

QPR for FY 2020-21 for Provincial Institution of KwaZulu-Natal: Provincial Treasury of location: KwaZulu Natal as of (Monday, November 2, 2020 9:15:07 AM)

Frequency	Programme	Sub-Programme	Indicator	Indicator Type	Output	MTEF Priority	Cluster	Audited outcome FY 2019 - 20	Quarter - 1				Quarter - 2				
									Target Q1	Actual Output Q1	Reason for Deviation Q1	Corrective Action Q1	Target Q2	Actual Output Q2	Reason for Deviation Q2		
Annually	Programme 1: Administration	Programme 1: Administration	Default	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster										
		Sub-programme 1.1: Financial Management (Office of the CFO)	No material audit findings in the area of financial management of the department.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster										
		Sub-programme 1.2: Human Resource Management	No material Human Resource Management and Development audit findings.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster										
		Sub-programme 1.5: Information Technology Management	No material Information Technology audit findings.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster										
		Sub-programme 2.3: Public Finance	1. Number of MTEC reports on budget and expenditure management for realistic and credible budgets. 2. Inputs into DORA on provincial allocations.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster										
	Programme 2: Sustainable Resource Management	Sub-programme 2.3: Public Finance	1. Number of MTEC reports on budget and expenditure management for realistic and credible budgets.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster										
			2. Inputs into DORA on provincial allocations.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster										
	Programme 4: Internal Audit	Sub-programme 4.3: Risk Management	Number of Internal Control (IC) reports on compliance with minimum IC Standards.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster										
	Quarterly	Programme 5: Municipal Finance Management	Sub-programme 5.1: Municipal Budget	1. Number of Delegated Municipal Tabled Budgets evaluated with feedback provided.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster									
				2. Number of Delegated Municipal Approved Budgets evaluated with feedback provided.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster									
Sub-programme 5.3: Municipal Accounting & Reporting			2. Number of financial management support projects implemented at targeted municipalities.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster										
			Number of financial statement review projects conducted at targeted municipalities.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster										
Sub-programme 5.5: Municipal Revenue & Debt Management			Number of Revenue and Debt projects implemented at targeted municipalities	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster										
Programme 1: Administration			Sub-programme 1.1: Financial Management (Office of the CFO)	Percentage of supplier's valid invoices paid within 30 days.	Non-Standardized	Average	An efficient, effective and development-oriented public service	Governance and Administration cluster		100	100			100	99%		There were queries/outstanding information on the invoices.
				Programme 2: Sustainable Resource Management	Sub-programme 2.2.1: Economic Analysis	1. Number of reports to Evaluate and Monitor Economic Trends and Performance supporting Policy Formulation.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		0	2			3	3
2. Number of reports to Evaluate and Monitor Departmental Impact Performance and Policy Formulation.			Standardized			Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		0	3			1	1		
Programme 2: Sustainable Resource Management			Sub-programme 2.2.2: Infrastructure Management	Number of reports on IDMS Oversight Monitoring and Performance Assessment at Provincial Departments.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		0	1			2	0		
				Sub-programme 2.3: Public Finance	Number of early warning system reports to address variances requiring remedial action.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		0	4	The 2019/20 Unaudited Report has been moved to Quarter 2 in line with the submission of proposed changes to targets.		5	4	
Programme 3: Financial Governance	Sub-programme 3.2: Asset and Liability Management	1. Number of risk analysis reports compiled per department to minimise non-compliance with payroll tax legislation.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		14	14			14	14				

		2. Number of assessment reports on status of bans related suspense accounts issued to departments to minimise audit queries.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		14	0	Due to lock down restrictions and lack access to facilities, there were delays in finalisation of reports.	To be achieved by 31 July 2020	14	28	Q1 14 reports also done in Q2. Was delayed due to Covid-19 lockdown. Now up to date.
	Sub-programme 3.3: Supporting and Interlinked Financial Systems	1. % of Financial Transactional systems online.	Standardized	Average	An efficient, effective and development-oriented public service	Governance and Administration cluster		93	100	Demand for system was low due to Covid-19 Lockdown		97	100%	Less demand reporting due to Covid-19 and constant monitoring of systems by the Directorate
		2. Number of reports on the Implementation of the Invoice Management System in the province.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		Progress report on the implementation.	1 Progress report issued			Progress report on the implementation.	Progress report on the implementation.	None. Roll out planned for the fourth quarter.
		3. Number of reports on the Implementation of the e-Letter Management System in the province. (ERP)	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1 Progress report on the implementation.	1 Progress report issued			1 Progress report on the implementation.	1 Progress report on the implementation.	None. Roll out planned for the fourth quarter.
	Sub-programme 3.4: Provincial Supply Chain Management	1. Report on the % reduction in the number of SCM related audit findings.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		Report on initiatives and projects conducted to reduce the number of SCM related audit findings.	33			Report on initiatives and projects conducted to reduce the number of SCM related audit findings.	71	
		2. Number of reports on the pre-order compliance assessment pertaining to COVID-19	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster						1 compliance assessment report on the pre-order compliance assessment.		
		2. Number of reports on the Implementation of Operational Open tender system.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1 Report on Policy/Statutory Mandate development.	0	The system has not yet been implemented. The Directorate attempted to learn from other departments.	To provide an updated report on the implementation of the system.	1 Report on Policy/Statutory Mandate development		
		3. Number of reports on the bids advertised inclusive of targets per targeted group in terms of the Procurement Plan.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1 report on the distribution to the targeted group.	0	The instruction note to the departments has not yet been approved.	To make a follow up regarding the approval and leading of the instruction.	1 report on the distribution to the targeted group.	1 report	
	Sub-programme 3.5: Public Private Partnerships (PPPs)	1. Number of institutions capacitated in the implementation of the PPP Processes.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		0	0			1	0	Due to lockdown no training could be conducted to capacitate the implementation of PPP Process
		2. Number of Compliance reports on the implementation of the PPPFA Regulations inclusive of targets per targeted group.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	1			1	1	
	Sub-programme 3.6: Accounting Services (Financial Reporting)	1. Number of public sector institutions supported in financial management to reduce qualified audit opinions.	Standardized	Average	An efficient, effective and development-oriented public service	Governance and Administration cluster		8	5			5	8	additional request were received from client departments
		2. Number of monitoring reports on investigation of wasteful expenditure by public sector institutions.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	0	This target has since been removed on the revised APP as it is outside of the control of the department.		0		
		3. Number of consolidated Instruction Note 34 to NT on compliance to Payment of valid suppliers invoices within 30 days.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	3			3	3	
	Sub-programme 3.7: Norms and Standards	1. Number of departments assessed to minimise non-compliance with legislation.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		Follow-up on remedial measures implemented by departments and recommendations contained in the Assessment Reports issued per department for 2019/20 to address identified deficiencies.	14	Follow-up on remedial measures implemented by departments and recommendations contained in the Assessment Reports issued per department for 2019/20 to address identified deficiencies.		Development and Issue of Compliance Questionnaire and Compliance Checklist for 2020/21 to 14 departments.	Development and Issue of compliance questionnaire and compliance checklist for 2020/21 to 14 departments	
		2. Number of public entities assessed to minimise non-compliance with legislation.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		Development and Issue of Compliance Questionnaire and Compliance Checklist to 8 entities	Development and Issue of Compliance Questionnaire and Compliance Checklist to 8 entities.			Compliance Assessment Report issued per entity.	Compliance Assessment Report issued per entity	
Programme 4: Internal Audit	Sub-programme 4.1: Assurance Services	1. Number of Internal Audit Reviews conducted.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		0	0			5	5	
		2. Number of Internal Audit Reviews conducted.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		28	18	Due to lockdown audit were cancelled	To reschedule the Audits	28		
		2. Number of follow-up reviews on the Implementation of recommendations on resolved audit findings.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		0	0			0	1	This unit had a carry over project from the previous financial year that was finished in the quarter 3 for DSR
		2. Number of follow-up reviews on the Implementation of recommendations on resolved audit findings.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		18	16	carry over of audits from the previous financial year		10		
		3. Number of audits on COVID expenditure	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		0	0			5	5	
		3. Number of reports to the Oversight Structures to strengthen oversight to enforce accountability.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		11	5	due to lockdown CARC meetings were postponed	CARC meeting rescheduled	11		
		4. Number of reports to the Oversight Structures to strengthen oversight to enforce accountability.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		0	0			11	10	Due to the AG not finalising their regulatory audits for the 19/20 financial year, the Public Accounts Committee is unable to issue a final out report. The report will therefore be finalised in quarter 3 when the AG issue the reports for the 4 outstanding departments (DOH, DOT, DOE & DPW)
	Sub-programme 4.3: Risk Management	1. Number of reports on Risk Management reviews conducted.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		0				38	48	Additional requests for assistance with risk assessment were received from municipalities.
		2. Number of reports to the Oversight Structures to strengthen oversight to enforce accountability	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		10	5	There was delay in the finalization for the report.	To finalize the report on report during the second quarter	10	10	
	Sub-programme 4.4: Forensic Services	2. Number of follow ups on the implementation of recommendations on completed investigations. (accountability/ consequence management)	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		14	14			14		
		1. Number of resolved Forensic investigations.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	4	units was under pressure to issue all four (4) forensic reports within the 2020/2021 financial year.		1		
		3. Number of reports to the Oversight Structures to strengthen oversight to enforce accountability.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		10	8	There was delay in the finalization for the report.	To finalize the report on report during the second quarter	10		
Programme 5: Municipal Finance Management	Sub-programme 5.3: Municipal Accounting & Reporting	1. Number of financial statement review projects conducted at targeted municipalities.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		0	0			0		
		Number of financial management support projects implemented at targeted municipalities.	Non-Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3 Projects	3 Projects			3 Projects		

	Sub-programme 5.4c Municipal Support Programme	1. Number of MSP projects implemented at targeted municipalities.	Non-Standardised	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		0	0			0	12 reports	The MSP initially did not plan any projects for this quarter. However, municipal support is driven by the needs of the municipalities delegated to KZN Provincial Treasury. Consequently, projects had to be undertaken to assist municipalities urgently. Delays in implementing the projects or deferral thereof could have impacted the municipality negatively. Therefore, the projects were initiated immediately. This resulted in an over-achievement of the initial target.
		1. Number of MSP projects implemented at targeted municipalities.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3 Projects	3 Projects			3 Projects		
		2. Number of reports on the implementation of MSCOA and compliance by municipalities.	Standardized	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1 Report	1 Report			1	1	
Total:														

Feedback

Quarter - 1				Quarter - 2				Quarter - 3			
Username Q1	Role Q1	Feedback Q1	Date Q1	Username Q2	Role Q2	Feedback Q2	Date Q2	Username Q3	Role Q3	Feedback Q3	Date Q3
				Linda Phillip Lajaja	Department Coordinator	Second quarter report submission	11/10/2020				
				Sifiso Ndaba	Department Coordinator	Dear Lulama,	19/10/2020				
				Santana Mcedley	OTP Coordinator	Please receive report with comments	19/10/2020				
				Sifiso Ndaba	OTP Coordinator	Please receive report with comments	19/10/2020				
				Sifiso Ndaba	Department Coordinator	Good Morning,	29/10/2020				
				Linda Phillip Lajaja	Department Coordinator	Quarter 2 reports submitted	29/10/2020				
				Santana Mcedley	Accounting Officer	Approval Certificate Q2 - Dear Di,	29/10/2020				
				Sifiso Ndaba	Department Coordinator	First Quarter - PMS Report submitted.	30/07/2020				
				Sifiso Ndaba	Department Coordinator	First Quarter - PMS Report submitted.	30/07/2020				

		Quarter - 3				Quarter - 4				Annual Performance				
Corrective Action Q3	Target Q3	Actual Output Q3	Reason for Deviation Q3	Corrective Action Q3	Target Q4	Actual Output Q4	Reason for Deviation Q4	Corrective Action Q4	Annual Target	Aggregate Output	Pre-Audited Annual Performance	Reason for Deviation	Corrective Action	Audited Annual Performance
									Unqualified Audit Opinion	No material audit findings in the area of financial management of the department				
									No material Human Resource Management and Development audit findings.	No material Human Resource Management and Development audit findings.				
									No material IT audit findings.	No material IT audit findings.				
									15					
									1	1		The provincial inputs into the DORB were submitted to National Treasury on 27 August 2020. These inputs are demand driven and are reliant on when National Treasury requests the inputs.		
									1					
									51	47		Due to the COVID-19 impacting a number of municipalities, and some municipalities having to close down for a few days after a number of active cases of COVID-19 at the municipalities, municipalities have not been operating at full capacity. They have had to deal with logistical challenges such as conducting virtual meetings which they were not prepared for. Thus, there were numerous challenges for municipalities to table and submit their budget documents and data strings, despite several reminders from the Provincial Treasury. Some municipalities submitted their draft budget and approved their final budget within a week, hence, allowing no reasonable time for Provincial Treasury to have undertaken an assessment of the draft budget. Furthermore, Government Gazette No. 43183 issued on 30 March 2020 exempted municipalities and municipal entities from certain provisions of the Act due to the national state of disaster, which caused further delays in municipalities complying with tabling and submission of the budgets documents.	An assessment of the all 51 municipalities' final Approved budget will be undertaken in Quarter 2.	
									51	51				
									3 projects					
									7					
									1 Project					
Queries were followed up and addressed with relevant service providers	100				100				100	99,5				
	2				5				12	5				
	3				2				7	4				
	7				2				6	0				
	8				3				18	8				
	14				14				56	28				

	14				14				56	78				
	97				97				97	100				
	Progress report on the implementation.				System piloting to 2 departments.				System piloting to 2 departments.					
	1 Progress report on the implementation.				1 report on system piloting to 2 departments.				System piloting to 2 departments.					
	Report on initiatives and projects conducted to reduce the number of SCM related audit findings.				Report on 30% reduction in the number of SCM related audit findings.				Reduction of 30%					
	1 compliance assessment report on the pre-order compliance assessments pertaining to COVID-19.				1 compliance assessment report on the pre-order.				3 reports on the pre-order compliance assessment.					
	1 Report on Policy/Statutory Mandate development				1 Report on the pilot of Open Tender System.				Formulate Policy/Statutory Mandate and pilot in 1 Department.					
	1 report on the distribution to the targeted group.				1 report on the distribution to the targeted group.				4 reports on the distribution to the targeted group.					
Two institutions have already been capacitated on implementation of PPP	0				1				2	0				
	1				1				4	2				
	5				5				5	6.5				
	0				0				4	0				
	0				3				11	6				
	Completion of Assessment Report issued per department for 2020/21.				Completion Follow Up Report issued per department for 2020/21.				14					
	Finalization Follow-up Report issued per week				Monitoring Compliance & Evaluation Report submitted for 2020/21 on the 8th 2021				0					
	28				19				80	5				
	28				28				112	10				
none	7				15				32	1				
	11				11				42	16				
	0				0				0	5				
	11				11				20	5				
Report will be finalized on quarter 3	11				11				14	10				
	0				0				30	48				
	10				10				40	11				
	14				14				56	14				
	2				1				5	4				
	10				10				40	8				
	2 Projects				0				0 Projects					
	0				0				0 Projects					

		3 projects										5 projects		
		2 Projects			2 Projects							10 MSP Projects Implemented		
		1			1							4 reports on the implementation progress.		

	Quarter - 4						Audited Annual				
	Detail Q4	Username Q4	Role Q4	Feedback Q4	Detail Q4	Username	Role	Feedback	Detail		