

**QPR for FY 2021-22 for Provincial Institution of KwaZulu-Natal: Provincial Treasury of location KwaZulu Natal as of ( Wednesday, May 4, 2022 1:00:13 PM )**

Frequency	Programme	Sub-Programme	Indicator	Indicator Type	Output	MTEC Priority	Cluster	Quarter - 4				Annual Performance						
								Target Q4	Actual Output Q4	Reason for Deviation Q4	Corrective Action Q4	Annual Target	Aggregate Output	Pre-Audited Annual Performance	Reason for Deviation	Corrective Action		
Annually	Programme 1: Administration	Sub-programme 1.1: Financial Management (Office of the CFO)	No material audit findings in the areas of financial management matters of the department.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					Unqualified Audit Opinion.	Unqualified Audit Opinion.					
		Sub-programme 1.2: Human Resource Management	No material audit finding in the departments Human Resource Management areas.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					No material audit finding in the departments Human Resource Management areas.	No material audit finding in the departments Human Resource Management areas.					
			Number of Human Resources Plan (HRP) developed.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					5	1					
			Number of Annual Work Place Skills Plan (WSP) developed.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					1	1					
			% of women employed at SMS level.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					50	43	Most post are still in recruitment process and plans to have filled further SMS post 31/3 were delayed due to having to re-approve panel members and start shortlisting process as per Executive instruction.	Every attempt will be made to fill currently vacant SMS post with females as per the target indicated on advert.			
			% of employees with disabilities, employed in the Department.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					2	1.8	Department lost an employee to Community Safety reducing number of PWDs	The number of initiatives as per the approved HR Plan of the department to be embarked upon to meet this target. More networking strategies			
			% of unemployed youth employed against youth development programs in the Department.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					5	11	Dept has more youth programmes than normally prescribed e.g TAP and uT L/ship				
		Sub-programme 1.3: Information Technology Management	No material audit finding in the areas of Information Technology within the department.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					No material IT audit findings.	No material IT audit findings.					
			Number of business processes automated to enable the department to meet its Strategic Objectives.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					4	4					
			Number of MTEC reports on budget and expenditure management for realistic and credible budgets	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					15	15					
		Programme 2: Sustainable Resource Management	Sub-programme 2.3: Public Finance	Inputs into DORA on provincial allocations.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					1	1				
				Increase provincial own revenue by reducing staff debt balance in the Department of Education.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					R30 million increase	R30 million increase				
				Number of Delegated Municipal Tabled Budgets evaluated with feedback provided.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					51	51				
		Programme 5: Municipal Finance Management	Sub-programme 5.2: Municipal Budget	Number of Delegated Municipal Approved Budgets evaluated with feedback provided.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					51	51				
				Number of Delegated Municipal Tabled Budgets evaluated with feedback provided.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					51	51				
Number of financial statements reviews conducted at targeted municipalities.	Non-Standardized			Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					7	9	Due to the delays in the finalisation of the municipal 2019/20 external audits, the post audit support offered to municipalities continued into the 2021/22 provincial financial year					
Sub-programme 5.5: Municipal Revenue & Debt Management	Number of Revenue and Debt projects implemented at targeted municipalities.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster					1	2	The initial target was 1 project for the quarter. However, the support offered is driven by the needs of the municipalities delegated to the KZN provincial Treasury. Consequently, the number of projects had to be increased to assist other municipalities where similar support was required urgently. Delays in implementing the projects or deferral thereof could have impacted the municipality negatively. Therefore, the projects were initiated immediately. This resulted in an over-achievement of the initial target.						
		Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster													
		Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster													
Quarterly	Programme 1: Administration	Sub-programme 1.1: Financial Management (Office of the CFO)	Produce Main & Adjustment budget submissions for the department.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	Produce Main budget Submission.	Produce Main budget Submission.			Budget submission documents produced.	-					
			Percentage of supplier's valid invoices paid within 30 days.	Non-Standardized	Average	An efficient, effective and development-oriented public service	Governance and Administration cluster	100	100			100	99,5					
			Report on Government procurement spending in Women, Youth and People with disability.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1			4	4					
		Sub-programme 1.2: Human Resource Management	Number of empowerment initiatives (seminars/articles) relating to Gender Based violence issues	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1			4	8					
			Number of Socio-economic review & outlook reports of the province produced to evaluate and monitor economic trends and performance supporting policy formulation.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	5	5			10	10					
		Programme 2: Sustainable Resource Management	Sub-programme 2.2.1: Economic Analysis	Number of Value for money assessment reports for efficient resources allocation produced to evaluate and monitor departmental impact performance and policy formulation	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	0	2	There was nothing planned for quarter 4, however the reason for deviation with over achievement in quarter 4 is that the unit did not submit output for quarter 3 and agreed with M&E to submit in quarter 4.		6	4				
				Number of reports on IDMS Oversight Monitoring and Performance Assessment at Provincial Departments.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1			4	4				
				Number of early warning system reports to address variances requiring remedial action	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	3	3			18	18				
Sub-programme 2.3: Public Finance	Number of Institutions capitalized in the implementation of the PPP Processes.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1			2	2							

Programme 3: Financial Governance

Sub-programme 3.2: Asset and Liabilities Management	Number of Compliance reports on the implementation of the PPPFA Regulations inclusive of targets per targeted group in PPP Projects	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1			4	4			
	Number of risk analysis reports compiled per department to minimise non-compliance with payroll tax legislation	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	14	14			56	56			
	Number of assessment reports on status of bank related suspense accounts issued to departments to minimise audit queries	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	14	14			56	56			
	% of Financial transversal systems uptime.	Non-Standardized	Average	An efficient, effective and development-oriented public service	Governance and Administration cluster	97	100	Overachieve due to constant monitoring of back up systems.		97	99,75			
	Number of departments with implemented Invoice Management System in the province.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	2	0	The pilot survey by the first targeted department (Provincial Treasury) was concluded in December 2021 and there were shortcomings and deficiencies to address the National Treasury Instruction Note Number 34	To invite stakeholders from all provincial CFO's offices to provide requirement gatherings and map them with the pilot survey. Recommendations will be issued after the gathering	3	1			
	Number of departments with implemented e-Leave Management System in the province. (ERP)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	System implemented at 1 department.	0	Approved by National Treasury was granted on 07 December 2021 and had conditions that requires procurement processes to be followed for infrastructure and use the internal IT resources for implementation.	Since approval is granted, the request for resource availability shall be requested from IT and HR be engaged if necessary.	1 department	-			
	Sub-programme 3.4: Provincial Supply Chain Management	Number of SCM routine compliance assessments conducted.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	36	45	There were requests from institutions requesting PT to provide advice		144	172		
		Number of reports produced on the Implementation of the Annual Procurement Plans by public sector institutions.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	2	2			10	10		
		Number of SCM prescripts reviewed.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	3	3	The unit stopped reviewing policies after the court judgment on the 16th February which created uncertainty on how the policy should be reviewed		12	13		
		Number of reports produced on the status of Bid Appeals Tribunal and Municipal Bid Appeals Tribunal.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1			4	4		
Number of reports produced on Pre-order/ Pre-award compliance assessment including COVID-19 conducted.		Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	0	This task/ SCM Circular 02A of 2020/2021 has been temporarily withdrawn due to the limited resources. Resources has been placed in the two critical departments, mainly DoT and DoE to assist with SCM processes.		4	2			
Number of Contract Management Reviews at Departments, Municipalities and Public Entities conducted.		Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	21	21			83	83			
Number of reports produced on the status of the Implementation of Operational Open tender system.		Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	0	This will be covered on the Procurement Bill which is still to be approved.		2	0			
Number of reports produced on the distribution of spend and commodities to the targeted groups and bids advertised inclusive of targets per targeted group in terms of the Procurement Plan.		Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1			4	3			
Sub-programme 3.6: Accounting Services (Financial Reporting)	Number of public sector institutions (departments and public entities) supported in financial management to improve audit opinions.	Non-Standardized	Min	An efficient, effective and development-oriented public service	Governance and Administration cluster	5	7	Over-achievement due to increased number of requests received from departments		5	6			
	Number of consolidated instruction Note 34 reports produced to National Treasury (NT) on compliance to Payment of valid suppliers' invoices within 30 days	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	3	3			12	12			
	Number of irregular expenditure condonation requests assessed in compliance with the Irregular Expenditure Framework	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	4	6	Over-achievement due to more than 1 submission made by an entities.		18	65			
Sub-programme 3.7: Norms and Standards	Number of departments assessed to minimise non-compliance with legislation.	Non-Standardized	Average	An efficient, effective and development-oriented public service	Governance and Administration cluster	14	14			14	14			
	Number of public entities assessed to minimise non-compliance with legislation	Non-Standardized	Average	An efficient, effective and development-oriented public service	Governance and Administration cluster	8	8			8	8			
Programme 4: Internal Audit	Sub-programme 4.2: Assurance Services	Number of Internal Audit Reviews conducted	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	30	48	Due to carry over of projects from previous quarters	Monitor protocol process to ensure delays from clients are not delayed	104	121		
		Number of follow-up reviews conducted on the implementation of recommendations on resolved audit findings.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	13	17	Due to carry over of projects from previous quarters	Monitor protocol process to ensure delays from clients are not delayed	52	61		
		Number of audits conducted on the mainstreaming of vulnerable groups.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	5	5			10	0		
		Number of reports to the Oversight Structures to strengthen oversight to enforce accountability by public sector Institutions produced.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	15	0	Delay in appointing Audit Committee members - therefore no oversight meetings and reports	MEC Office in the process of appointing AC members	58	42		
Sub-programme 4.3: Risk Management	Number of reports on Risk Management reviews conducted for departments.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	10	12	Target has been overachieved due to adhoc requests from clients		42	45			

