

QPR for FY 2019-20 for Provincial Institution of KwaZulu - Natal: Provincial Treasury of location KwaZulu Natal as of (Monday, June 8, 2020 2:44:08 PM)

Frequency	Programme	Sub Programme	Indicator	Outcome	Cluster	Quarter - 3				Quarter - 4				Annual Performance								
						Target Q3	Preliminary Q3	Validated Q3	Reason for Deviation Q3	Corrective Action Q3	Target Q4	Preliminary Q4	Reason for Deviation Q4	Corrective Action Q4	Annual Target	Preliminary	Validated	Reason for Deviation	Corrective Action			
Annually	Programme 2: Sustainable Resource Management	2.1.1 Public Finance: Provincial Budget Management	1. Number of chapters in MTEC report to be completed	An efficient, effective and development-oriented public service	Governance and Administration cluster										15	15			none			
			2. Timous tabling Estimates of Provincial Revenue and Expenditure (EPRE)	An efficient, effective and development-oriented public service	Governance and Administration cluster												March 2019 (1)	March 2020(1)			none	
			3. Timous tabling of Adjusted Estimates of Provincial Revenue and Expenditure (AEPRE)	An efficient, effective and development-oriented public service	Governance and Administration cluster												November 2019 (1)	November 2019 (1)	November 2019 (1)			none
		2.1.2 Provincial Own Revenue	1. Timous Revenue Input into Overview of Provincial Revenue and Expenditure (OPRE) and Estimates of Provincial Revenue and Expenditure (EPRE)	An efficient, effective and development-oriented public service	Governance and Administration cluster												March 2020 (1)	March 2020 (1)			none	
			2.1.3 Specialised Advisory support services	1. Timous Input into the revision of Division Of Revenue Act (DORA)	An efficient, effective and development-oriented public service	Governance and Administration cluster											November 2019 (1)	1	1	1	input received by 2 September, as per MTEC request	No corrective action required, target exceeded
		2. Number of MTEC reports completed		An efficient, effective and development-oriented public service	Governance and Administration cluster												1 report completed 1 day before MTEC	1	1	1		
		Sub-programme 2.2.1: Economic Analysis	1. Number of Provincial Department Economic Reports produced	An efficient, effective and development-oriented public service	Governance and Administration cluster												1	1			none	
			2. Socioeconomic Review and Outlook Report (SERO) produced	An efficient, effective and development-oriented public service	Governance and Administration cluster												1	1			none	
			3. Timous Economic Analysis input to Estimate of Provincial Revenue and Expenditure (EPRE)	An efficient, effective and development-oriented public service	Governance and Administration cluster												February 2020 (1)	February 2020 (1)			none	
		Sub-programme 2.2.2: Infrastructure Management	1. Consolidated assessment report on the User Asset Management Plans (U-AMPs) submitted by provincial departments	An efficient, effective and development-oriented public service	Governance and Administration cluster												1	1				
			2. Consolidated assessment report on the Infrastructure Programme Management Plan (IPMP) submitted by provincial departments	An efficient, effective and development-oriented public service	Governance and Administration cluster												1	1				
			3. Estimate for Capital Expenditure (EC3) developed for publishing annually	An efficient, effective and development-oriented public service	Governance and Administration cluster												1	1			none	
		Sub-programme 3.2: Asset and Liabilities Management	Number of Tax Information Seminars conducted annually	An efficient, effective and development-oriented public service	Governance and Administration cluster												1 session	1 session			none	
			Sub-programme 3.3: Accounting Services (Financial Reporting)	1. Timous submission of unaudited Consolidated AFS for Provincial Departments to the Auditor General (AG) for audit	An efficient, effective and development-oriented public service	Governance and Administration cluster												Submission of Unaudited Consolidated AFS to the AG for FY2018/19 by 30 September 2019	Submitted unaudited Consolidated AFS for FY2018/19 by 01 October 2019	Submitted unaudited Consolidated AFS for FY2018/19 by 01 October 2019	The delay was due to the fact that audit deadline for two departments namely Department of Health and Public Works, was extended until 31 August 2019.	
				2. Timous submission of unaudited consolidated AFS for Public Entities to the Auditor General for audit	An efficient, effective and development-oriented public service	Governance and Administration cluster												Submission of Unaudited Consolidated AFS to the AG for FY2018/19 by 30 September 2019	0	0	0	The audit for Ithala Group and e2M Growth Fund has still not yet been finalised due to delay in Audit
3. Timous submission of unaudited AFS for Revenue Fund to the Auditor General for audit	An efficient, effective and development-oriented public service			Governance and Administration cluster												Submission of Unaudited Revenue Fund AFS to the AG for FY2018/19 by 30 September 2019	Submitted unaudited AFS for FY2018/19 by 01 October 2019	Submitted unaudited AFS for FY2018/19 by 01 October 2019	The delay was due to the fact that audit deadline for two departments namely Department of Health and Public Works, was extended until 31 August 2019.			
4. Number of financial management workshops conducted for officials for Provincial Departments and Public entities	An efficient, effective and development-oriented public service		Governance and Administration cluster												1 training workshop by 31 March 2020	2 training workshops conducted for Expenditure Management and Inter-Governmental Debt on the 5 June 2019 and 11 June 2019 respectively	3 financial management training workshops conducted to 2 departments and EDTEA's Department and Public Entities	x2 training workshops were conducted on Expenditure Management and Inter-Governmental Debt on the 5 June 2019 and 11 June 2019 respectively. 3 financial management training workshops conducted to 1 Department and Public Entities	Special requests were received from clients regarding Inter-Governmental Debts, as well as facilitated updates workshop on the expenditure management framework by National Treasury. Received special requests from departments.			
5. Annual pre-audit AFS review conducted on all Provincial Departments	An efficient, effective and development-oriented public service		Governance and Administration cluster												Pre-audit review of AFS in all 14 Provincial Departments preparing AFS on the MCS basis	Pre-audit review of AFS at 14 Departments preparing AFS on the MCS basis	Pre-audit review of AFS at 14 Departments preparing AFS on the MCS basis					
7. Annual Pre-audit AFS review conducted on Public Entities	An efficient, effective and development-oriented public service		Governance and Administration cluster												Pre-audit review of AFS at 7 Public Entities	Pre-audit review of AFS at 8 Public Entities	Pre-audit review of AFS at 8 Public Entities	One more special request received from 1 Public Entity for AFS review.				
Sub-programme 3.3: Municipal Budget	2. Number of municipal approved budgets to be evaluated	An efficient, effective and development-oriented public service	Governance and Administration cluster												51 municipal approved budgets evaluated	51 municipal approved budgets evaluated	51 municipal approved budgets evaluated					
	3. Number of municipal tabled budgets to be evaluated	An efficient, effective and development-oriented public service	Governance and Administration cluster												51 municipal tabled budgets evaluated	51 municipal tabled budgets evaluated	51 municipal tabled budgets evaluated					
	3. Number of budget workshops conducted	An efficient, effective and development-oriented public service	Governance and Administration cluster												1 budget related workshop	1 budget related workshop	1 budget related workshop					
	4. Number of Section 72 municipal reports evaluated	An efficient, effective and development-oriented public service	Governance and Administration cluster												51 Section 72 municipal reports evaluated	51 Section 72 municipal reports evaluated			none			
Sub-programme 3.3: Municipal Accounting & Reporting	Number of Annual Financial Statement Reviews performed.	An efficient, effective and development-oriented public service	Governance and Administration cluster												Pre-audit assessment report in 4 municipalities by 31 August 2019	8 municipalities	8 municipalities			The Sub-programme did not plan to conduct AFS review projects during the quarter. However, municipal support is given by the needs of the municipalities delegated to e2M Provincial Treasury. Municipalities that were selected for the AFS review initiative required additional support during the audit process. This additional support was provided in quarter 3 which resulted in an over-achievement of the initial target.		
Sub-programme 3.4: Municipal Support Programme	1. Number of circulars on the grant roll over process	An efficient, effective and development-oriented public service	Governance and Administration cluster												1 circular by 31 August 2019 on the grant roll over process	1 circular	1 circular					
Clearly	Programme 1: Administration	Sub-programme 1.1: Financial Management (Office of the CFO)	1. Number of budget submission and planning documents compiled (EPRE, APPA, AP, PP, ALPRE & ACF)	An efficient, effective and development-oriented public service	Governance and Administration cluster	2	1	1	The review comments from OPM are still outstanding therefore the 3rd draft APP is still outstanding.	The review comments are expected to be received towards the end of January 2020 from DPME.	2	1		n/a	9							
			2. Number of legislative financial reports produced. (ITMx12, QPR and AFS)	An efficient, effective and development-oriented public service	Governance and Administration cluster	8	4	4			4	4		n/a	17							
			3. Number of supply chain management compliance reports produced	An efficient, effective and development-oriented public service	Governance and Administration cluster	3	3	3			3	3		n/a	12							
			4. Number of Asset Counts performed	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1	1			n/a	n/a		n/a	2							

		5. Percentage of supplier's valid invoices paid within 30 days.	An efficient, effective and development-oriented public service	Governance and Administration cluster	100%	100%	100%				100%	100%		n/a	100%			
Sub-programme 1.1: Human Resource Management		1. Number of HR seminars to be conducted	An efficient, effective and development-oriented public service	Governance and Administration cluster	3 seminars	3 seminars	2 seminars				1 seminar	2 seminars		n/a	3 seminars			
		2. Number of HR policies to be reviewed	An efficient, effective and development-oriented public service	Governance and Administration cluster	2 policies	2 policies	2 policies				2 policies	2 policies		n/a	3 policies			
		3. Number of Human Resources Plan developed (HRP)	An efficient, effective and development-oriented public service	Governance and Administration cluster	N/A	N/A	N/A				N/A	N/A		n/a	1 X Annual HR Plan & Annual HRP			
		4. Number of monitoring reports produced to ensure implementation of the HRP	An efficient, effective and development-oriented public service	Governance and Administration cluster	1 X implementation monitoring report	1 X implementation monitoring report	1 X implementation monitoring report				1 X implementation monitoring report	1 X implementation monitoring report		n/a	4 quarterly HRP implementation monitoring reports			
		5. Number of Annual Work Skills Plan developed	An efficient, effective and development-oriented public service	Governance and Administration cluster	N/A	N/A	N/A				N/A	N/A		n/a	1 X Annual WSP			
		6. Number of monitoring reports to ensure implementation of Work Skills Plan (WSP)	An efficient, effective and development-oriented public service	Governance and Administration cluster	1 X implementation monitoring report	1 X implementation monitoring report	1 X implementation monitoring report				1 X implementation monitoring report	1 X implementation monitoring report		n/a	4 quarterly WSP implementation monitoring reports			
Sub-programme 1.2: Information Technology Management		1. Number of initiatives implemented	An efficient, effective and development-oriented public service	Governance and Administration cluster	N/A	N/A	N/A				2	3		n/a	1			
		2. Number of policy reviews completed	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1	1				1	1		n/a	4			
		3. Number of compliance reports completed	An efficient, effective and development-oriented public service	Governance and Administration cluster	2	2	2				2	2		n/a	6			
		4. Number of information sessions conducted	An efficient, effective and development-oriented public service	Governance and Administration cluster	N/A	N/A	N/A				1	1		n/a	2			
		5. Percentage IT calls resolved	An efficient, effective and development-oriented public service	Governance and Administration cluster	85%	100%	100%	A change in workflow, had improved the resolution rate calls.	N/A		95%	100%	A change in workflow, had improved the resolution rate calls. All calls that were received were successfully resolved		95%			
		6. Percentage network uptime	An efficient, effective and development-oriented public service	Governance and Administration cluster	99%	95%	100%	This was due to loadshedding experienced during the period.	N/A		99%	100%	This was due to loadshedding experienced during the period.		95%			
Programme 2: Sustainable Resource Management	2.1 Public Finance Provincial Budget Management	1. Number of section 32 report (Monthly provincial FM report) submitted	An efficient, effective and development-oriented public service	Governance and Administration cluster	3	3	3				3	3		n/a	12	3	3	
		2. Number of quarterly performance reports for provincial departments produced	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1	1				1	1		n/a	4	0	1	
	2.2 Provincial Own Revenue	1. Number of revenue curiously performance reports produced	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1	1				1	1		n/a	4	0	1	
		2. Number of quarterly assessment reports to depts. on status of bank related suspense accounts compiled	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1	1				1	1		n/a	4	0	1	
	2.5.1 Devolved Authority Support Services	1. Number of reports on Institutionalising framework for the monitoring of provincial public entities	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1	1				1	1		n/a	2	0	1	
		2. Number of quarterly assessment reports to depts. on status of bank related suspense accounts compiled	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1	1				1	1		n/a	4	0	1	
	Sub-programme 2.2.1: Economic Analysis	1. Number of District Socioeconomic Reports produced	An efficient, effective and development-oriented public service	Governance and Administration cluster	3	3	3				3	3		none	9	0	8	
		2. Number of quality research for regional policy analysis	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1	1				1	1		none	4	1	1	
	Sub-programme 2.2.2: Infrastructure Management	1. Number of reports on support and progress on the implementation and institutionalisation of IDMS in KZN	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1	1				1	1		none	4	1	1	
		2. Number of progress reports on infrastructure budgets and delivery plans using IRM data submitted by provincial municipalities	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1	1				1	1		none	4	1	1	
3. Number of reports on the infrastructure site visits conducted		An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1	1				1	1		none	4	1	1		
4. Number of reports on Infrastructure funding mechanisms and opportunities to support the provincial fiscal strategy produced		An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1	1				1	1		none	4	1	1		
Sub-programme 3.2: Asset and Liability Management	1. Number of quarterly assessment reports to depts. on status of bank related suspense accounts compiled	An efficient, effective and development-oriented public service	Governance and Administration cluster	14 Assessment reports	14 assessment reports	14 assessment reports				14 Assessment reports	14 assessment reports		none	56 Assessment reports				
	2. Number of monthly bank reconciliations per department to be reconciled	An efficient, effective and development-oriented public service	Governance and Administration cluster	42 bank reconciliation	42 reconciled bank reconciliation	42 reconciled bank reconciliation				42 bank reconciliation	42 reconciled bank reconciliations		none	168 Reconciled bank reconciliation				
	3. Number of quarterly reports on withdrawals from municipal bank accounts	An efficient, effective and development-oriented public service	Governance and Administration cluster	1 report on withdrawals from municipal bank accounts	1 report on withdrawals from municipal bank accounts	1 report on withdrawals from municipal bank accounts				1 report on withdrawals from municipal bank accounts	1 report on withdrawals from municipal bank accounts		none	4 reports on withdrawals from municipal bank accounts				
	4. Number of quarterly reports on withdrawals from municipal bank accounts	An efficient, effective and development-oriented public service	Governance and Administration cluster	14 Risk Analysis reports	14 Risk Analysis reports	14 Risk Analysis reports				14 Risk Analysis reports	14 Risk Analysis reports		none	56 Risk Analysis reports				
	5. Number of quarterly reports on withdrawals from municipal bank accounts	An efficient, effective and development-oriented public service	Governance and Administration cluster	1 report on withdrawals from municipal bank accounts	1 report on withdrawals from municipal bank accounts	1 report on withdrawals from municipal bank accounts				1 report on withdrawals from municipal bank accounts	1 report on withdrawals from municipal bank accounts		none	4 reports on withdrawals from municipal bank accounts				
Sub-programme 3.2: Supporting and Interlinked Financial Systems	Mean (Average) time to resolve IT calls on transversal systems	An efficient, effective and development-oriented public service	Governance and Administration cluster	4-8hr MTR	5:00-5:59	5:00-5:59	The output is within the MTR and there is no deviation.	N/A		4-8hr MTR	7:30-8:00	The output is within the MTR and there is no deviation.		4-8hr MTR				
Sub-programme 3.4: Provincial Supply Chain Management	1. Number of SCM training sessions conducted	An efficient, effective and development-oriented public service	Governance and Administration cluster	6	7	10	There were special requests for training.	N/A		6	6	There were special requests for training.	none	24	53	50		
	2. Number of SCM compliance assessments conducted	An efficient, effective and development-oriented public service	Governance and Administration cluster	36	43	45	There were investigations conducted in different institutions for different requirements which were not planned for.	N/A		36	42	There were six additional complaints received that were not planned for, but needed to be attended to.	none	144	163	124		
	3. Number of SCM prescripts reviewed	An efficient, effective and development-oriented public service	Governance and Administration cluster	9	6	6	There were special requests for policy review.	N/A		9	9	There were special requests for policy review.	none	12	17	18		
	4. Number of Contract management reviews	An efficient, effective and development-oriented public service	Governance and Administration cluster	31	18	18	Institutions declined the appointments. The cluster will re-schedule the appointments for the review in the following quarter.	N/A		30	31	Institutions declined the appointments. This is the cover up of the shortfall from quarter three.	none	121	110	78		
Sub-programme 3.5: Public Private Partnerships (PPP)	1. Number of PPP Seminars/ Workshops conducted annually	An efficient, effective and development-oriented public service	Governance and Administration cluster	N/A	N/A	N/A	N/A	N/A		1	0	The workshop was scheduled to take place on the 30th of March 2020, and could not take place due to COVID-19 interruptions.	none	2				
	2. Number of reports for Prov. Treasury management and NT PPP unit submitted	An efficient, effective and development-oriented public service	Governance and Administration cluster	3	3	3	N/A	N/A		3	3	Reports could not be produced due to COVID-19 interruptions.	none	12	9	8		
	3. Number of contract management reports on closed deals compiled	An efficient, effective and development-oriented public service	Governance and Administration cluster	3	3	3	N/A	N/A		3	3	Reports could not be produced due to COVID-19 interruptions.	none	12	9	8		

Sub-programme 3.5: Accounting Services (Financial Reporting)	5. Number of progress reports on financial management support initiatives conducted in Provincial Departments and Public Entities	An efficient, effective and development-oriented public service	Governance and Administration cluster	3 Progress reports on financial management support based on identified needs/Audit findings for Departments	7 Progress reports on financial management support based on identified needs/Audit findings for Departments	7 Progress reports on financial management support based on identified needs/Audit findings for Departments	More requests received from the departments in terms of provision of financial management support.	N/A	3 Progress reports on financial management support based on identified needs/Audit findings for Departments	0	All targets were fully achieved in quarter three.	none	12 Progress reports on financial management support based on identified needs/Audit findings for Departments						
	Sub-programme 3.7: Norms and Standards	1. Number of policies reviewed for institutions	An efficient, effective and development-oriented public service	Governance and Administration cluster	2 financial norms & standards reviewed for compliance	2 financial norms & standards reviewed for compliance	2 financial norms & standards reviewed for compliance	N/A	N/A	2 financial norms & standards reviewed for compliance	2 financial norms & standards reviewed for compliance	none	8 financial norms & standards reviewed						
		1. Number of policies reviewed for institutions	An efficient, effective and development-oriented public service	Governance and Administration cluster	2 standard operating procedures reviewed for departments	2 standard operating procedures reviewed for departments	2 standard operating procedures reviewed for departments	N/A	N/A	2 standard operating procedures reviewed for departments	2 standard operating procedures reviewed for departments	none	8 standard operating procedures reviewed						
		1. Number of policies reviewed for institutions	An efficient, effective and development-oriented public service	Governance and Administration cluster	5 policies reviewed for an entity	5 policies reviewed for an entity	5 policies reviewed for an entity	N/A	N/A	5 policies reviewed for an entity	5 policies reviewed for an entity	none	20 policies reviewed						
2. Number of institutions assessed for compliance with financial norms and standards		An efficient, effective and development-oriented public service	Governance and Administration cluster	1 compliance follow-up report issued per department	1 compliance follow-up report issued per department	1 compliance follow-up report issued per department	N/A	N/A	1 compliance follow-up report issued per department	1 compliance follow-up report issued per department	none	14 departments assessed							
Sub-programme 3.7: Norms and Standards	2. Number of institutions assessed for compliance with financial norms and standards	An efficient, effective and development-oriented public service	Governance and Administration cluster	1 compliance follow-up report issued per entity	1 compliance follow-up report issued per entity	1 compliance follow-up report issued per entity	N/A	N/A	1 compliance follow-up report issued per entity	1 compliance follow-up report issued per entity	none	8 entities assessed							
	Sub-programme 4.2: Assurance Services	1. Number of approved 1A Annual operational plans and rolling three year strategic plans	An efficient, effective and development-oriented public service	Governance and Administration cluster	N/A	N/A	N/A			N/A	N/A	none	14						
		2. Number of annual MEC Audit & Risk Committee report on client areas of risk management, governance and internal control complied	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1	1			N/A	N/A	none	1						
		3. Number of risk based audit conducted	An efficient, effective and development-oriented public service	Governance and Administration cluster	35	45	24	Carry over from quarter 2 reports not validated		50	50	none	135						
4. Number of meetings held between clients and the Cluster Audit & Risk Committee		An efficient, effective and development-oriented public service	Governance and Administration cluster	14	14	14			14	14	none	56							
5. Number of Audit Committee reports issued to Accounting Officer		An efficient, effective and development-oriented public service	Governance and Administration cluster	N/A	N/A	N/A			18	18	none	28							
6. Number of training and development programmes attended		An efficient, effective and development-oriented public service	Governance and Administration cluster	3	2	1			3	2	none	8							
Sub-programme 4.3: Risk Management	1. Number of risk assessments conducted for departments (clients)	An efficient, effective and development-oriented public service	Governance and Administration cluster	15	15	15			10	10	none	50							
	2. Number of risk maturity review reports issued to departments (clients)	An efficient, effective and development-oriented public service	Governance and Administration cluster	10	0	0	The risk maturity methodology being reviewed and the risk maturity results being moderated and standardised	All outstanding reports to be issued second week of February 2020 once the risk maturity results are moderated.	4	14	This is mainly the catch-up on previous under achievement that were reported in Q3	none	28						
	3. Number of Occupational Health and Safety Review reports issued to departments (clients)	An efficient, effective and development-oriented public service	Governance and Administration cluster	10	10	10			5	10	catch-up on previous under-achievement in Q1	none	30						
	4. Number of Business Continuity Review reports issued to departments (clients)	An efficient, effective and development-oriented public service	Governance and Administration cluster	N/A	N/A	N/A	0	0	14	14	none	28							
	5. Number of risk forums held for departments, municipalities and public entities	An efficient, effective and development-oriented public service	Governance and Administration cluster	2	3	3	We conducted extra risk forums for departments to make up for the previous quarter missed targets	None. In future risk forums will be held in the planned quarter	3	4	catch-up on previous under-achievement in Q2	none	10						
	6. Number of fraud prevention plans review reports issued to departments (clients)	An efficient, effective and development-oriented public service	Governance and Administration cluster	14	0	0	Reports at draft stage, but not yet discussed with departments	The reports are at draft stage and will be issued by first week of February 2020	N/A	14	catch-up on previous under-achievement in Q3	none	28						
	7. Number of risk/governance-related training/awareness provided to departments (clients)	An efficient, effective and development-oriented public service	Governance and Administration cluster	10	10	10			10	7	annual target of 40 training already achieved as some training were done before planned time.	none	40						
	8. Number of risk assessments conducted for municipalities	An efficient, effective and development-oriented public service	Governance and Administration cluster	3	10	10			3	1	There were more requests from municipalities than anticipated	none	30						
Sub-programme 4.4: Forensic Services	1. Number of Forensic Audits reports issued	An efficient, effective and development-oriented public service	Governance and Administration cluster	8	8	8			7	9	catch-up on previous under-achievement(s)	none	30						
	2. Number of updated register of forensic investigations - MEC Report	An efficient, effective and development-oriented public service	Governance and Administration cluster	1	1	1			1	1	none	4							
	3. Number of follow ups on completed investigations	An efficient, effective and development-oriented public service	Governance and Administration cluster	14	14	14			14	14	none	56							
Programme 5: Municipal Finance Management	Sub-programme 5.2: Municipal Budget	1. Number of Section 71(6) reports (Monthly municipal FYM reports) submitted within the prescribed time frame	An efficient, effective and development-oriented public service	Governance and Administration cluster	3 reports	3 reports	3 reports			3 reports	3 reports	none	12 Section 71(6) reports						
		2. Number of Section 71(7) Quarterly budget performance reports 1& 2 completed	An efficient, effective and development-oriented public service	Governance and Administration cluster	1 report	1 report	1 report			1 report	1 report	none	4 Section 71(7) Quarterly budget performance reports						
		3. Number of reports on the compliance with the applicable MFMA reporting requirements	An efficient, effective and development-oriented public service	Governance and Administration cluster	N/A	N/A	N/A			1 report	4 Reports	Target is subject to the level of non-compliance with the MFMA by delegated municipalities, which dictates the number of non-compliance reports issued. Thus, the target was exceeded as more than 1 report was prepared and sent to municipalities during the quarter under review.	none	2 reports on the compliance with the applicable MFMA reporting requirements					
		4. Number of Municipal Finance Circulars Issued	An efficient, effective and development-oriented public service	Governance and Administration cluster	1 circular	3 Circulars	3 Circulars	The target was exceeded as more than 1 Circular was prepared and sent to municipalities during the quarter under review. Preparation of Circulars is purely based on the need to communicate important information and provide guidance to municipalities in order for them to comply with the MFMA and MBRR.		3 Circulars	3 Circulars	The target was exceeded as more than 1 Circular was prepared and sent to municipalities during the quarter under review. Preparation of Circulars is purely based on the need to communicate important information and provide guidance to municipalities in order for them to comply with the MFMA and MBRR.	none	5 Municipal Finance circulars					

Sub-programme 5.3: Municipal Accounting & Reporting	Number of Financial Management Support projects implemented	An efficient, effective and development-oriented public service	Governance and Administration cluster	Progress reports on intensive on-site financial management support to 6 municipalities	7 municipalities	7 municipalities	The MAAR initially planned to conduct 6 FMS projects during the quarter. However, municipal support is driven by the needs of the municipalities delegated to KZN Provincial Treasury. Consequently, after the assessment of municipalities to support, it was decided to include an additional municipality from the prior years AFS review that resulted against support into FMS projects this quarter. Delays in implementing the projects or deferral thereof could have impacted the municipality negatively. Therefore, the projects were initiated immediately. This resulted in an over-achievement of the initial target.	N/A	7 municipalities	The MAAR did not plan to conduct any FMS project during the quarter. However, municipal support is driven by the needs of the municipalities delegated to KZN Provincial Treasury. Due to the poor audit outcomes, municipalities that were selected by FMS projects required additional post-audit support. Discontinuing support could have impacted the municipalities negatively. Therefore, additional support was provided in quarter 4 which resulted in over-achievements.	none	Progress reports on intensive on-site financial management support to 6 municipalities
	Number of Reports on the monitoring of implementation of mSCOA	An efficient, effective and development-oriented public service	Governance and Administration cluster	1 report on the municipalities supported with implementation of mSCOA	1 report	1 report		1 report on the municipalities supported with implementation of mSCOA	1 report	none	4 reports on the municipalities supported with implementation of mSCOA	
Sub-programme 5.4: Municipal Support Programme	1. Number of MSP projects implemented at targeted municipalities	An efficient, effective and development-oriented public service	Governance and Administration cluster	1 project	9 projects	9 projects	The MSP initially planned to conduct 3 projects during the quarter. However, municipal support is driven by the needs of the municipalities delegated to KZN Provincial Treasury. Consequently, the number of projects had to be increased to assist other municipalities where similar support was required urgently. Delays in implementing the projects or deferral thereof could have impacted the municipalities negatively. Therefore, the projects were initiated immediately. This resulted in an over-achievement of the initial target.	3 projects	8 projects	The MAAR did not plan to conduct any FMS project during the quarter. However, municipal support is driven by the needs of the municipalities delegated to KZN Provincial Treasury. Due to the poor audit outcomes, municipalities that were selected by FMS projects required additional post-audit support. Discontinuing support could have impacted the municipalities negatively. Therefore, additional support was provided in quarter 4 which resulted in over-achievements.	none	10 projects to be implemented
	2. Number of municipal support steering committee meetings conducted	An efficient, effective and development-oriented public service	Governance and Administration cluster	1 meeting	1 meeting	1 meeting		1 meeting	1 meeting	none	4 quarterly meetings to monitor progress on municipal support	
	3. Number of CFC Forums conducted	An efficient, effective and development-oriented public service	Governance and Administration cluster	1 forum	1 forum	1 forum		1 forum	1 forum	none	4 quarterly CFC Forums	

Feedback		Quarter - 1		Quarter - 2		Quarter - 3		Quarter - 4		Audited Annual	
User Name (s)	Role (s)	Feedback Q1	Username (s)	Role (s)	Username (s)	Role (s)	Feedback Q4	Final Q4	User	Date	
			siiso ndaba Department Coordinator Accounting Officer								
					siiso ndaba Department Coordinator		Report submitted for 4th quarter preliminary information for your review and input.			11/05/2020	
					Ludema Sibembeka Ndlovu OTP Coordinator		Please receive report with comments.			10/05/2020	
					Nonhlanhla Khanyile OTP Coordinator		No comments			01/04/2020	
					NurPhumla Khanyile OTP Coordinator		No comments			02/04/2020	
					Sibongile Mkhongo National Oversight		Report noted.			03/06/2020	
					siiso ndaba Department Coordinator		Kindly receive the 4th QPR for approval			03/06/2020	
			Santanu Moodley Accounting Officer		Accounting Officer		Approval Certificate: Q4- Dear DG,			12/05/2020	
Nonhlanhla Khanyile	OTP Coordinator	Please receive the results with comments.									
Santanu Moodley	Accounting Officer	Approval Certificate: Q4- Dear DG									
siiso ndaba	Department Coordinator	report completed and submitted									
siiso ndaba	Department Coordinator	Dear Mr. Moodley,									
siiso ndaba	Department Coordinator	Dear Mr. Moodley,									