APP for FY 2017-18 for Provincial Institution of KwaZulu -Natal: Provincial Treasury of location KwaZulu Natal as of (Friday, August 04, 2017 3:20:38 PM)

Frequency	Programme	Sub Programme	Indicator	DataType	Output	Outcome	Cluster	Audited outcome FY 2016 - 17	Quarter - 1				
									Target Q1	Preliminary	Validated Q1	Reason for Deviation Q1	Corrective Action Q1
Quarterly	Programme 1: Administration	Sub Programme: Chief Financial Office	Number of Asset Counts	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster						
			Number of budget submission and planning documents compiled (EPRE; APPX4; AR; PP; ADJ Est & ACF)	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		2	2			
			Number of legislative financial reports. (IYMx12;QPRx4 and AFS)	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		5	5			
			Number of supply chain management compliance reports	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	3			
			Number of Asset Counts	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1			
			Annual MPAT rating on KPA4: Financial Management	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A			
		Sub Programme: Human Resource Management	Number of HR seminars	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		2	2			
			Number of policies developed/ reviewed	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		2	4		With the introduction of the new Public Service Regulations 2016, which	
			Number of Quarterly monitoring reports produced to ensure implementation of HRP	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1			
			Number of Quarterly monitoring reports to ensure implementation of Work Skills Plan	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1			
			Annual Human Resource(HR) Plan developed	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1 X Annual HR Plan & Annual HRPIR	1			
			Number of Annual Work Place Skills Plan developed	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1 X Annual WSP	1			
		Sub Programme: Information Technology Management	Number of policy reviews completed	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1			
			Number of compliance reports completed	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		4	4			
			Number of information sessions conducted	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	0		Under Achieved -Session cancelled due to non attendance of SCM	Two information sessions would need to be rescheduled in the next quarter
			Mean time to resolve (MTTR)-Treasury	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		4 hr MTTR	00:31:00		Over achieved - Additional SITA technicians were on site to resolve problems with the	None
			Number of initiatives implemented	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A			
			Percentage network uptime	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		99%	96%		Under Achieved -Due to microsft migration additional maintenance was	None - the situation will stabilise once the migration is complete.
	Programme 2: Fiscal Resource Management	Public Finance: Provincial Budget Management	Number of quarterly performance reports for provincial departments	Number	Not Applicable	Responsive, accountable, effective and efficient local government	Governance and Administration cluster		1	1			
			Timeous tabling Estimates of Provincial Revenue and Expenditure (EPRE)	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A			
			Timeous tabling of Adjusted Estimates of Provincial Revenue and Expenditure (AEPRE)	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A			
			Number of section 32 report (Monthly provincial IYM report)	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	3			
			Number of chapters in MTEC report	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A			
		Public Finance: Public Finance: Provincial Own Revenue	Number of revenue quarterly performance report	Number	Not Applicable	Responsive, accountable, effective and efficient local government	Governance and Administration cluster		1	1			
			Timeous Revenue input into Overview of Provincial Revenue and Expenditure (OPRE) and Estimates of Provincial	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A			
			1. Number of quarterly Provincial Revenue Forums conducted and co-ordinated	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A			
		Sub Programme: Economic Analysis	Number of information sharing sessions conducted	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		2	2			
			Number of economic analysis reports produced for the province	Text	Not Applicable	An efficient, effective and development-oriented public service	The Economic Sectors, Employment and Infrastructure Development cluster		N/A	N/A			

Programme	Sub Programme	Indicator	Type		Outcome	Cluster					Comment	
		Number of economic analysis reports produced for the province	Text	Not Applicable	An efficient, effective and development-oriented public service	The Economic Sectors, Employment and Infrastructure Development cluster		N/A	N/A			
		Timeous Economic Analysis inputs to the Overview of Provincial Revenue and Expenditure (OPRE)	Text	Not Applicable	An efficient, effective and development-oriented public service	The Economic Sectors, Employment and Infrastructure Development cluster		N/A	N/A			
		Number of quality research for regional policy analysis	Number	Not Applicable	An efficient, effective and development-oriented public service	The Economic Sectors, Employment and Infrastructure Development cluster		1	1			
		Number of economic analysis reports produced for the province	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1			
		Number of economic analysis reports produced for the province	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	3			
	Sub Programme: Infrastructure Management	Number of reports on the implementation of IDMS in KZN	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1			
		Number of Assessment Report on the User Asset Management Plans (U-AMPs)	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A			
		Number of progress reports on infrastructure budgets and delivery plans using IRM data	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1			
		Number of reports on the infrastructure site visits conducted	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1			
		Timeous Infrastructure input for Estimates of Provincial Revenue and Expenditure (EPRE),	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A			
		Number of reports on support to develop the Prov Infrastructure Master Plan (IMP) KZN	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1			
		Number of reports on infrastructure funding mechanisms and opportunities to support the provincial fiscal framework	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1			
	Sub Programme: Municipal Finance	Number of Section 71(7) Quarterly budget performance reports	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	3			
		Number of Section 71(6) report (Monthly municipal IYM report) within the prescribed time frame	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1			
		Number of municipalities supported by the MSP	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		2	12		The MSP initially planned to support 2 municipalities during the quarter under	N/A
	Sub Programme: Public Finance	Number of reports on institutionalising framework for the monitoring of provincial public entities	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		0	0			
		Timeous input into the revision and maintenance of Division Of Revenue Act (DORA)	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A			
Programme 3: Financial Management	Sub Programme: Cash Management and Liabilities	Number of risk analysis reports per dept. to ensure compliance to tax legislation	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		14	14			
		Number of Tax Information Seminar conducted annually	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		Nil	Nil			
		Number of quarterly assessment reports to depts. on status of bank related suspense accounts	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		14	14			
		Number of monthly bank reconciliations per department	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		42	42			
		Number of quarterly reports on withdrawals from municipal bank accounts	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1			
	Sub Programme: Financial Reporting	Financial Management Monitoring Support to Municipalities	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A			
		Consolidated AFS for Provincial Departments and the Provincial Revenue Fund	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A			
		Consolidated AFS for Public Entities tabled in Legislature	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A			
		Annual Financial Statements for the Revenue Fund tabled in Legislature	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A			
		Provincial Audit Outcome Analysis for Departments and Public Entities	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A			
		Training to Provincial Departments, Municipalities and Public Entities	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1 AFS training workshop by 30 April 2017	1 AFS training workshop on GRAP updates to municipalities		As these workshops were done internally without service providers, two workshops could be held for	

Programme	Sub Programme	Indicator	Type		Outcome	Cluster		Target	Actual		Comment	Comment 2
		AFS Support to Provincial Departments	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		Progress reports on intensive on-site financial management support	5 Progress reports on intensive on-site financial management support			
		Financial Management Monitoring Support to Municipalities	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		Progress reports on intensive on-site financial management support to 4	Progress reports on intensive on-site financial management support to 6		Due to additional funding being made available by Municipal Finance, Financial Reporting was able to	
		Financial Management Monitoring Support to Municipalities	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		Monitor implementation of MSCOA by Municipalities and provide progress	1 progress report on mSCOA implementation for municipalities within 10 days			
	Sub Programme: Norms and Standards	Number of instruction notes/standard operating procedures/policies developed and reviewed for departments, municipalities and their respective entities	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		12	35		Alignment of instruction notes and corresponding standard operating procedures. Additional	
		2. Number of departments/public entities assessed and monitored	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		All departments and two public entities	All departments and two public entities			
	Sub Programme: Provincial Supply Chain Management	Number of SCM training sessions and information sessions conducted	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	11		PT conducted a special project for new PPPFA 2017 regulations roadhows in 11	
		Number of SCM compliance assessments conducted	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		21	23		There were two requests from Nquthu Municipality and Liquor of Authority and	This will depend on whether the unit recieves further requests from institutions .
		Number of policies reviewed and updated	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1			
		Number of contract management analysis conducted	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		53	40		Due to delays in the procurement processes for the appointment of	Resources have been appointed and this will assist greatly in achieving the target.
	Sub Programme: Public Private Partnerships (PPPs)	Number of reports for Prov. Treasury management and NT PPP unit	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	3			
		Number of seminars/workshops conducted for Provincial departments, Public entities, Municipalities, Municipal entities and the Private sector	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A			
		Number of contract management reports on closed deals	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	3			
	Sub-programme: Supporting and Inter-Linked Financial Systems	Mean time to resolve	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		8-16 MTTR	01:52		Over achieved : Most calls were resolved by first line support which reduced the	None
Programme 4: Internal Audit	Sub Programme: Assurance Services	Number of meetings held between clients and the Cluster Audit & Risk Committee	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		14	14			
		Number of IA Annual operational plans and a rolling three year strategic plan developed and approved	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		14	0		Operational plans have been prepared and reviewed by the Audit Committee and	
		Number of annual MEC Audit & Risk Committee report on clients' areas of risk management, governance and internal control	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A			
		Number of risk based audit reports including follow up audit reports and reports on financial, IT, performance and governance audits.	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		20	20			
		Number of audit reports on predetermined objectives.	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A			
		Number of training and development programmes attended	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		2	2			
		Number of internal and/ independent external quality assurance reviews conducted	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A			
	Sub Programme: Forensic Services	Number of Forensic Audits performed and investigations as per clients requests and referrals from the Assurance team	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		5	7		We had to urgently issue two (2) disciplinary reports to a Provincial Department	
		Number of updated register of forensic investigations – MEC Report	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1			
		Number of follow ups on completed investigations per institution	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		15	15			
		Number of Fraud Case Management System developed and rolled-out	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1			
		Number of updated forensic investigations on Fraud Case Management System per provincial department.	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		3	5		We received 5 requests for investigation during this period which had to be	
	Sub Programme: Risk Management	Number of risk registers updated, biannually, for provincial departments, municipalities and public entities	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		15	13		Risk management operational plans were finalised late, and hence	Risk assessment processes for departments already stated, and the two oustanding
		Number of reviews on risk management maturity of departments, public entities and municipalities, and assist with development of risk policies and procedures	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A			

			Number of reviews and/or assist with development of Occupational Health and Safety programmes for departments, public entities and municipalities	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		10	12		One of the engaged service providers finsihed the projects ahead of schedule.
			Number of reviews and/or assist with development of DPSA compliant IT Governance Framework, including BCP for departments, public entities and municipalities	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		5	5		
			Number of complex internal audit services/reviews provided to municipalities and municipal entities	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A		
			Number of reviews on internal audit and audit committee practices of municipalities, and assist with closing of gaps	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		5	5		
			Number of risk and governance-related conferences/forums held for provincial departments, municipalities, and public entities	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	4		We intensified our engagements with departments through cluster risk forums.
			Number of best risk management/governance guidelines/transversal risk policies produced by the unit	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A		
			Number reviews and/or assist with development of fraud prevention plans for provincial departments, municipalities, and public entities	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		N/A	N/A		
			Number of risk/governance –related training/awareness provided to risk officials of departments, municipalities, and public entities	Text	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		5	5		
	Programme 5: Growth and Development	Sub Programme: Other Developmental Initiatives	Number of community visits co-ordinated successfully	Number	Not Applicable	An efficient, effective and development-oriented public service	Governance and Administration cluster		1	1		
Total :	104											

		Quarter - 1				Quarter - 2				Quarter - 3
Username Q1	Role Q1	Feedback Q1		Dated Q1	Username Q2	Role Q2	Feedback Q2		Dated	Username Q3
sifiso ndaba	Department Coordinator	Dear OTP Coordinator		20/07/2017						
Lulama Sthembela Ndlazi	OTP Coordinator	Submitted with comments		25/07/2017						
sharon Nkomazana	National Oversight	The department submitted		27/07/2017						
sifiso ndaba	Department Coordinator	Dear Mr Moodley,		28/07/2017						
Santanu Moodley	Head of Department	Approval Certificate: Q1- Dear Mr. Mnqayi		31/07/2017						