

QPR for FY 2018-19 for Provincial Institution of KwaZulu-Natal: Provincial Treasury of location KwaZulu-Natal as of (Monday, August 06, 2018 11:52:02 AM)															
Reporting Period	Programme	Sub-Programme	Indicator	Indicator Type	Current	Outcome	Cluster	Audited outcome FY 2017-18	Target Q1	Preliminary Q1	Validated Q1	Reason for Deviation Q1	Corrective Action Q1		
Annually	PROGRAMME 3: ADMINISTRATION	1.1 Financial Management (Office of the CFO)	Annual M&E report on 4-NAI Financial Management	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster								
			Number of Annual Work Force Skills Plan developed.	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster								
		1.2 Human Resource Management	Number of Human Resources Plan developed (HRP)	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster								
			Number of Socioeconomic Review and Outlook Reports (SERO) produced	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster								
		PROGRAMME 3: Sustainable Resource Management	2.1 Economic Analysis	Timely Economic Analysis Input to Estimate of Provincial Revenue and Expenditure (EPRE)	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster							
				2.2 Infrastructure Management	Number of Assessment Report on the User Asset Management Plans (U-AMPs) compiled	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster						
	Number of assessment reports on the infrastructure Programme Management Plan (IPMP) compiled				Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster							
	Number of Estimate for Capital Expenditure (ECE) developed and published annually		Non-Standardized		Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster								
	2.3 Public Finance: Provincial Budget Management		Timely Infrastructure input for Estimates of Provincial Revenue and Expenditure (EPRE)	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster								
			Number of chapters in MTEC report to be completed	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster								
			Timely input into the Adjustment Estimates of Provincial Revenue and Expenditure (AEPRE)	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster								
	2.3 Public Finance: Provincial Own Revenue		Timely tabling Estimates of Provincial Revenue and Expenditure (EPRE)	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster								
			Number of Provincial Revenue Forums conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster								
			Timely Revenue input into Overview of Provincial Revenue and Expenditure (OPRE) and Estimates of Provincial Revenue and Expenditure (EPRE)	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster								
	PROGRAMME 3: FINANCIAL GOVERNANCE		3.1 Public Finance: Specialised Advisory Support Programme	Number of MTEC reports completed	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster							
				Timely input into the revision and maintenance of Division Of Revenue Act (DORA)	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster							
		3.2 Asset and Liabilities Management	Number of Tax Information Seminars conducted annually	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster								
			3.3 Auditing Services (Financial Reporting)	Annual Pre-audit review conducted on Departments preparing AFS on MCS basis	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster							
				Annual Pre-audit review conducted on Public Entities	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster							
				Financial Management Monitoring Support to Municipalities	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster							
				Number of trainings conducted to Provincial Departments and Public Entities	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster							
				Timely submission of Audited AFS for Revenue Fund to Legislature	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster							
		Timely tabling of consolidated AFS for Provincial Departments to Legislature	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster									
		PROGRAMME 4: INTERNAL AUDIT	4.2 Assurance Services	Number of annual MEC Audit & Risk Committee report on clients' areas of risk management, governance and internal control	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster							
Number of approved 18 Annual operational plans and a rolling three year strategic plan				Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster								
PROGRAMME 5: MUNICIPAL FINANCE MANAGEMENT		5.2 Municipal Budget	Number of budget workshops conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster								
	Number of municipal approved budgets to be evaluated 1& 2		Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster									
	Number of municipal tabled budgets to be evaluated 1& 2		Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster									
	Number of Section 72 municipal reports evaluated 1& 2		Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster									
	5.4 Municipal Support Programme	Number of circulars on the grant roll over process	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster									
		Number of budget submission and planning documents compiled (EPRE, APPRA, AR, PP, AEPRE & AGP)	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster									
Quarterly	PROGRAMME 1: ADMINISTRATION	1.1 Board/Asset Management (Office of the CFO)	Number of Asset Councils performed	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		1	1					
			Number of budget submission and planning documents compiled (EPRE, APPRA, AR, PP, AEPRE & AGP)	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		3	3					
			Number of legislative financial reports produced (IFRM12, IFRM4 and AFS)	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		5	5					
			Number of supply chain management compliance reports produced	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		3	3					
			Percentage of supplier's valid invoices paid within 30 days.	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		100%	98%	There were invoices that were not paid within 30 day	The remaining 2% of invoices were processed this quarter and also at			
			1.2 Human Resource Management	Number of HR policies to be reviewed.	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		2 policies	2 policies reviewed				
		Number of HR Seminars to be conducted		Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		2 seminars	2 seminars					
		Number of monitoring reports produced to ensure implementation of HRP		Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		1 x implementation monitoring report	1 x implementation monitoring report					
		1.3 Information Technology Management	Number of monitoring reports to ensure implementation of Work Skills Plan (WSP)	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		1 x implementation monitoring report	1 x implementation monitoring report					
			Mean (Average) time to resolve (MTTR)-Treasury	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		4 hr MTTR	1hr 45 min	Most calls were dealt by first line support with very few escalation to IT - 1st line				
			Number of compliance reports completed	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		2	2					

		Number of information sessions conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		1	1					
		Number of initiatives implemented	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		N/A	N/A					
		Number of policy reviews completed	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		1	1					
		Percentage network update	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		99%	99%					
PROGRAMME 3: Sustainable Resource Management	2.3.1 Economic Analysis	Number of District Socioeconomic Reports produced	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		3	3					
		Number of Provincial Department Economic Reports produced	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		1	1					
		Number of quality research for regional policy analysis	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		1	1					
	2.3.2 Infrastructure Management	Number of progress reports on infrastructure budgets and delivery plans using BIM data submitted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		1	1					
		Number of reports on infrastructure funding mechanisms and opportunities to support the provincial fiscal strategy produced	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		1	1					
		Number of reports on the implementation of IDMS in QZN produced	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		1	1					
	2.3 Public Finance: Provincial Budget Management	Number of quarterly performance reports for provincial departments	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		3	3					
		Number of section 32 report (Monthly provincial FTM report) submitted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		3	3					
	2.3 Public Finance: Provincial Own Revenue	Number of revenue quarterly performance reports produced	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		1	1					
	2.3 Public Finance: Specified Advisory Support Services	Number of reports on institutionalising framework for the monitoring of provincial public entities	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		0						
PROGRAMME 4: PUBLIC FINANCE GOVERNANCE	3.1 Asset and Liability Management	Number of monthly bank reconciliations per department to be reconciled	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		42 bank reconciliations	42 reconciled bank reconciliation					
		Number of quarterly assessment reports to depis, on status of bank related suspense accounts complied	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		14 assessment reports	14 assessment reports					
		Number of quarterly reports on withdrawals from municipal bank accounts	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		1 report on withdrawal from municipal bank accounts	1 report on withdrawals from municipal bank accounts					
		Number of risk analysis reports per dept. to ensure compliance to tax legislation	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		14 Risk Analysis reports	14 Risk Analysis reports					
	3.2 Supporting and Inter-linked Fiscal Systems	Mean (Average) time to resolve IT calls on transversal systems	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		9:16 by MITR	02:22:27				Due to Public Key Infrastructure refresh, there has been a significant number of	The MITR needs to be revised during the strategic planning
	3.4 Provincial Supply Chain Management	Number of contract management analysis conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		40	22				The cluster is currently having 2 analysts to undertake analysis and reporting as requested by	The unit is in a process of filling the vacant post of the analyst
		Number of policies reviewed	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		1	1					
		Number of SCM compliance assessments conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		21	21					
	3.5 Public-Private Partnerships (PPPs)	Number of contract management reports on closed deals	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		3	3					
		Number of PPP Seminars/ Workshops conducted annually	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		0	0					
		Number of reports for Prov. Treasury management and NT PPP unit submitted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		3	3					
	3.6 Accounting Services (Financial Reporting)	Financial Management Monitoring Support to Municipalities	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		Progress reports on intensive on-site financial management support to 6 municipalities within 10 days of every quarter end	Progress reports on intensive on-site financial management support to 8 municipalities within 10 days of every quarter end					
		Financial Management Monitoring Support to Municipalities	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		Monitor implementation of MSCOA by Municipalities and provide progress reports within 10 days of every quarter end	Monitor implementation of MSCOA by Municipalities and provide progress reports within 10 days of every quarter end					
		Number of progress reports on financial management support based on identified needs/ audit findings	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		3 Progress reports on financial management support based on identified needs/ audit findings for Departments	8 Progress reports on financial management support based on identified needs/audit findings for Departments				Additional support had to be provided to Departments in the month of May and June 2018 by means of deployment of internal staff to address financial management challenges as requested by the Departments	
	3.7 Norms and Standards	Number of Institutions assessed for compliance with financial norms and standards	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		14 departments assessed	14 departments assessed					
		Number of Institutions assessed for compliance with financial norms and standards	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		2 public entities assessed	2 public entities assessed					
		Number of policies reviewed for Institutions	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		1 Instruction notes reviewed for departments	2 Instruction notes reviewed for departments					
Number of policies reviewed for Institutions		Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		2 standard operating procedures reviewed for departments	2 standard operating procedures reviewed for departments						
Number of policies reviewed for Institutions		Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		5 policies reviewed for an entity	5 policies reviewed for an entity						
PROGRAMME 5: INTERNAL AUDIT	4.2 Assurance Services	Number of Audit Committee reports issued to Accounting Offices	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		0	0					
		Number of meetings held between clients and the Cluster Audit & Risk Committee	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		14	14					
		Number of risk based audit conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		20	20					
		Number of training and development programmes attended	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		2	2					
	4.3 Risk Management	Number of Business Continuity Review reports issued to clients.	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		5	5					
		Number of fraud prevention plans review reports issued to clients.	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world	Governance and Administration cluster		5	5					

			Number of Occupational Health and Safety Review reports issued to clients.	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world.	Governance and Administration cluster	5	5			
			Number of risk assessments conducted for clients.	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world.	Governance and Administration cluster	10	10			
			Number of risk assessments conducted for municipalities.	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world.	Governance and Administration cluster	5	6		municipality requested additional risk assessment on IT.	
			Number of risk forums held.	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world.	Governance and Administration cluster	1	1			
			Number of risk maturity review reports issued to clients.	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world.	Governance and Administration cluster	5	0		Revised assessment criteria are still being developed.	Q1 targets will be achieved with Q2 targets during Q2.
			Number of risk/governance-related training/awareness provided to clients.	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world.	Governance and Administration cluster	5	7		More requests for risk training than expected.	
	4.4 Private Services		Number of follow ups on completed investigations	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world.	Governance and Administration cluster	15	15			
			Number of Forensic Audits reports issued	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world.	Governance and Administration cluster	8	16		There was a number of reports that were received during the year and reported to par/da	
			Number of updated register of forensic investigations – MEC Report	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world.	Governance and Administration cluster	1	1			
	PROGRAMME 5: MUNICIPAL FINANCE MANAGEMENT	5.2 Municipal Budget	Number of Municipal Finance Circulars Issued	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world.	Governance and Administration cluster	1 circular	3 circulars		The target was exceeded as more than one Circular was requested and issued.	
			Number of reports on the compliance with the applicable MFMA reporting requirements	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world.	Governance and Administration cluster	0	0			
			Number of Section 71(6) reports (Monthly municipal FM reports) within the prescribed time frame 1 & 2	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world.	Governance and Administration cluster	3 reports	3 reports			
			Number of Section 71(7) Quarterly budget performance reports 1&2 completed	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world.	Governance and Administration cluster	1 report	1 report			
		5.4 Municipal Support Programme	Number of CFO Forums conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world.	Governance and Administration cluster	1 forum	1			
			Number of MSP projects implemented at target municipalities	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world.	Governance and Administration cluster	2 projects	12		The MSP initially planned to support 2 municipalities	As explained in the reason for deviation, the municipal support is still in progress.
			Number of municipal support steering committee meetings conducted	Non-Standardized	Not Applicable	Create a better South Africa and contribute to a better Africa and a better world.	Governance and Administration cluster	1 meeting	1			
Total :			99									

Feedback				Quarter - 2				Quarter - 1			
Username Q1	Role Q1	Feedback Q1	Dated Q1	Username Q2	Role Q2	Feedback Q2	Dated Q2	Username Q3	Role Q3	Feedback Q3	Dated Q3
Liliana Shierema Ndaba	OTF Coordinator	Please provide report with comments.	14/07/2018								
Santana Moodley	Head of Department	Approval Certificate Q2- Good performance	18/07/2018								
Eliso Ndaba	Department Coordinator	Dear Liliana	16/07/2018								
Eliso Ndaba	Department Coordinator	Dear Mr Moodley	18/07/2018								
Trumbédo Mario Mnyal	National Oversight	Report issued	17/07/2018								