

QPR for FY 2019-20 for Provincial Institution of KwaZulu-Natal: Provincial Treasury of location KwaZulu Natal as of (Tuesday, September 10, 2019 9:50:45 AM)

Frequency	Programme	Sub Programme	Indicator	Indicator Type	Default	Outcomes	Cluster	Audited outcome FY 2018 -19	Quarter 1					Annual Performance							
									Target Q1	Preliminary Q1	Validated Q1	Reason for Deviation Q1	Corrective Action Q1	Annual Target	Preliminary	Validated	Pre-Audited Annual Performance	Reason for Deviation	Corrective Action		
Annually	Programme 2: Sustainable Resource Management	2.3.1 Public Finance: Provincial Budget Management	1.Number of chapters in MTEC report to be completed	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster							15							
			2.Timeliness of tabling of Provincial Revenue and Expenditure (EPRE)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								March 2019 (1)						
			3.Timeliness of tabling of Adjusted Estimates of Provincial Revenue and Expenditure (AEPR)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster									November 2019 (1)					
		2.3.2 Provincial Own Revenue	1.Timeliness Revenue Input into Overview of Provincial Revenue and Expenditure (OPRE) and Estimates of Provincial Revenue and Expenditure (EPRE)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster									March 2020 (1)					
			2.3.3 Specialist Advisory Support Services	1.Timeliness Input into the revision of Division Of Revenue Act (DORA)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster									November 2019 (1)				
				2.Number of MTEC reports completed	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster									1 report completed 1 day before MTEC				
		Sub-programme 2.3.1 Economic Analysis	1.Number of Provincial Department Economic Reports produced	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster									1					
			2.Socioeconomic Review and Outlook Report (SERO) produced	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster									1					
			3.Timeliness Economic Analysis Input to Estimate of Provincial Revenue and Expenditure (EPRE)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster									February 2020 (1)					
		Sub-programme 2.3.2 Infrastructure Management	1.Consolidated assessment Report on the User Asset Management Plans (UAMP) submitted by provincial departments	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster									1					
2.Consolidated assessment report on the Infrastructure Programme Management Plan (IPMP) submitted by provincial departments	Non-Standardized		Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster									1							
3.Estimate for Capital Expenditure (EC) developed for publishing annually	Non-Standardized		Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster									1							
Programme 3: Financial Governance	Sub-programme 3.1: Asset and Liabilities Management	Sub-programme 3.1: Asset and Liabilities Management	Number of Tax information Seminars conducted annually	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								3 sessions						
			Sub-programme 3.1: Accounting Services (Financial Reports)	1.Timeliness submission of unaudited Consolidated AFS for Provincial Departments to the Auditor General (AG) for audit	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								Submission of Unaudited Consolidated AFS to the AG for FY2018/19 by 31 September 2019	N/A				
				2.Timeliness submission of unaudited consolidated AFS for Public Entities to the Auditor General for audit	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								Submission of Unaudited Consolidated AFS to the AG for FY2018/19 by 31 September 2019	N/A				
				3.Timeliness submission of unaudited AFS for Revenue Fund to the Auditor General for audit	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								Submission of Unaudited Revenue Fund AFS to the AG for FY2018/19 by 30 September 2019	N/A				
			Sub-programme 3.2: Financial Management	4.Number of financial management workshops conducted for officials for Provincial Departments and municipalities	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								1 training workshop by 31 March 2020	2 one-full day training workshops conducted for officials	Social requests received from the clients and partners in law			
				5.Annual pre-audit AFS review conducted on all Provincial Departments	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								Pre-audit review of AFS in all 14 Provincial Departments preparing AFS on the MCS basis	Pre-audit review of AFS at 14 Departments preparing AFS on the MCS basis	One more special request received from 1 Public Entity for AFS training			
				7.Annual Pre-audit AFS review conducted on Public Entities	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								Pre-audit review of AFS at 7 Public Entities	Pre-audit review of AFS at 7 Public Entities	One more special request received from 1 Public Entity for AFS training			
				Sub-programme 3.3: Municipal Budget	2.Number of municipal approved budgets to be evaluated	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								51 municipal approved budgets evaluated	51 municipal approved budgets evaluated			
			1.Number of municipal tabled budgets to be evaluated		Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								51 municipal tabled budgets evaluated	51 municipal tabled budgets evaluated				
			3.Number of budget workshops conducted		Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								1 budget related workshop	1 budget related workshop				
Programme 5: Municipal Finance Management	Sub-programme 5.3: Municipal Accounting & Reporting	Sub-programme 5.3: Municipal Accounting & Reporting	Number of Annual Financial Statement Reviews performed	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								Pre-audit assessment report on 4 municipalities by 31 August 2019						
			Sub-programme 5.4: Municipal Support Programmes	1.Number of circulars on the grant roll over process	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								1 circular by 31 August 2019 on the grant roll over process					
				Sub-programme 1.1: Financial Management (Other than the CFO)	1. Number of budget submission and planning documents compiled (DPRE, APPRA, AR, PPS, ADPRE & AFS)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			2	2				9				
					2. Number of legislative financial reports produced (IFMA, ICPMA and AFS)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			5	5				17				
					3. Number of supply chain management compliance reports produced	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			3	3				12				
			4. Number of Asset Courts performed		Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			1	1				2					
			Sub-programme 1.2: Human Resource Management	1. Number of HR seminars to be conducted	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			2 seminars	2 seminars					8 seminars				
				2. Number of HR policies to be reviewed	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			2 policies	3 policies					8 policies				
				3. Number of Human Resources Plan developed (HRP)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			1 X Annual HR Plan & Annual HRPS	Annual HRPS					1 X Annual HR Plan & Annual HRPS				
				4. Number of monitoring reports produced to ensure implementation of the HRP	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			1 X Implementation monitoring report	1 X Implementation monitoring report					4 quarterly HRP implementation monitoring reports				
5. Number of Annual Work Place Skills Plan developed	Non-Standardized	Not Applicable		An efficient, effective and development-oriented public service	Governance and Administration cluster			1 X Annual WSP	1 X Annual WSP					1 X Annual WSP							
6. Number of monitoring reports to ensure implementation of Work Skills Plan (WSP)	Non-Standardized	Not Applicable		An efficient, effective and development-oriented public service	Governance and Administration cluster			1 X Implementation monitoring report	1 X Implementation monitoring report					4 quarterly WSP implementation monitoring reports							
Sub-programme 1.3: Information Technology Management	1. Number of Initiatives Implemented	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			N/A	N/A					1							
	2. Number of policy reviews completed	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			1	1					4							
	3. Number of compliance reports completed	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			2	2					8							
	4. Number of information sessions conducted	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster			N/A	N/A					2							

		5. Percentage IT calls resolved	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		95%	100%		In this quarter all calls reported were resolved because of sufficient capacity and low in this quarter no power outages were experienced.		95%					
		6. Percentage network uptime	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		99%	100%				99%					
Programme 2: Sustainable Resource Management	2.3.1 Public Finance/Provincial Budget Management	1. Number of section 32 report (Monthly provincial ITM report) submitted	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	3				12	3				
		2. Number of quarterly performance reports for provincial departments produced	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		2					4					
	2.3.2 Provincial Own Revenue	1. Number of revenue quarterly performance reports produced	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		2	2				4	2				
	2.3.3 Specialised Advisory Support Services	1. Number of reports on institutionalising framework for the monitoring of provincial public entities	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1				2	1				
		2. Number of quality research for regional policy analysis	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1				4	1				
	Sub-programme 2.2.1: Transport Analysis	1. Number of District Socioeconomic Reports produced	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	3				9	3				
		2. Number of quality research for regional policy analysis	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1				4	1				
		Sub-programme 2.2.2: Infrastructure Management	1. Number of reports on support and progress on the implementation and institutionalisation of IDMS in KZN	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1				4	1			
			2. Number of progress reports on infrastructure budgets and delivery plans using IRM data submitted by provincial municipalities	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1				4	1			
		3. Number of reports on the Infrastructure site visits conducted	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1				4	1				
	4. Number of reports on infrastructure funding mechanisms and opportunities to support the provincial road programme	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1				4	1					
Programme 3: Financial Governance	Sub-programme 3.2: Asset and Liabilities Management	3. Number of quarterly assessment reports to depts. on status of bank related suspense accounts compiled	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		14 Assessment reports	14 Assessment reports				56 Assessment reports					
		4. Number of monthly bank reconciliations per department to be reconciled	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		42 bank reconciliations	42 bank reconciliations				168 Reconciled bank reconciliations					
		5. Number of quarterly reports on withdrawals from municipal bank accounts	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1 report on withdrawals from municipal bank accounts	1 report on withdrawals from municipal bank accounts				4 reports on withdrawals from municipal bank accounts					
		Number of risk analysis reports per depts. compiled to ensure compliance to tax legislation	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		14 Risk Analysis reports	14 Risk Analysis reports				56 Risk Analysis reports					
		Mean (Average) time to resolve IT calls on transversal systems	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		4-8hr MTTR	02:24:36	The reason for deviation is due to lack of segregation of duties as official was performing the CSO team conducted a special training on CSO after the release of audit findings on the status of audit findings on	The appointment of the helpdesk coordinator is being finalised by the head of		4-8hr MTTR					
	Sub-programme 3.3: Supporting and Interlinked Financial Systems	1. Number of SCM training sessions conducted	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		6	17				24	17				
		2. Number of SCM compliance assessments conducted	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		36	39				144	30				
		3. Number of SCM prescripts reviewed	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	4				12	4				
		4. Number of Contract management reviews	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		30	30				120	30				
	Sub-programme 3.4: Provincial Supply Chain Management	1. Number of FPP Seminars/ Workshops conducted annually	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A				1					
2. Number of reports for Prov. Treasury management and MF FPP unit submitted		Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	3				12	3					
3. Number of contract management reports on closed GRAs complied		Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	3				12	3					
Sub-programme 3.5: Public Private Partnerships (PPPs)	1. Number of progress reports on financial management support initiatives conducted in Provincial Departments and Public Entities	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3 Progress reports on Financial management support based on identified needs/audit findings for Departments	10 Progress reports on Financial management support based on identified needs/audit findings for Departments		More requests received from the departments in terms of financial management support		12 Progress reports on Financial management support based on identified needs/audit findings for Departments						
	Sub-programme 3.6: Accounting Services (Finance Reporting)	1. Number of policies reviewed for institutions	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		2 financial norms & standards reviewed	2 financial norms & standards reviewed				8 financial norms & standards reviewed					
		2. Number of policies reviewed for institutions	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		2 standard operating procedures	2 standard operating procedures				8 standard operating procedures reviewed					
		3. Number of policies reviewed for institutions	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		5 policies reviewed for an entity	5 policies reviewed for an entity				20 policies reviewed					
	2. Number of institutions assessed for compliance with financial norms and standards	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		Development and issue of Compliance Questionnaire and Checklist to 14 departments	Development and issue of Compliance Questionnaire and Checklist to 14 departments				14 departments assessed						
	2. Number of institutions assessed for compliance with financial norms and standards	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		Development and issue of Compliance Questionnaire and Checklist to 8 departments	Development and issue of Compliance Questionnaire and Checklist to 8 departments				8 entities assessed						
Programme 4: Internal Audit	Sub-programme 4.1: Assurance Services	1. Number of approved IA Annual operational plans and a rolling three year strategic plan	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		14	14				14					
		2. Number of annual MEC Audit & Risk Committee report on clients' areas of risk management, governance and internal control compiled	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A				1					
		3. Number of risk based audit conducted	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		20	20				155					
		4. Number of meetings held between clients and the Cluster Audit & Risk Committee	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		14	13		The meeting for OTP was postponed due to the non-availability of the DE. The		56					
		5. Number of Audit Committee reports issued to Accounting Officer	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A				28					
		6. Number of training and development programmes attended	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		2	3		National Treasury piloted training on the IA review of GRAs to 52%		8					
	Sub-programme 4.2: Risk Management	1. Number of risk assessments conducted for departments (clients)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		10	10				50					
		2. Number of risk maturity review reports issued to departments (clients)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		4	4				28					
		3. Number of Occupational Health and Safety Review reports issued to departments (clients)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		5	0		Internal delays in finalising review of reports and clients contacting the DE.	Meetings being held with clients management to agree on findings and how to address	20					
		4. Number of Business Continuity Review reports issued to departments (clients)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A				28					
5. Number of risk forums held for departments, municipalities and public entities		Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	1		Internal delays in organising risk forums for public entities and municipalities as well as	Working with Economic Development department to finalise the risk forums	10						
	6. Number of fraud prevention plans review reports issued to departments (clients)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A				28						
	7. Number of risk/governance-related training/awareness provided to departments (clients)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		10	10				40						

Programme 5: Municipal Finance Management	8. Number of risk assessments conducted for municipalities			Non-standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	10	10				50				
	Sub-programme 4.A: Forensic Services																
	1. Number of Forensic Audit reports issued			Non-standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	7	10		More matters were finalised from the backlog		30				
	2. Number of updated register of forensic investigations – MEC Report			Non-standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1				4				
	3. Number of follow ups on completed investigations			Non-standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	14	14				56				
	Sub-programme 5.1: Municipal Budget																
	1. Number of Section 71(6) reports (Monthly municipal FM report) submitted within the prescribed time frame			Non-standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	3 reports	3 reports				12 Section 71(6) reports				
	2. Number of Section 71(7) Quarterly budget performance reports 1 & 2 completed			Non-standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	1 report	1 report				4 Section 71(7) Quarterly budget performance reports				
	3. Number of reports on the compliance with the applicable MFMA reporting requirements			Non-standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	N/A					2 reports on the compliance with the applicable MFMA reporting requirements				
	4. Number of Municipal Finance Circulars issued			Non-standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	N/A					5 Municipal Finance circulars				
	Sub-programme 5.2: Municipal Accounting & Reporting																
	Number of Financial Management Support projects implemented			Non-standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	Progress reports on intensive on-site financial management support to 6 municipalities	Progress reports on intensive on-site financial management support to 2 municipalities		The Municipality planned to conduct 6 FMS projects during the quarter. However, municipal support is driven by the needs of the municipalities		Progress reports on intensive on-site financial management support to 6 municipalities				
	Number of Reports on the monitoring of implementation of MSCOA			Non-standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	1 report on the municipalities supported with implementation of MSCOA	1 report				4 reports on the municipalities supported with implementation of MSCOA				
	Sub-programme 5.3: Municipal Support Programme																
	1. Number of MSP projects implemented at targeted municipalities			Non-standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	2 projects	10 projects		The MSP initially planned to conduct 2 projects during the quarter. However, municipal		10 projects to be implemented				
	2. Number of municipal support steering committee meetings conducted			Non-standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	1 meeting	1 meeting				4 quarterly meetings to monitor progress on implementation				
	3. Number of CFO Forums conducted			Non-standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	1 forum	1 forum				4 quarterly CFO Forums				
	Default			Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster										
	Sub-programme 5.4: Municipal Revenue & Debt Management																
	Default			Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster										
Total																	
Feedback																	
			Quarter - 1			Quarter - 2			Quarter - 3			Audited Annual					
Username Q1	Role Q1	Feedback Q1	Dated Q1	Username Q2	Role Q2	Feedback Q2	Dated Q2	Username Q3	Role Q3	Feedback Q3	Dated Q3	Score	Feedback	Dated			
Isidoro Moolahle	Head of Department	Please receive the reports with comments.	25/07/2019														
Santatu Moolahle	Department Coordinator	Approval Certificate (Q1 - Budget Day 2019) report completed and submitted	25/07/2019														
Iliso Ndaba	Department Coordinator	Dear Mr Moolahle,	31/07/2019														
Iliso Ndaba	Department Coordinator	Dear Mr Moolahle,	31/07/2019														