

QPR for FY 2020-21 for Provincial Institution of KwaZulu-Natal: Provincial Treasury of location KwaZulu Natal as of (Tuesday, February 9, 2021 12:34:43 PM)

Frequency	Programme	Sub Programme	Indicator	Indicator Type	MTSF Priority	Cluster	Audited outcome FY 2019-20	Quarter - 3				Annual Performance					
								Target Q3	Actual Output Q3	Reason for Deviation Q3	Corrective Action Q3	Annual Target	Aggregate Output	Reason for Deviation	Corrective Action		
Annually	Programme 1: Administration	Programme 1: Administration															
		Sub-programme 1.1: Financial Management (Office of the CFO)	No material audit findings in the area of financial management of the department.	Non-Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster							Unqualified Audit Opinion	No material audit findings in the area of financial management of the department			
		Sub-programme 1.2: Human Resource Management	No material Human Resource Management and Development audit findings.	Non-Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster							No material Human Resource Management and Development audit findings.	No material Human Resource Management and Development audit findings.			
	Programme 2: Sustainable Resource Management	Sub-programme 1.3: Information Technology Management	No material Information Technology audit findings.	Non-Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster							No material IT audit findings.	No material IT audit findings.			
		Sub-programme 2.3: Public Finance	1. Number of MTEC reports on budget and expenditure management for realistic and credible budgets.	Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster							15	15	The 2021/22 MTEC budget process was delayed by National Treasury with the budget guidelines only being issued in August as opposed to May and the 1st draft budget database only due in mid-September as opposed to the end of July as was the case in other years. A decision was then taken to prepare MTEC reports based on the 2nd draft database and the MTEC chapters prepared in the third quarter.	None.	
	Programme 4: Internal Audit	Sub-programme 4.3: Risk Management	2. Impact of DORA on provincial allocations.	Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster							1	1	The provincial inputs into the DORA were submitted to National Treasury on 27 August 2020. These inputs are demand driven and are reliant on when National Treasury requests the inputs.	None.	
			Number of Internal Control (IC) reports on compliance with minimum IC Standards.	Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster							1				
	Quarterly	Programme 5: Municipal Finance Management	Sub-programme 5.2: Municipal Budget	1. Number of Delegated Municipal Tables Budgets evaluated with feedback provided.	Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster						51	47	Due to the COVID-19 impacting a number of municipalities, and some municipalities having to close down for a few days after a number of active cases of COVID-19 at the municipalities, municipalities have not been operating at full capacity. They have had to deal with logistical challenges such as conducting virtual meetings which they were not prepared for. Thus, there were numerous challenges for municipalities to table and submit their budget documents and data strings, despite several reminders from the Provincial Treasury. Some municipalities submitted their draft budget and approved their final budget within a week, hence, allowing no reasonable time for Provincial Treasury to have undertaken an assessment of the draft budget. Furthermore, Government Gazette No. 43185 issued on 20 March 2020 exempted municipalities and municipal entities from certain provisions of the Act due to the national state of disaster, which caused further delays in municipalities complying with tabling and submission of the budgets documents.	An assessment of the all 51 municipalities' final Approved budget will be undertaken in Quarter 2.	
				2. Number of Delegated Municipal Approved Budgets evaluated with feedback provided.	Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster							51	51		
			Sub-programme 5.3: Municipal Accounting & Reporting	2. Number of financial management support projects implemented at targeted municipalities.	Non-Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster								3 projects		
Number of financial statement review projects conducted at targeted municipalities.				Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster								7			
Sub-programme 5.5: Municipal Revenue & Debt Management			Number of Revenue and Debt projects implemented at targeted municipalities.	Non-Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster								1 Project			
Programme 1: Administration	Sub-programme 1.1: Financial Management (Office of the CFO)	Percentage of supplier's valid invoices paid within 30 days.	Non-Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		100	99%	There were outstanding queries/outstanding supporting documents that had to be cleared prior to the payment of the invoices.	Invoice will not be accepted if there are outstanding payments.		100	99.3333333333333				
		Programme 2: Sustainable Resource Management	Sub-programme 2.2.1: Economic Analysis	1. Number of reports to Evaluate and Monitor Economic Trends and Performance supporting Policy Formulation.	Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		2	2				12	7		
				2. Number of reports to Evaluate and Monitor Departmental Impact Performance and Policy Formulation	Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	0	Due to the volume of adhoc assignments by the unit during the quarter in question, the document which would have been submitted for evidence was in a draft format, and had not yet been finalised.	The report will since be finalised during Quarter 4 and submitted together with the Quarter 4 evidence.			7	4	
		Sub-programme 2.2.2: Infrastructure Management	Number of reports on IDMS Oversight Monitoring and Performance Assessment at Provincial Departments.	Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1					6	4		

Programme 3: Financial Governance

Sub-programme 2.3: Public Finance	Number of early warning system reports to address variances requiring remedial action.	Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		5	5				18	13
Sub-programme 3.2: Asset and Liabilities Management	1. Number of risk analysis reports compiled per department to minimise non-compliance with payroll tax legislation. 2. Number of assessment reports on status of bank related suspense accounts issued to departments to minimise audit queries.	Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		14	14				56	42
Sub-programme 3.3: Supporting and Interfaced Financial Systems	1. % of Financial transversal systems uptime. 2. Number of reports on the implementation of the Invoice Management System in the province. 3. Number of reports on the implementation of the e-Leave Management System in the province. (ERP)	Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		97	100	Loss demand and use of the system and reporting due to Covid 19	Constant monitoring of systems by the Directorate		97	100
Sub-programme 3.4: Provincial Supply Chain Management	1. Report on the % reduction in the number of SCM related audit findings. 2. Number of reports on the pre-order compliance assessment pertaining to COVID-19 2. Number of reports on the implementation of Operational Open tender system. 3. Number of reports on the bids advertised inclusive of targets per targeted group in terms of the Procurement Plan.	Non-Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		109	0	There were no requests received for pre-order assessment for quarter 4	None		3 reports on the pre-order compliance assessment pertaining to COVID-19	
Sub-programme 3.5: Public Private Partnerships (PPPs)	1. Number of institutions capacitated in the implementation of the PPP Processes. 2. Number of Compliance reports on the implementation of the PPPFA Regulations inclusive of targets per targeted group.	Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		0	0				2	0
Sub-programme 3.6: Accounting Services (Financial Reporting)	1. Number of public sector institutions supported in financial management to reduce qualified audit opinions. 2. Number of monitoring reports on investigation of wasteful expenditure by public sector institutions. 3. Number of consolidated Instruction Note 34 to RT on compliance to Payment of valid supplies invoices within 30 days.	Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		5	8	Due to unforeseen circumstances the reports could not be finalised in time	The report will be finalised during the 4th quarter and be submitted together with the fourth quarter reports.		4	2
Sub-programme 3.7: Norms and Standards	1. Number of departments assessed to minimise non-compliance with legislation. 2. Number of public entities assessed to minimise non-compliance with legislation.	Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	1	There were more requests received from the departments in terms of financial management support required.	None		5	7
Sub-programme 4.2: Assurance Services	1. Number of Internal Audit Reviews conducted. 2. Number of follow-up reviews on the implementation of recommendations on resolved audit findings. 2. Number of follow-up reviews on the implementation of recommendations on resolved audit findings. 3. Number of audits on COVID expenditure	Non-Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		28	4	Due to changes in the internal audit operational plans, resources were allocated to the COVID-19 compliance audit reviews, as a result there were delays in the implementation of certain projects.	Projects have been carried over to the 4th quarter.		80	9
		Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		28					112	19
		Non-Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		7	0	Due to changes on the internal audit operational plans, certain follow up audits from the previous quarters were moved to quarter 4	None		37	10
		Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		11					42	16
		Non-Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		2	12	Due to changes in the internal audit operational plans, Assurance Services has prioritised COVID 19 audit reviews across all departments.	None		7	17

Programme 5: Municipal Finance Management	Sub-programme 4.3: Risk Management	3. Number of reports to the Oversight Structures to strengthen oversight to enforce accountability.	Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		11				44	5		
		4. Number of reports to the Oversight Structures to strengthen oversight to enforce accountability.	Non-Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		11	14	Due to the move of Forensic Services to Office of the Premier Assurance Services has been allocated additional oversight reports to complete.	This target will be review on the 2021/22 APP.	44	24		
	Sub-programme 4.4: Forensic Services	1. Number of reports on Risk Management reviews conducted.	Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		0	23	The department undertook Risk Assessments for the Municipalities in relation to the Covid-19 and reports had to be urgently issued to the clients for implementation.	None.	76	71		
		2. Number of reports to the Oversight Structures to strengthen oversight to enforce accountability	Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		10	14	4 additional reports were added to the unit when forensic investigation unit moved to the Office of the Premier.	None.	40	29		
	Sub-programme 5.3: Municipal Accounting & Reporting	2. Number of follow-ups on the implementation of recommendations on completed investigations. (accountability/ consequence management)	Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		14				56	14		
		1. Number of resolved Forensics investigations.	Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		1				4	4		
		3. Number of reports to the Oversight Structures to strengthen oversight to enforce accountability.	Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		10				40	8		
	Sub-programme 5.4: Municipal Support Programme	1. Number of financial statement review projects conducted at targeted municipalities.	Non-Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		2 Projects	12 Projects	The MAR initially planned to conduct 3 AFS review projects during the quarter. However, municipal support is driven by the needs of the municipalities delegated to KZN Provincial Treasury. Consequently, during the assessment performed to select municipalities to support, it was decided to include an additional 10 municipalities that required support in the AFS review projects this quarter. AFS submissions need to be done by 30 October 2020 and the impact of the AFS reviews can only be done in this quarter to benefit the municipalities positively. Therefore, the projects were initiated immediately. This resulted in an over-achievement of the initial target.	To analyse the trend and take into account for future targeting.	2 Projects	-		
		Number of financial management support projects implemented at targeted municipalities.	Non-Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		0	6			6 Projects			
	Sub-programme 5.4: Municipal Support Programme	1. Number of MSP projects implemented at targeted municipalities	Non-Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		3 projects	6 Projects	The MSP initially planned to perform 3 projects for the quarter. However, municipal support is driven by the needs of the municipalities delegated to KZN Provincial Treasury. Consequently, projects had to be undertaken to assist municipalities urgently. Delays in implementing the projects or deferral thereof could have impacted the municipality negatively. Therefore, the projects were initiated immediately. This resulted in an over-achievement of the initial target.	To analyse the trend and take into account for future targeting.	6 projects			
2. Number of reports on the implementation of MSCOA and compliance by municipalities.		Standardized	An efficient, effective and development-oriented public service	Governance and Administration cluster		1				4 reports on the implementation progress.				

Feedback:												
Quarter - 1												
Username Q1	Role Q1	Feedback Q1	Audited Annual									
Username Q2	Role Q2	Feedback Q2	Username Q3	Role Q3	Feedback Q3	Username Q4	Role Q4	Feedback Q4	Username	Role	Feedback	
Linda Phillip Lokaje	Department Coordinator	Quarter 1 report submitted.	Linda Phillip Lokaje	Department Coordinator	Second quarter report							
sifiso ndaba	Department Coordinator	Report for Quarter 1 submitted for your review and comments.	Lulama Mthembu Ndaba	Department Coordinator	Dear Lulama,							
Santanu Moodley	Accounting Officer	Approval Certificate Q1 - Dear DG,	Lulama Mthembu Ndaba	OTP Coordinator	Please receive report with							
sifiso ndaba	Department Coordinator	Quarter 1 report submitted	Lulama Mthembu Ndaba	OTP Coordinator	Please receive report with							
sifiso ndaba	Department Coordinator	First Quarter (Q1) report submitted.	sifiso ndaba	Department Coordinator	Good Morning							
			Linda Phillip Lokaje	Department Coordinator	Quarter 2 reports							
			Santanu Moodley	Accounting Officer	Approval Certificate Q2-							
						The 3rd quarter report is						
						quarter 3 Performance						
						Please receive report with						
						Please receive report with						
						kindly receive the 3rd						
						kindly receive the 3rd						
						Approval Certificate Q3-						