

QPR for FY 2021-22 for Provincial Institution of KwaZulu-Natal: Provincial Treasury of location KwaZulu Natal as of (Monday, August 2, 2021 9:23:17 AM)

Frequency	Programme	Sub Programme	Indicator	Quarter 1				Annual Performance		
				Target Q1	Actual Output Q1	Reason for Deviation Q1	Corrective Action Q1	Annual Target	Aggregate Output	
Annually	Programme 1: Administration	Programme 1: Administration	Default							
		Sub-programme 1.1: Financial Management (Office of the CFO)	No material audit findings in the areas of financial management matters of the department.						Unqualified Audit Opinion.	
		Sub-programme 1.2: Human Resource Management	Number of Annual Work Place Skills Plan (WSP) developed.						1	
			Number of Human Resources Plan (HRP) developed.						1	
			% of employees with disabilities, employed in the Department.						2	
			% of unemployed youth employed against youth development programs in the Department.						5	
			% of women employed at SMS level.						50	
			No material audit finding in the departments Human Resource Management areas.							No material audit finding in the departments Human Resource Management areas.
		Sub-programme 1.3: Information Technology Management	Number of business processes automated to enable the department to meet its Strategic Objectives.						4	
			No material audit finding in the areas of Information Technology within the department.						No material IT audit findings.	
		Programme 2: Sustainable Resource Management	Sub-programme 2.3: Public Finance	Increase provincial own revenue by reducing staff debt balance in the Department of Education.						R30 million increase
				Inputs into DORA on provincial allocations.						1
				Number of MTEC reports on budget and expenditure management for realistic and credible budgets						15
		Programme 5: Municipal Finance Management	Sub-programme 5.2: Municipal Budget	Number of Delegated Municipal Approved Budgets evaluated with feedback provided.						51
				Number of Delegated Municipal Tabled Budgets evaluated with feedback provided.						51
Sub-programme 5.3: Municipal Accounting & Reporting	Number of financial statements reviews conducted at targeted municipalities.							7		
	Sub-programme 5.5: Municipal Revenue & Debt Management	Number of Revenue and Debt projects implemented at targeted municipalities.						1		
Quarterly	Programme 1: Administration	Sub-programme 1.1: Financial Management (Office of the CFO)	Percentage of supplier's valid invoices paid within 30 days.	100	99	Queries are to be followed up and addressed with relevant service providers		100	99	
			Produce Main & Adjustment budget submissions for the department.	0	0					Budget submission documents produced.
			Report on Government procurement spending in Women, Youth and People with disability.	1	1					4
		Sub-programme 1.2: Human Resource Management	Number of empowerment initiatives (seminars/articles) relating to Gender Based violence issues	1	1	Induction was split into two sessions due to social distancing hence sexual harassment was presented on two days ie 17th and 18th of June			4	2
		Programme 2: Sustainable Resource Management	Sub-programme 2.2.1: Economic Analysis	Number of Socio-economic review & outlook reports of the province produced to evaluate and monitor economic trends and performance supporting policy formulation.	2	3	As per the Annual Performance Plan, the Unit was supposed to produce two newsletters in quarter one. The third additional newsletter replaces the research proposal for value for money.	The third additional newsletter replaces the research proposal for value for money. As per the directive from the HOD, the scope for value for money was amended and thus requiring additional human resources from the Infrastructure sub-unit, more data, extensive research methodology and more time, among others.	10	3

Programme 3: Financial Governance

	Number of Value for money assessment reports for efficient resources allocation produced to evaluate and monitor departmental impact performance and policy formulation	3	0	For Q1, the Unit did not research proposals due to two reasons. Firstly, the scope of value for money on infrastructure has been extended, requiring additional resources and more time. Secondly, the Unit has a capacity challenge as one of the four Analysts is Acting as Director, and another one is resigning at the end of June. The third analyst has an ill health problem.	"Given limited resources, the Research Trainee has been appointed to act as Economic Specialist and coordinate the research on value for money (infrastructure spending), focusing mainly on infrastructure projects in the four departments, including education, health, transport, and human settlements. The Unit has however, produced three instead of two newsletters during the quarter. As a result, one newsletter will be produced in Quarter 2. Arrangements have already been made to collaborate with Infrastructure Team in carrying out this project. Another experienced Economic Specialist will drive the research project on value for money with effect from Q2."	6	0
Sub-programme 2.2.2: Infrastructure Management	Number of reports on IDMS Oversight Monitoring and Performance Assessment at Provincial Departments.	1	1			4	1
Sub-programme 2.3: Public Finance	Number of early warning system reports to address variances requiring remedial action	5	5			18	5
Sub-programme 2.4: Public Private Partnership (PPP)	1. Number of Institutions capacitated in the Implementation of the PPP Processes.	0	0			2	0
Sub-programme 3.2: Asset and Liabilities Management	Number of Compliance reports on the Implementation of the PPPFA Regulations Inclusive of targets per targeted group in PPP Projects	1	1			4	1
	Number of assessment reports on status of bank related suspense accounts issued to departments to minimise payroll queries	14	14			56	14
	Number of risk analysis reports compiled per department to minimise non-compliance with payroll tax legislation	14	14			56	14
Sub-programme 3.3: Supporting and Interlinked Financial Systems	Number of departments with implemented e-Leave Management System in the province. (ERP)	Request approval from NT for utilization of EC e-leave management system.	Draft Memorandum to be signed by the PAG finalised to NT and be sent before the end of June 2021			1 department	
	% of Financial transversal systems uptime.	97	100			97	100
	Number of departments with implemented Invoice Management System in the province.	0	0			5	0
Sub-programme 3.4: Provincial Supply Chain Management	Number of reports produced on the distribution of spend and commodities to the targeted groups and bids advertised inclusive of targets per targeted group in terms of the Procurement Plan.	1	1			4	1
	Number of reports produced on the Implementation of the Annual Procurement Plans by public sector institutions.	3	3			10	3
	Number of reports produced on the status of Bid Appeals Tribunal and Municipal Bid Appeals Tribunal.	1	1			4	1
	Number of reports produced on the status of the Implementation of Operational Open tender system.	0	0			2	0
	Number of SCM routine compliance assessments conducted.	36	39	There were additional request for advise by the institutions		144	39
	Number of Contract Management Reviews at Departments, Municipalities and Public Entities conducted.	21	21			83	21
	Number of reports produced on Pre-order/ Pre-award compliance assessment including COVID-19 conducted.	1	1			4	1
Number of SCM prescripts reviewed.	3	3			12	3	
Sub-programme 3.6: Accounting Services (Financial Reporting)	Number of Irregular expenditure condonation requests assessed in compliance with the Irregular Expenditure Framework	6	19	More irregular expenditure condonation requests were received from client institutions to finalise the 2020/21 financial year-end reporting		18	19
	Number of consolidated Instruction Note 34 reports produced to National Treasury (NT) on compliance to Payment of valid suppliers invoices within 30 days	3	3			12	3
	Number of public sector institutions (departments and public entities) supported in financial management to improve audit opinions.	5	9	Additional Requests were received from for financial management support relating to pre-audit AFS reviews for three (3) public entities and from One (1) Department for Asset Management support; resulting in the target being exceeded.		5	9
Sub-programme 3.7: Norms and Standards	Number of departments assessed to minimise non-compliance with legislation.	14	14			14	14
	Number of public entities assessed to minimise non-compliance with legislation	8	8			8	8
Sub-programme 4.2: Assurance Services	Number of audits conducted on the mainstreaming of vulnerable groups.	0	0	0		10	0

Programme 4: Internal Audit

Programme 5: Municipal Finance Management	Programme 5: Municipal Finance Management	Number of follow-up reviews conducted on the implementation of recommendations on resolved audit findings.	7	23	Carry over projects from 2020/21 financial year	Annual approval is obtained from Provincial Audit and Risk Committee.	52	23	
		Number of Internal Audit Reviews conducted	24	41	Carry over projects from 2020/21 financial year	Annual approval is obtained from Provincial Audit and Risk Committee.	104	41	
		Number of reports to the Oversight Structures to strengthen oversight to enforce accountability by public sector institutions produced.	14	14			58	14	
		Sub-programme 4.3: Risk Management	Number of reports on Risk Management reviews conducted for departments.	10	11	More requests for Risk assessments received from Departments		42	11
		Number of audit committee technical support provided to municipalities.	2	2			8	2	
		Number of ethics and corruption risk assessment reviews conducted for departments	7	0	Unit has inadequate resources and skills to execute the project	made submission for contract post, waiting approval	14	0	
		Number of Internal Control (IC) assessment reports produced.	0	10	Cabinet expects these assessments to be finalised by 30 June 2021		14	10	
		Number of risk assessment reviews conducted for municipalities.	5	11	More requests for Risk assessments received from Municipalities		20	11	
		Number of Risk management and internal control training provided to clients (departments and municipalities).	5	10	More requests for training received from Municipalities		35	10	
		Sub-programme 5.3: Municipal Accounting & Reporting	Number of financial management support projects implemented at targeted municipalities.	0	0			6	0
		Sub-programme 5.4: Municipal Support Programme	Number of MSP projects implemented at targeted municipalities	0	6	Due to the delays in the finalisation of the municipal 2019/20 external audits, the post audit support offered to municipalities continued into the 2021/22 provincial financial year		10	6
		Number of reports produced on the implementation of MSCOA by municipalities.	1	1			4	1	
		Total	71						

Quarter - 1			Quarter - 2		Quarter - 3		Quarter - 4	Audited Annual
Username Q1	Role Q1	Feedback Q1	Feedback Q2	Dated Q2	Username Q3	Dated	Username	
Linda Phillip Lakaje	Department Coordinator	Kindly find the attached indicators and targets for checking and removal of not need items						
Linda Phillip Lakaje	Department Coordinator	Kindly receive the EQPR						
Lulama Sthembele Ndrazi	OTP Coordinator	Please receive report with comments and kindly provide corrective action where target was						
Lulama Sthembele Ndrazi	OTP Coordinator	Please receive report with comments and kindly provide corrective action where target was						
Santanu Moodley	Accounting Officer	Approval Certificate: Q1- Dear DG,						