 Provincial Own Revenue	1. Number of revenue quarterly performance reports produced	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1	1			1	1			4	1
2.3.3 Specialised Advisory Support Services	1. Number of reports on Institutionalising Framework for the monitoring of provincial public entities	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		0	0	0			1	1			2	1	
	Sub-programme 2.2.1 Economic Analysis	1. Number of District Socioeconomic Reports produced	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	3	3			3	3			9	3
	2. Number of quality research for regional policy analysis	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1	1			1	1			4	1	
Sub-programme 2.2.2 Infrastructure Management	1. Number of reports on support and progress on the implementation and institutionalisation of IDMG in KZN	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1	1			1	1			4	1	
	2. Number of progress reports on infrastructure budgets and delivery plans using IBM data submitted by provincial municipalities	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1	1			1	1			4	1	
	3. Number of reports on the infrastructure site visits conducted	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1	1			1	1			4	1	
	4. Number of reports on infrastructure funding mechanisms and opportunities to support the provincial fiscal framework upgrade	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1	1			1	1			4	1	
Programme 3: Financial Governance	Sub-programme 3.2: Asset and Liabilities Management	3. Number of quarterly assessment reports to depbs, on status of bank related suspense accounts compiled	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		14 Assessment reports	14 assessment reports	14 assessment reports			14 Assessment reports	14 assessment reports			56 Assessment reports	
		4. Number of monthly bank reconciliations per department to be reconciled	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		42 bank reconciliation	42 reconciled bank reconciliation	42 reconciled bank reconciliation			42 bank reconciliation	42 reconciled bank reconciliation			168 Reconciled bank reconciliation	
		5. Number of quarterly reports on withdrawals from municipal bank accounts	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1 report on withdrawals from municipal bank accounts	1 report on withdrawals from municipal bank accounts	1 report on withdrawals from municipal bank accounts			1 report on withdrawals from municipal bank accounts	1 report on withdrawals from municipal bank accounts			4 reports on withdrawals from municipal bank accounts	
	Sub-programme 3.3: Supporting and Interlinked Financial Systems	Number of risk analysis reports per depc, compiled to ensure compliance to tax legislation	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		14 Risk Analysis reports	14 Risk Analysis reports	14 Risk Analysis reports			14 Risk Analysis reports	14 Risk Analysis reports			56 Risk Analysis reports	
		Mean (Average) time to resolve IT calls on transversal systems	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		4-8hr MTTR	5:57:12	5:57:12	No deviation. The target has been achieved.	Whilst the target was achieved, however there is still a need for the appointment of the Helpdesk position that is being finalized by	4-8hr MTTR	5:09:58	The output is within the MTTR and there is no deviation.	N/A	4-8hr MTTR	
Sub-programme 3.4: Provincial Supply Chain Management	1. Number of SCM training sessions conducted	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		6	23	23	There were special requests from the municipalities such as uMkhanyakude district since they were under commission and also King Cetshwayo District which requested a number of training. Furthermore the CSD team conducted special training sessions to all districts.	N/A	6	7	There were special requests for training.	N/A	24	47	
	2. Number of SCM compliance assessments conducted	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		36	39	39	There were special SCM assessments conducted at the Department of Transport at various cost centers. Furthermore there were investigations on complaints conducted to various departments.	N/A	36	43	There were investigations conducted in different institutions for different requirements which were not planned for.	N/A	144	121	
	3. Number of SCM prescripts reviewed	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	4	4	There was a special request from uMkhanyakude District Municipality to review its policy since they have been under intervention.	N/A	3	3	There were special requests for policy review.	N/A	12	14	
	4. Number of Contract management reviews	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		30	30	30			31	16	Institutions declined the appointments.	The cluster will re-schedule the appointments for the review in the following quarter.	121	78	
Sub-programme 3.5: Public Private Partnerships (PPPs)	1. Number of PPP Seminars/ Workshops conducted annually	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1	1			N/A	N/A	N/A	N/A	2		
	2. Number of reports for Prov. Treasury management and NT PPP units submitted	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	5	3			3	3	N/A	N/A	12	9	
	3. Number of contract management reports on closed deals compiled	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	3	3			3	3	N/A	N/A	12	9	

Programme 6: Internal Audit

Sub-programme 3.6: Accessibility Services (Financial Reports)				5. Number of progress reports on financial management support initiatives conducted in Provincial Departments and Public Entities	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			3 Progress reports on Financial management support based on identified needs/audit findings for Departments	6 Progress reports on Financial management support based on identified needs/audit findings for Departments	6 Progress reports on Financial management support based on identified needs/audit findings for Departments	More requests received from the departments in terms of financial management support		5 Progress reports on Financial management support based on identified needs/audit findings for Departments	7 Progress reports on Financial management support based on identified needs/audit findings for Departments	More requests received from the departments in terms of provision of financial management support.	N/A		12 Progress reports on financial management support based on identified needs/audit findings for Departments		
Sub-programme 3.7: Norms and Standards				1. Number of policies reviewed for Institutions	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			2 Financial norms & standards reviewed for a Department	2 Financial norms & standards reviewed for a Department	2 Financial norms & standards reviewed for a Department		2 Financial norms & standards reviewed for a Department	2 Financial norms & standards reviewed for a Department	N/A		N/A		8 Financial norms & standards reviewed		
				1. Number of policies reviewed for Institutions	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			2 standard operating procedures reviewed for departments	2 standard operating procedures reviewed for departments	2 standard operating procedures reviewed for departments		2 standard operating procedures reviewed for departments	2 standard operating procedures reviewed for departments	N/A		N/A		8 standard operating procedures reviewed		
				1. Number of policies reviewed for Institutions	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			5 policies reviewed for an entity.	5 policies reviewed for an entity.	5 policies reviewed for an entity.		5 policies reviewed for an entity.	5 policies reviewed for an entity.	N/A		N/A		10 policies reviewed		
				2. Number of institutions assessed for compliance with financial norms and standards	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			Compliance Assessment Report issued per department	Compliance Assessment Report issued per department	Compliance Assessment Report issued per department		Compliance Follow-Up Report issued per department	Compliance Follow-Up Report issued per department	N/A		N/A		14 departments assessed		
				2. Number of institutions assessed for compliance with financial norms and standards	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			Compliance Assessment Report issued per entity	Compliance Assessment Report issued per entity	Compliance Assessment Report issued per entity		Compliance Follow-Up Report issued per entity	Compliance Follow-Up Report issued per entity	N/A		N/A		8 entities assessed.		
Sub-programme 4.2: Assurance Services				1. Number of approved IA Annual operational plans and rolling three year strategic plan	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			N/A	N/A	N/A		N/A	N/A					14		
				2. Number of annual MEC Audit & Risk Committee report on clients' areas of risk management, governance and internal control complied	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			N/A	N/A	N/A		1	1					1		
				3. Number of risk based audit conducted	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			50	50	51		55	60		Carry over from quarter 2 reports not validated			155		
				4. Number of meetings held between clients and the Cluster Audit & Risk Committee	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			14	15	14	OTF Quarter 1 meeting was held in quarter 2	14	14					56		
				5. Number of Audit Committee reports issued to Accounting Officer	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			14	14	14		N/A	N/A					58		
				6. Number of training and development programmes attended	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			2	2	2		2	2					8		
				Default	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			0	0	0		0	0					0		
Sub-programme 4.3: Risk Management				1. Number of risk assessments conducted for departments (clients)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			15	15	15		15	15					50		
				2. Number of risk maturity review reports issued to departments (clients)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			10	0	0		10	0		The risk maturity methodology being revamped and the risk maturity results being moderated and standardised	All outstanding reports to be issued second week of February 2020 once the risk maturity results are moderated.		28		
				3. Number of Occupational Health and Safety Review reports issued to departments (clients)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			10		10		10	10					30		
				4. Number of Business Continuity Review reports issued to departments (clients)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			14	14	14		N/A	N/A	0	0			28		
				5. Number of risk forums held for departments, municipalities and public entities	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			2	1	1	Key stakeholders such as municipalities are only available in Q3 for the forum hence we could not secure a date in Q2	Key stakeholders are available in Q3	3	3		We conducted extra risk forum for departments to make up for the previous quarter missed targets	None, in future risk forums will be held in the planned quarter	10		
				6. Number of fraud prevention plans review reports issued to departments (clients)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			14	14	14		14	0		Reports at draft stage, but not yet discussed with departments	The reports are at draft stage and will be issued by first week of February 2020		25		
				7. Number of risk/governance related training/awareness provided to departments (clients)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			10	14	14	Received more training requests from municipalities than anticipated.	We still attend to additional request if received.	10	10				40		
				8. Number of risk assessments conducted for municipalities	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			10	10	10		5	10					30		
Sub-programme 4.4: Forensic Services				1. Number of Forensic Audits reports issued	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			8	8	8	Backlog of older report finalised during this quarter	8	8					30		
				2. Number of updated register of forensic investigations – MEC Report	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			1	1	1		1	1					4		
				3. Number of follow ups on completed investigations	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			14	14	14		14	14					56		
Programme 5: Municipal Finance Management				Sub-programme 5.2: Municipal Budget																			
				1. Number of Section 71(6) reports (Monthly municipal FM report) submitted within the prescribed time frame	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			3 reports	3 reports	3 reports		3 reports	3 reports					12 Section 71(6) reports		
				2. Number of Section 71(7) Quarterly budget performance reports 1& 2 completed	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			1 report	1 report	1 report		1 report	1 report					4 Section 71(7) Quarterly budget performance reports		
				3. Number of reports on the compliance with the applicable MFMA reporting requirements	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			1 report	9 Reports	9 Reports	Target is subject to the level of non-compliance with the MFMA by delegated municipalities, which dictates the number of non-compliance reports issued. Thus, the target was exceeded as more than 1 report was prepared and sent to municipalities during the quarter under review.	N/A	N/A					1 report on the compliance with the applicable MFMA reporting requirements		
				4. Number of Municipal Finance Circulars issued	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			2 circulars	3 Circular	3 Circular	The target was exceeded as more than 2 Circulars were prepared and sent to municipalities during the quarter under review. Preparation of Circulars is purely based on the need to communicate important information and provide guidance to municipalities in order for them to comply with the MFMA and MBRLE.	1 circular	3 Circulars		The target was exceeded as more than 1 Circular was prepared and sent to municipalities during the quarter under review. Preparation of Circulars is purely based on the need to communicate important information and provide guidance to municipalities in order for them to comply with the MFMA and MBRLE.		5 Municipal Finance circulars			

Sub-programme 1.2: Municipal Accounting & Reporting	Number of Financial Management Support projects implemented	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		Progress reports on intensive on-site financial management support to 6 municipalities	7 municipalities	7 municipalities	The MAE initially planned to conduct 6 FMS projects during the quarter. However, municipal support is driven by the needs of the municipalities delegated to KZN Provincial Treasury. Consequently, after the assessment of municipalities to support, it was decided to include an additional municipality from the prior year AFS reviews that required urgent support into FMS projects this quarter. Delays in implementing the projects or deferral thereof could have impacted the municipality negatively. Therefore, the projects were initiated immediately. This resulted in an over-achievement of the initial target.		Progress reports on intensive on-site financial management support to 6 municipalities	7 municipalities	The MAE initially planned to conduct 6 FMS projects during the quarter. However, municipal support is driven by the needs of the municipalities delegated to KZN Provincial Treasury. Consequently, after the assessment of municipalities to support, it was decided to include an additional municipality from the prior year AFS reviews that required urgent support into FMS projects this quarter. Delays in implementing the projects or deferral thereof could have impacted the municipality negatively. Therefore, the projects were initiated immediately. This resulted in an over-achievement of the initial target.		Progress reports on intensive on-site financial management support to 6 municipalities
	Number of Reports on the monitoring of implementation of mSCOA	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1 report on the municipalities supported with implementation of mSCOA	1 report	1 report			1 report on the municipalities supported with implementation of mSCOA	1 report			4 reports on the municipalities supported with implementation of mSCOA
Sub-programme 1.4: Municipal Support Programme	1. Number of MSP projects implemented at targeted municipalities	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		2 projects	10 projects	10 projects	The MSP initially planned to conduct 2 projects during the quarter. However, municipal support is driven by the needs of the municipalities delegated to KZN Provincial Treasury. Consequently, the number of projects had to be increased to assist other municipalities where similar support was required urgently. Delays in implementing the projects or deferral thereof could have impacted the municipality negatively. Therefore, the projects were initiated immediately. This resulted in an over-achievement of the initial target.		3 projects	9 projects	The MSP initially planned to conduct 3 projects during the quarter. However, municipal support is driven by the needs of the municipalities delegated to KZN Provincial Treasury. Consequently, the number of projects had to be increased to assist other municipalities where similar support was required urgently. Delays in implementing the projects or deferral thereof could have impacted the municipality negatively. Therefore, the projects were initiated immediately. This resulted in an over-achievement of the initial target.		10 projects to be implemented
	2. Number of municipal support steering committee meetings conducted	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1 meeting	1 meeting	1 meeting			1 meeting	1 meeting			4 quarterly meetings to monitor progress on municipal support
	3. Number of CFO Forums conducted	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1 forum	1 forum	1 forum			1 forum	1 forum			4 quarterly CFO Forums

Feedback															
Quarter - 1				Quarter - 2				Quarter - 3				Quarter - 4			
Username Q1	Role Q1	Feedback Q1	Dated Q1	Username Q2	Role Q2	Feedback Q2	Dated Q2	Username Q3	Role Q3	Feedback Q3	Dated Q3	Username Q4	Role Q4	Feedback Q4	Dated
				siiso ndaba	Department Coordinator	Submit report for									
				siiso ndaba	Department Coordinator	Dear Accounting									
				Santanu Moodley	Head of Department	Approval Certificate:									
						Department	Good Day								
						OTP Coordinator	Please receive report with comments and note the following:				14/01/2020				
Nandachhi Khanyile	OTP Coordinator	Please receive the reports with comments.	23/01/2019			OTP Coordinator	Please receive report with comments and note the following:				21/01/2020				
Santanu Moodley	Head of Department	Approval Certificate (Q1 - Good Day etc)	31/01/2019			OTP Coordinator	Please receive report with comments and note the following:				21/01/2020				
siiso ndaba	Department Coordinator	Report completed and submitted	23/01/2019			Department	Kindly find the third quarter QPR for your approval and submission to OTP.				31/01/2020				
siiso ndaba	Department Coordinator	Dear Mr Moodley,	31/01/2019												
siiso ndaba	Department Coordinator	Dear Mr Moodley,	31/01/2019												