

QPR for FY 2020-21 for Provincial Institution of KwaZulu-Natal: Provincial Treasury of location KwaZulu Natal as of (Monday, August 3, 2020 11:39:01 AM)

Frequency	Programme	Sub-Programme	Indicator	Indicator Type	Output	IMTSF Priority	Cluster	Target Q1	Actual Output Q1	Reason for Deviation Q1	Corrective Action Q1	Annual Performance					
												Annual Target	Aggregate Output	Reason for Deviation	Corrective Action		
Annually	Programme 2: Administration	Sub-programme 1.1: Finance Management (Office of the CFO)	No material audit findings in the area of financial management of the department.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster						Unqualified Audit Opinion				
		Sub-programme 1.2: Human Resource Management	No material Human Resource Management and Development audit findings.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster							No material Human Resource Management and Development audit findings.			
		Sub-programme 1.3: Information Technology Management	No material Information Technology audit findings.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster							No material IT audit findings.			
	Programme 2: Sustainable Resource Management	Sub-programme 2.3: Public Finance	1. Number of MTEC reports on budget and expenditure management for realistic and credible budgets.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster							15			
			2. Inputs into DDRA on provincial allocations.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								1		
	Programme 4: Internal Audit	Sub-programme 4.3: Risk Management	Number of Internal Control (IC) reports on compliance with minimum IC Standards.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster							1			
			1. Number of Delegated Municipal Budgets evaluated with feedback provided.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster								51	17	Due to the COVID-19 impacting a number of municipalities, and some municipalities having to close down for a few days after a number of active cases of COVID-19 at the municipalities, municipalities have not been operating at full capacity. They have had to deal with logistical challenges such as conducting virtual meetings which they were not prepared for. Thus, there were numerous challenges for municipalities to table and submit their budget documents and data strings, despite several reminders from the Provincial Treasury. Some municipalities submitted their draft budget and approved their final budget within a week, hence, allowing no reasonable time for Provincial Treasury to have undertaken an assessment of the draft budget. Furthermore, Government Gazette No. 43181 issued on 30 March 2020 exempted municipalities and municipal entities from certain provisions of the Act due to the national state of disaster, which caused further delays in municipalities complying with tabling and submission of the budgets documents.
	Programme 5: Municipal Finance Management	Sub-programme 5.2: Municipal Budget	2. Number of Delegated Municipal Approved Budgets evaluated with feedback provided.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster							51	0		
			Default	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster										
	Quarterly	Programme 1: Administration	Sub-programme 5.3: Municipal Accounting & Reporting	Number of financial statement review projects conducted at targeted municipalities.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster						7			
Sub-programme 5.5: Municipal Revenue & Debt Management			Number of Revenue and Debt projects implemented at targeted municipalities	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster							1 Project			
Sub-programme 1.1: Financial Management (Office of the CFO)			Percentage of supplier's valid invoices paid within 30 days.	Non-Standardized	Average	An efficient, effective and development-oriented public service	Governance and Administration cluster	100	100					100	100		
Programme 2: Sustainable Resource Management		Sub-programme 2.2.1: Economic Analysis	1. Number of reports to Evaluate and Monitor Economic Trends and Performance supporting Policy Formulation.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	2	2					12	2		
			2. Number of reports to Evaluate and Monitor Departmental Impact Performance and Policy Formulation	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	3	3					7	3		
		Sub-programme 2.2.2: Infrastructure Management	Number of reports on IDMS Oversight Monitoring and Performance Assessment at Provincial Departments.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1					6	1		
Programme 3: Financial Governance		Sub-programme 2.3: Public Finance	Number of early warning system reports to address variances requiring remedial action.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	5	4	The 2019/20 Unaudited Report has been moved to Quarter 2 in line with the withdrawal of				18	4		
			1. Number of risk analysis reports compiled per department to minimise non-compliance with payroll tax legislation	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	14	14					96	14		
		Sub-programme 3.3: Supporting and Interlinked Financial Systems	2. Number of assessment reports on status of bank related suspense accounts issued to departments to minimise audit findings.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	14	0	Due to lock down restrictions and lack access to facilities, bank statements are delayed in	To be achieved by 31 July 2020			56	0		
			1. % of financial transactional systems upline.	Standardized	Average	An efficient, effective and development-oriented public service	Governance and Administration cluster	97	100	Demand for system was low due to Covid-19 lockdown				97	100		
Sub-programme 3.4: Provincial Supply Chain Management	2. Number of reports on the implementation of the Invoice Management System in the province.	3. Number of reports on the implementation of the e-Leave Management System in the province. (ERP)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	Progress report on the Implementation.	1 Progress report issued					System piloting to 2 departments.				
		1. Report on the % reduction in the number of SCM related audit findings.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	Report on initiatives and projects conducted to reduce the number of SCM related audit findings.	33						Reduction of 30%			
		2. Number of reports on the implementation of Operational Open tender system.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	1 Report on Policy/Statutory Mandate development.	0	The system has not yet been implemented. The Directorate managed to begin more about	To provide an updated report on the implementation of the system.	Formulate Policy/Statutory Mandate and pilot in 1 department						
Sub-programme 3.5: Public Private Partnerships (PPPs)	3. Number of reports on the bids advertised inclusive of targets per targeted group in terms of the Procurement Plan.	1. Number of institutions capacitated in the implementation of the PPP Processes.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	1 report on the distribution to the targeted group.	0	The instruction note to the departments has not yet been approved	To make a follow up regarding the approval and issuance of the instruction	4 reports on the distribution to the targeted group		2	0			
		2. Number of Compliance reports on the implementation of the PPPFA Regulations inclusive of targets per targeted group.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1					4	1			
Sub-programme 3.6: Accounting Services (Financial Reporting)	1. Number of public sector institutions supported in financial management to reduce qualified audit opinions.	Standardized	Average	An efficient, effective and development-oriented public service	Governance and Administration cluster	5	5					5	5				
		2. Number of monitoring reports on investigation of wasteful expenditure by public sector institutions.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	0	This target has since been removed on the revised APP as it is outside of the control of				4	0			

		3. Number of consolidated Instruction Note 34 to NT on compliance to Payment of valid suppliers invoices within 30 days.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	3	3			12	3		
Programme A: Internal Audit	Sub-programme 3.7: Norms and Standards	1. Number of departments assessed to minimise non-compliance with legislation.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	Follow-up on remedial measures implemented by departments and recommendations contained in the Assessment Reports issued per department for 2018/20 to address identified deficiencies.	14	Follow-up on remedial measures implemented by departments and recommendations contained in the Assessment Reports issued per department for 2019/20 to address identified deficiencies.					
		2. Number of public entities assessed to minimise non-compliance with legislation.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	Development and issue of Compliance Questionnaire and Compliance Checklist to 8 entities	8	Development and issue of Compliance Questionnaire and Compliance Checklist to 8 entities.					
Programme A: Internal Audit	Sub-programme 4.2: Assurance Services	1. Number of Internal Audit Reviews conducted.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	28	19	Due to lockdown audit were cancelled	To reschedule the Audits	112	19		
		2. Number of follow-up reviews on the implementation of recommendations or resolved audit findings.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	10	16	carry over of audits from the previous financial year		42	16		
		3. Number of reports to the Oversight Structures to strengthen oversight to enforce accountability.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	11	5	due to lockdown CARC meetings were postponed	CARC meeting rescheduled	44	5		
Programme A: Internal Audit	Sub-programme 4.3: Risk Management	1. Number of reports on Risk Management reviews conducted.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	0				76	0		
		2. Number of reports to the Oversight Structures to strengthen oversight to enforce accountability.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	10	5	There was delay in the finalization for the report.	To finalize the report an report during the second quarter	40	5		
Programme S: Municipal Finance Management	Sub-programme 4.4: Forensic Services	2. Number of follow-ups on the implementation of recommendations on completed investigations (accountability/ consequence management)	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	14	14			56	14		
		1. Number of resolved Forensic Investigations.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	4	units was under pressure to issue all four (4) forensic reports before 30 June 2020		4	4		
	3. Number of reports to the Oversight Structures to strengthen oversight to enforce accountability.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	10	8	There was delay in the finalization for the report.	To finalize the report an report during the second quarter	40	8			
	Sub-programme 5.3: Municipal Accounting & Reporting	Number of Financial management support projects implemented at targeted municipalities.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	3 Projects	3 Projects			6 Projects			
Programme S: Municipal Finance Management	Sub-programme 5.4: Municipal Support Programme	1. Number of MSP projects implemented at targeted municipalities.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	3 Projects	3 Projects			10 MSP Projects implemented			
		2. Number of reports on the Implementation of MSCOA and compliance by municipalities.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster	1 Report	1 Report			4 reports on the implementation progress.			
Total														

Feedback													
Quarter - 1				Quarter - 2				Quarter - 3				Audited Annual	
Username Q1	Role Q1	Feedback Q1	Dated Q1	Username Q2	Role Q2	Feedback Q2	Dated Q2	Username Q3	Dated	Username	Feedback		
Linda Phillip Lakaje	Department Coordinator	Quarter 1 report submitted.	20/07/2020										
Linda Phillip Lakaje	Department Coordinator	Report for Quarter 1 submitted for you review and comments.	28/07/2020										
Santana Moodley	Accounting Officer	Approval Certificate: Q1- Dear DG,	30/07/2020										
iffiso ndaba	Department Coordinator	quarter 1 report submitted	30/07/2020										
iffiso ndaba	Department Coordinator	Final Quarter QPRS Report submitted.	30/07/2020										